# Lecture 6

TTIC 41000: Algorithms for Massive Data Toyota Technological Institute at Chicago Spring 2021

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# This Lecture

Proving Lower Bounds in the streaming model

- Communication Complexity
- Index Problem + example
- Set Disjointness + example
- Gap Hamming Problem + example
- Set Cover Lower Bounds (if enough time)

- Two people Alice and Bob, each of them gets an input, e.g.,  $x_A, x_B \in \{0,1\}^n$
- The goal is to compute a function  $f(x_A, x_B)$
- What is the minimum communication required between Alice and Bob





- Two people Alice and Bob, each of them gets an input, e.g.,  $x_A, x_B \in \{0,1\}^n$ , edges of a graph are partitioned between Alice and Bob
- The goal is to compute a function  $f(x_A, x_B)$ , compute MST
- What is the minimum communication required between Alice and Bob (how many bits)





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  - One round



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  - One round
  - Multiple rounds















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- Single pass streaming algorithm with memory usage s, gives a one round Communication Complexity Protocol with total communication s
  - p-pass streaming with s bits of space yields a protocol with total cc (2p 1)s
- Any lower bound on the total communication in the CC model, leads to a lower bound on the space usage of any streaming algorithm for the same problem

• 
$$\Omega(s)$$
 LB of CC in  $(2p-1)$  rounds  $\rightarrow \Omega(\frac{s}{p})$  LB on space of p-pass streaming

- Communication Cost of a protocol : worst case (over all possible inputs) number of bits required to transmit
- Communication Complexity: Best possible (over all protocols) Communication cost one can achieve
- Multi party communication with t players
  - Streaming algorithm with s bits space, yields a protocol with total communication s(t-1)
- Lower bound of *one-round* multi party with *t players* 
  - $\Omega(L)$  LB for total communication, implies  $\Omega(L/t)$  LB on space complexity of streaming algorithms
- Randomized Communication Complexity
  - Randomized protocol with public randomness, constant success probability
- Distributional Communication Complexity
  - Inputs of interests are sampled from a given distribution  $\mu$

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# The Index Problem

- Alice has a sequence of n bits  $x \in \{0,1\}^n$
- Bob has an index  $i \in [n]$
- Goal is to output x(i)
- One-way (det.) communication complexity of index problem is  $\Omega(n)$ 
  - Suppose Alice sends less than n bits.
  - Then there are two different strings  $x_1, x_2 \in \{0,1\}^n$  for which the message from Alice to Bob is the same.
  - If bob queries the bit which is different in x<sub>1</sub> and x<sub>2</sub>, he receives the same answer which is a contradiction.

# The Index Problem

- Alice has a sequence of n bits  $x \in \{0,1\}^n$
- Bob has an index  $i \in [n]$
- Goal is to output x(i)
- One-way (deterministic) communication complexity of index problem is  $\Omega(n)$
- One-way (randomized) communication complexity of index problem is  $\Omega(n)$

# Streaming Lower Bound for Connectivity using the Index Problem

Given *edges* of a graph in the streaming fashion, decide if it is connected.

#### **Reduction from Index Problem**

- Alice has a sequence of n bits  $x \in \{0,1\}^n$
- She builds a graph with a node  $s \cup \{v_1, \dots, v_n\}$  where  $(s, v_i) \in E$  iff  $x_i = 1$ .
- Bob has an index  $i \in [n]$
- He adds a vertex t and connect it to all  $v_i$  but  $v_i$  and connects it to s
- Checking connectivity implies knowing  $x_i$

**Index Problem:** Alice has *m* bits. Bob has an index *i*. Bob wants to know whether the *i*th bit of Alice is 0 or 1.

• This requires  $\Omega(m)$  space

- *m* locations on a circle corresponding to Alice's bits
- n/m points on each location if the corresponding bit is 1, otherwise no point



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#### Instance

 $\Omega(\epsilon^{-3})$ 

- *m* locations on a circle corresponding to Alice's bits
- n/m points on each location if the corresponding bit is 1, otherwise no point
- Bob can query the hyperplane excluding *i*-th point to find out Alice's bit
- Total additive error is  $O(\frac{n}{m} \cdot \frac{1}{m^2})$
- Thus achieving error  $O(n\epsilon)$  requires space



**Index Problem:** Alice has *m* bits. Bob has an index *i*. Bob wants to know whether the *i*th bit of Alice is 0 or 1.

• This requires  $\Omega(m)$  space

#### Improvement

- Peel the instance and figure out all the bits
- This allows us to encode more bits
- This improves the lower bound to  $\Omega(\epsilon^{-\frac{3}{5}})$



# Index Problem

- It only works for one way protocols
- Bob can easily send  $O(\log n)$  bits to Alice
- Does not work for a general communication protocol (only one-way)

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# Set Disjointness

- Alice and Bob each have a bit string  $x_A, x_B \in \{0,1\}^n$
- Goal: Decide if they are disjoint or not, i.e.,  $\exists i: x_A(i) = x_B(i) = 1$
- Total communication required between Alice and Bob is  $\Omega(n)$

# Multi Party Set Disjointness

- There are *t* parties
- Each hold a bit string  $\in \{0,1\}^n$
- For each index *i*, there is either no party, one party, or all parties with that bit equal to 1.

