Almost-Everywhere Circuit Lower Bounds from Circuit-Analysis Algorithms

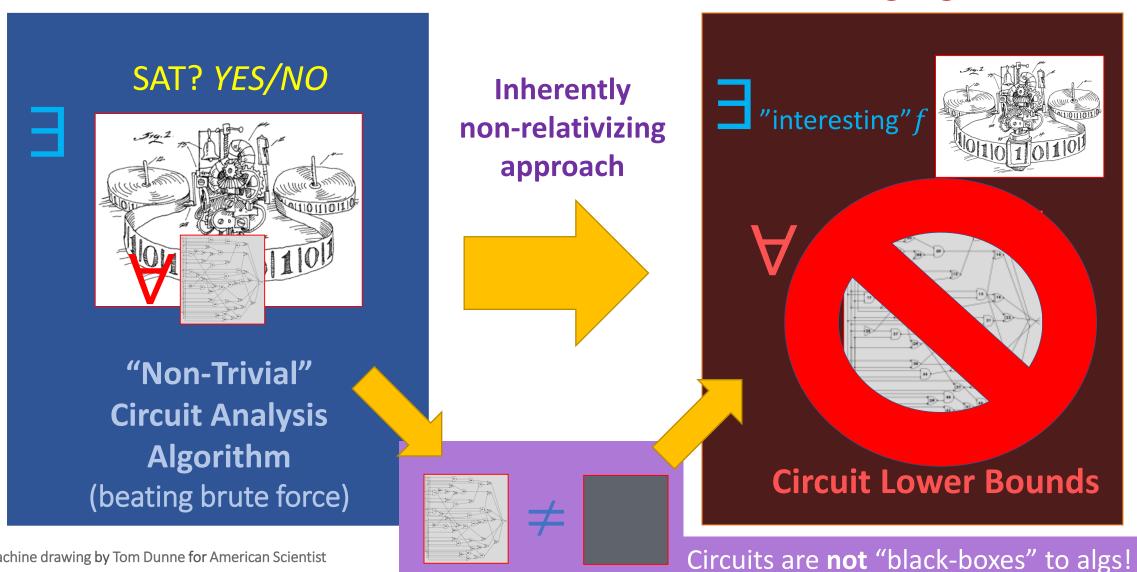
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Outline

- Prior Work and a "Subtle" Issue
- What We Do
- A Little About How We Do It
- Conclusion

Algorithmic Approach to Lower Bounds: Interesting circuit-analysis algorithms tell us about the *limitations* of circuits in modeling algorithms



Circuit-Analysis Problem #1: Generalized Circuit Satisfiability

Let C be a class of Boolean circuits

 $C = \{\text{formulas}\}, C = \{\text{arbitrary circuits}\}, C = \{\text{3CNFs}\}$

The C-SAT Problem:

Given a circuit $K(x_1,...,x_n)$ from C, is there an assignment $(a_1, ..., a_n) \in \{0,1\}^n$ such that $K(a_1,...,a_n) = 1$?

A very "simple" circuit analysis problem

[CL'70s] C-SAT is NP-complete for practically all interesting C-SAT is solvable in $O(2^n |K|)$ time by brute force

Circuit-Analysis Problem #2: Gap Circuit Satisfiability

Let C be a class of Boolean circuits

 $C = \{formulas\}, C = \{arbitrary circuits\}, C = \{3CNFs\}$

Gap-C-SAT:

Given $K(\mathbf{x}_1,...,\mathbf{x}_n)$ from C, and the **promise** that either (a) $K \equiv \mathbf{0}$, or (b) $Pr_x[K(x) = 1] \geq 1/2$, decide which is true.

Even simpler! In randomized polynomial time

[Folklore?] Gap-Circuit-SAT \in P \Rightarrow P = RP [Hirsch, Trevisan, ...] Gap-kSAT \in P for all k

Nontrivially Faster C-SAT \Longrightarrow Circuit Lower Bounds for C

Slightly Faster Circuit-SAT [R.W. '10,'11]

Deterministic algorithms for:

- Circuit SAT in O(2ⁿ/n¹⁰) time
 with n inputs and n^k gates, for all k
- Formula SAT in O(2ⁿ/n¹⁰) time
- *C*-SAT in O(2ⁿ/n¹⁰) time
- Gap-C-SAT in O(2ⁿ/n¹⁰) time on n^k size, for all k

(Easily solved w/randomness!)