**Question**: Is there an index *i* included by all parties?

• Total Communication required between all parties is  $\Omega(n/t)$ 

# Frequency Moment Problem

• Given a stream S of items  $i_1, \dots, i_m$  where each item belongs to [n]k-th moment of S is defined as  $F_k(S) = x_1^k + \dots + x_n^k$ ,

where  $x_i$  is the number of times *j* appears in the stream S

# Streaming Frequency Moment LB using Set Disjointness

1 2 3 4 5 6

- Reduction from *t*-party set disjointness with bit string of length *n*
- Each player *i* generates  $S_i$ , a set of indices contained by *i*
- The final stream is  $S = S_1, ..., S_t$ 
  - {4, 1,4,5, 2,4, 4}
- • Claim. If all indices are contained by 0 or 1 party, then  $F_k(S) \leq n$ . *Proof.*  $F_k(S) = (x_1^k + x_2^k + \dots + x_n^k) \le n$  ( $x_i$  denotes the number of times index i appears in S;  $\forall i, x_i \in \{0,1\}$ )
- Claim. If an index contained by all parties, then  $F_k(S) \ge t^k$ . *Proof.*  $F_k(S) = (x_1^k + x_2^k + \dots + x_n^k) \ge t^k \quad (\exists i, x_i = t)$
- $\rightarrow$  if  $t^k > 2n$ , then a 2-approx. of  $F_k$  solves t-party set disjointness with bit string of length n

An s-space streaming 2-approximation of  $F_k \xrightarrow{\text{yields}} s(t-1)$  bit protocol of t-party set disjointness with bit string of length n $\Omega(n/t)$  CC of t-party set disjointness(n)  $\rightarrow$  2-approximation streaming alg. of  $F_k$  requires  $\Omega\left(\frac{n}{t^2}\right) = \Omega(n^{1-\frac{2}{k}})$  bits space

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# Gap Hamming Problem

- Alice and Bob each have a bit string  $x_A, x_B \in \{0,1\}^n$
- Goal: Compute the Hamming distance between  $x_A$  and  $x_B$
- Computing Hamming distance within an additive error of  $\sqrt{n}$  requires  $\Omega(n)$  communication (e.g., deciding if  $H(x_A, x_B) \ge \frac{n}{2} + \sqrt{n}$  or  $H(x_A, x_B) \le \frac{n}{2} \sqrt{n}$ )

# Streaming LB for Distinct Elements using Gap Hamming

- Reduction from Hamming distance between  $x_A$  and  $x_B$ 
  - $S_A$ : the indices of 1-bit in Alice's string
  - $S_B$ : the indices of 1-bit in Bob's string

**Observation.**  $2F_0(S) = |x_A| + |x_B| + \Delta(x_A, x_B)$ 

Hamming distance is hard even if we know both  $x_A$  and  $x_A$  have exactly  $(\frac{n}{2})$  1s.

**Claim.**  $(1 + \epsilon)$ -approx. of DE, approximate Hamming distance within  $\frac{\epsilon (n + \Delta (x_A + x_B))}{2} \le n\epsilon$ 

Hence, for  $\epsilon \approx 1/\sqrt{n}$ , any  $(1 + \epsilon)$ -approx. of DE has space complexity  $\Omega(1/\epsilon^2)$ 

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# Lower bound: single pass

- Have seen that O(1) passes can reduce space requirements
- What can(not) be done in one pass?
- We show that distinguishing between k = 2 and k = 3 requires  $\widetilde{\Omega}$  (*mn*) space

# Many vs One Set-Disjointness

- Two sets cover U iff their complements are disjoint
- Consider the following one-way communication complexity problem:
  - Alice: sets  $S_1, \ldots, S_m$
  - Bob: set  $S_B$
  - Question: is  $S_B$  disjoint from any of  $S_i$ 's ?

The randomized one-round communication complexity of Many vs. One Set-Disjointness is  $\Omega(mn)$  if error probability is 1/poly(m).

# Many vs One Set-Disjointness

The randomized one-round communication complexity of Many vs. One Set-Disjointness is  $\Omega(mn)$  if error probability is 1/poly(m).

- Alice's sets are selected *uniformly* at random
- There exist poly(m) sets S<sub>B</sub> such that if Bob learns answers to all of them, he can recover all S<sub>i</sub>'s with high probability
- Bob can recover mn random bits from o(mn) bits of communication -> contradiction



# Recovering Alice's Collection

- Recovery procedure
  - Suppose that Bob has a set  $S_B$  that is disjoint from *exactly* one  $S_i$  (we do not know which one)
    - Call it a "good seed" for S<sub>i</sub>
  - Then Bob queries all extensions  $S_B \cup \{e\}$  to recover  $S_i$
- Bob's queries:
  - A random "seed" of size  $c \log m$  is disjoint from exactly one  $S_i$  w.p.  $m^{-O(c)}$
  - Try *m<sup>0(c)</sup>* times
- Recover all  $S_i$