No "Circuits for NEXP"

Would imply:

NEXP ⊄ poly-size C

Concrete LBs:

C = ACC[W'11]C = ACC of THR[W'14]

Even Faster SAT → Stronger Lower Bounds

Somewhat Faster Circuit SAT [Murray-W. '18]

Det. algorithm for some $\epsilon > 0$:

- Circuit SAT in $O(2^{n-n^{\epsilon}})$ time with n inputs and $2^{n^{\epsilon}}$ gates
- Formula SAT in $O(2^{n-n^{\epsilon}})$ time
- *C*-SAT in $O(2^{n-n^{\epsilon}})$ time
- Gap-C-SAT in $O(2^{n-n^{\epsilon}})$ time on $2^{n^{\epsilon}}$ gates

No "Circuits for Quasi-NP"

Would imply:

- NTIME[$n^{polylog n}$] $\not\subset$ P/poly
- NTIME[$n^{polylog n}$] $\not\subset$ NC1
- NTIME $[n^{polylog \ n}] \not\subset C$

 $\mathsf{NTIME}[n^{polylog\;n}] \not\subset \mathcal{C}$

C = ACC of THR [MW'18]

Even Faster SAT → Stronger Lower Bounds

Note: Would refute Strong ETH! "Fine-Grained" SAT Algorithms
[Murray-W. '18]

Det. algorithm for some $\epsilon > 0$:

- Circuit SAT in $O(2^{(1-\epsilon)n})$ time on n inputs and $2^{\epsilon n}$ gates
- FormSAT in $O(2^{(1-\epsilon)n})$ time
- C-SAT in $O(2^{(1-\epsilon)n})$ time

No "Circuits for NP"

Would imply:

- NP $\not\subset$ SIZE (n^k) for all k
- NP $\not\subset$ Formulas of size n^k
- NP $\not\subset$ C-SIZE (n^k) for all k

Strongly believed to be true...

• Gap-C-SAT is in $O(2^{(1-\epsilon)n})$ time on $2^{\epsilon n}$ gates

(Implied by **PromiseRP** in **P**)

 $NP \not\subset C$ -SIZE (n^k) for all k

C = SUM of THR
C = SUM of ReLU
C = SUM of lowdegree polys
[W'18]

Faster #SAT and CAPP → Average-Case Lower Bounds

[R.Chen-Oliveira-Santhanam'18, Chen-W'19, Chen'19, Chen-Ren '20]

Det. algorithm for some $\epsilon > 0$:

- #Circuit SAT in $O(2^{n-n^{\epsilon}})$ time with n inputs and $2^{n^{\epsilon}}$ gates
- #Formula SAT in $O(2^{n-n^{\epsilon}})$ time
- #C-SAT in O($2^{n-n^{\epsilon}}$) time
- C-CAPP in $O(2^{n-n^{\epsilon}})$ time

No Circuits for Computing Quasi-NP on Average

Would imply:

• NTIME[$n^{polylog n}$] can't be

(1/2 +1/poly)-approximated in P/poly

- Inapproximability in NC1
- Inapproximability in *C*/poly

C = ACC of THR [Chen-Ren'20]

Given a circuit of size **s**, approximate its *fraction* of SAT assignments to within **+- 1/s**

Faster #SAT and CAPP → Average-Case Lower Bounds

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- *C*-CAPP in $O(2^{n-n^{\epsilon}})$ time

No Circuits for Computing Quasi-NP on Average

Would imply:

• NTIME[$n^{polylog}$ n] can't be

(1/2 +1/poly)-approximated in P/poly

There is an $f \in \mathbf{NTIME}[n^{polylog n}]$ such that, for **infinitely many** n, every poly(n)-size circuit C fails to compute f_n on more than

$$\left(\frac{1}{2} + \frac{1}{\text{poly}(n)}\right) 2^n$$
 inputs.

Given a circuit of size **s**, approximate its *fraction* of SAT assignments to within **+- 1/s**

A Subtle (But Important) Issue!

When we prove statements like NEXP $\not\subset$ ACC⁰ via circuit-analysis algorithms,

we end up showing that, for NEXP-complete problems such as Succinct3SAT, there are infinitely many input lengths n such that Succinct3SAT fails to have the desired ACC circuits on length-n inputs.

Let $f: \{0, 1\}^* \to \{0, 1\}$ and let $f_n: \{0, 1\}^n \to \{0, 1\}$ be the restriction of f

An infinitely-often circuit lower bound only says " f_n doesn't have small circuits" for infinitely many n:

$$f_1, N, f_3, f_4, \dots, N_{00}, \dots, N_{1000}, \dots, N_{0000}, \dots$$

We would greatly prefer an "almost-everywhere" circuit lower bound, which holds for all but finitely many inputs!

All of the classical circuit lower bounds from the 1980s (PARITY ∉ ACO, MAJORITY ∉ ACO[2], etc.) yield *almost-everywhere* lower bounds.

A Subtle (But Important) Issue!

Why does the algorithmic approach only get infinitely-often lower bounds?

Prior work relies on other lower bounds such as the *nondeterministic time* hierarchy theorem or MA/1 circuit lower bounds, and neither results are known to hold almost-everywhere.

If we knew (for example)

 $\mathsf{NTIME}[2^n]$ is not *infinitely often* in $\mathsf{NTIME}[2^n/poly(n)]$,

then we could conclude some kind of almost-everywhere lower bound.

But there are oracles relative to which NEXP is *infinitely often* in NP! [Buhrman-Fortnow-Santhanam '09]

A Subtle (But Important) Issue!

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Prior work relies on other lower bounds such as the nondeterministic time

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If we knev

"There are functions in NTIME[2^n] that are so hard, no nondeterministic algorithm running in $2^n/poly(n)$ time can correctly compute the function on any *infinite sequence of* input lengths"

 $\mathsf{NTIME}[2^n]$ is not *infinitely often* in $\mathsf{NTIME}[2^n/poly(n)]$,

then we could conclude some kind of almost-everywhere lower bound.

But there are oracles relative to which NEXP is *infinitely often* in NP! [Buhrman-Fortnow-Santhanam '09]

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- Conclusion

This Work:

Faster SAT ⇒ Almost-Everywhere Lower Bounds

[R.Chen-Oliveira-Santhanam'18, Chen-W'19, Chen'19, Chen-Ren '20]

Det. algorithm for some $\epsilon > 0$:

• C-SAT (or Gap-C-SAT) with n inputs and $s(n)^{O(1)}$ gates in $2^n/n^{\omega(1)}$ time

• #*C*-SAT (or *C*-CAPP) in $O(2^{n-n^{\epsilon}})$ time $2^{n^{\epsilon}}$ gates

Given a circuit of size **s**, approximate its *fraction* of SAT assignments to within **+- 1/s**

A.E. Circuit Lower Bounds for E^{NP} on Average

There is an $f \in \mathbf{TIME}[2^{O(n)}]^{\mathbf{SAT}}$ such that, for **all but finitely many** n, every $\mathbf{s}(n)$ -size circuit C fails to compute f_n on more than $\left(\frac{1}{2} + \frac{1}{\mathbf{s}(n)}\right) 2^n$ inputs.

• E^{NP} can't be $1/2 + 1/2^{n^{o(1)}}$ -

approximated with $2^{n^{o(1)}}$ size

C-circuits, for a.e. n

Almost-everywhere average-case lower bounds for ACC of THR!

This Work:

Faster SAT ⇒ Almost-Everywhere Lower Bounds

[R.Chen-Oliveira-Santhanam'18, Chen-W'19, Chen'19, Chen-Ren '20]

A.E. Circuit Lower Bounds for E^{NP} on Average

Det. algorithm for some $\epsilon > 0$:

• C-SAT (or Gap-C-SAT) with n inputs and $s(n)^{O(1)}$ gates in $2^n/n^{\omega(1)}$ time

Would imply:

• E^{NP} does not have s(n/2) size

C-circuits, for almost every n

C = ACC of THR $s(n) = 2^{n^{o(1)}}$

• #*C*-SAT (or *C*-CAPP) in $O(2^{n-n^{\epsilon}})$ time $2^{n^{\epsilon}}$ gates

Given a circuit of size **s**, approximate its *fraction* of SAT assignments to within **+- 1/s**

• E^{NP} can't be $1/2 + 1/2^{n^{o(1)}}$ -

approximated with $2^{n^{o(1)}}$ size

C-circuits, for a.e. n

More Almost-Everywhere Goodness

In fact, we can extend all previous " E^{NP} lower bounds" proved via the algorithmic method to the almost-everywhere setting.

Strong average-case ACC⁰ lower bounds:

Extends [Chen-W'19], [Chen-Ren'20]

with better inapproximability parameters

Correlation bounds: For all $\varepsilon>0$, and for all but finitely many n, L_n cannot be $\frac{1}{2}+\frac{1}{2^{n^{\Omega(1)}}}$ approximated by $n^{1-\varepsilon}$ -degree \mathbf{F}_2 -polynomials. Extends [Viola'20]

Probabilistic degree lower bounds:

There is an $\mathbf{E^{NP}}$ language L such that, for **all but finitely many** n, L_n does not have $o(n/\log^2 n)$ -degree probabilistic $\mathbf{F_2}$ -polynomials. Extends [Viola'20]

Rigid matrices in P^{NP}: There is a P^{NP} algorithm \mathcal{A} such that, for **all but finitely many** n, \mathcal{A} on input 1^n outputs an $n \times n$ matrix M_n satisfying $\mathcal{R}_{\left(2^{\log^{1-\varepsilon} n}\right)}(M_n) = \Omega(n^2)$.

Extends [Alman-C'19], [Bhangale-Harsha-Paradise-Tal'20]

Extension to Average Case

[Chen-Ren'20] There is a function f in QuasiNP such that, for **infinitely many** n, every ACC^0 circuit C of size poly(n) cannot $\left(\frac{1}{2} + \frac{1}{poly(n)}\right)$ -approximate f_n .

Theorem: There is an \mathbb{E}^{NP} function f, such that for all sufficiently large n, f_n cannot be $\left(\frac{1}{2} + 2^{-n^{o(1)}}\right)$ -approximated by $2^{n^{o(1)}}$ -size ACC^0 circuits.

"New" XOR Lemma: Suppose there is **no** poly(s)-size linear combination L of ${\it C}$ -circuits for f such that $E_x[|L(x)-f(x)|]<1/10$. Then $f^{\bigoplus k}$ cannot be $\left(\frac{1}{2}+\frac{1}{s}\right)$ -approximated by size- ${\it S}$ ${\it C}$ -circuits.

$$(x_1, \dots, x_k) \mapsto f(x_1) \oplus \dots \oplus f(x_k)$$

(Follows Levin's proof of the XOR Lemma)

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A Little About How We Do It

- Another View of the Proof
- Extending to Almost-Everywhere

How **NEXP** ⊄ **ACC**⁰ Was Proved

Let C be a "typical" circuit class (like ACC⁰)

Thm A [W'11] (algorithm design → lower bounds)

If for all k, Gap-ℂ-SAT on n^k-size is in O(2ⁿ/n^k) time,
then NEXP does not have poly-size ℂ-circuits.

Thm B [W'11] (algorithm)

 $\exists \ \varepsilon$, #ACC°-SAT on $2^{n^{\varepsilon}}$ size is in $O(2^{n-n^{\varepsilon}})$ time. (Used a well-known representation of ACC° from 1990, that people long suspected should imply lower bounds)

Note that Theorem B gives a stronger algorithm than necessary in the hypothesis of Theorem A.

(This is the starting point of [Murray-W'18] which proves Quasi-NP lower bounds, and other subsequent work)

Idea of Theorem A

Let C be some circuit class (like ACC⁰)

Thm A [W'11] (algorithm design → lower bounds)
If for all k, Gap ℂ-SAT on n^k-size is in O(2ⁿ/n^k) time,
then NEXP does not have poly-size ℂ-circuits.

Idea. Show that if we assume both:

- (1) NEXP has poly-size C-circuits, AND
- (2) a faster Gap C-SAT algorithm

Then we can show NTIME[2^n] \subseteq NTIME[$o(2^n)$]. This contradicts the nondeterministic time hierarchy: there's an L_{hard} in NTIME[2^n] \setminus NTIME[$o(2^n)$]

Proof Ideas in Theorem A

Idea. Assume

- (1) NEXP has poly-size C-circuits, AND
- (2) there's a faster Gap C-SAT algorithm

Show that $NTIME[2^n] \subseteq NTIME[o(2^n)]$ (contradiction)

Take any problem L in nondeterministic 2^n time Given an input x, we decide L on x by:

- 1. Guessing a witness y of $O(2^n)$ length.
- 2. Checking y is a witness for x in $O(2^n)$ time.

Want to "speed-up" both parts 1 and 2, using the above assumptions

Proof Ideas in Theorem A

Idea. Assume

- (1) NEXP has poly-size C-circuits, AND
- (2) there's a faster Gap C-SAT algorithm

Show that $NTIME[2^n] \subseteq NTIME[o(2^n)]$

Take any problem L in **nondeterministic 2**ⁿ time Given an input x, we decide L on x in a FASTER way:

- Use (1) to guess a witness y of o(2ⁿ) length
 (Easy Witness Lemma [IKW02]:
 if NEXP is in P/poly, then L has "small witnesses")
- 2. Use (2) to check y is a witness for x in $o(2^n)$ time Technical: Use a highly-structured PCPs for NEXP [W'10, BV'14] to reduce the check to Gap \mathbb{C} -SAT

Extend to Almost-Everywhere?

Idea. Assume

INFINITELY OFTEN

- (1) NEXP has poly-size C-circuits, AND
- (2) there's a faster Gap \mathbb{C} -SAT algorithm Show that NTIME[2^n] \subseteq NTIME[$o(2^n)$]?

Even if we could prove $NTIME[2^n] \not\subset io-NTIME[o(2^n)],$ We still don't know how to complete step 1!

Take any problem L in **nondeterministic 2**ⁿ time Given an input x, we decide L on x in a FASTER way:

- 1. Use (1) to guess a witness y of $o(2^n)$ length (Infinitely-Often Easy Witness Lemma [???]: if NEXP is in io-P/poly, then L has "small witnesses"?
- 2. Use (2) to check y is a witness for x in $o(2^n)$ time Technical: Use a highly-structured PCPs for NEXP [W'10, BV'14] to reduce the check to Gap \mathbb{C} -SAT

 $\mathsf{NT}[2^n] \not\subset \mathsf{io}\mathsf{-NT}[\mathsf{o}(2^n)]$ and $EXP^{NP} \subset \mathsf{io}\mathsf{-}\mathbb{C}$

would imply our desired easy witnesses. We could infer a contradiction!

But such an NTIME hierarchy looks very hard to prove... what to do??

A Little About How We Do It

- Another View of the Proof
- Extending to Almost-Everywhere

Another View of the Proof

NTIME hierarchy \Rightarrow There is a function $f^{hard} \in \text{NTIME}[2^n] \setminus \text{NTIME}[2^n/n]$ Consider a "canonical" algorithm for f^{hard} :

$\mathcal{A}^{hard}(x)$:

- 1. Guess a witness y of $O(2^n)$ length.
- 2. Check y is a witness for x in $O(2^n)$ time.

Consider an algorithm that tries to "cheat" in the computation of f^{hard} , by **only** verifying witnesses that are "compressible" by small ACC^0 circuits.

$\mathcal{A}^{cheat}(x)$:

- 1. Guess a $2^{n^{o(1)}}$ -size ACC⁰ circuit $C: \{0,1\}^n \rightarrow \{0,1\}.$
- 2. Check the **truth-table** of C is a witness for x, in $2^n/n^{\omega(1)}$ time.

NTIME hierarchy $\Rightarrow \mathcal{A}^{\text{cheat}}$ fails to compute f^{hard} on infinitely many inputs

 \Rightarrow There are infinitely many x such that $\mathcal{A}^{cheat}(x) = 0$ and $\mathcal{A}^{hard}(x) = 1$

For each such x, every valid witness for $\mathcal{A}^{\mathrm{hard}}(x)$ is a hard function: it **cannot** be computed by **small** $\mathbf{ACC^0}$ **circuits**!

Another View of the Proof

There are infinitely many x such that $\mathcal{A}^{cheat}(x) = 0$ and $\mathcal{A}^{hard}(x) = 1$

For each such x, every valid witness for $\mathcal{A}^{\mathrm{hard}}(x)$ is a hard function: it cannot be computed by small $\mathbf{ACC^0}$ circuits!

Can use this to construct an E^{NP}/n algorithm with no small ACC⁰ circuits:

Input: an n-bit index $i \in \{0, 1\}^n$.

Advice: an *n*-bit string x_n such that $\mathcal{A}^{\text{cheat}}(x_n) = 0$, $\mathcal{A}^{\text{hard}}(x_n) = 1$.

Output: Repeatedly call an NP oracle to find the lexicographically first witness y

such that $\mathcal{A}^{hard}(x_n) = 1$, and output the *i*-th bit of *y*.

Finally, we can "remove" the advice by just considering an E^{NP} algorithm that takes (i, x) as input. This will also have no small ACC^0 circuits.

What was gained by this perspective??? (We already had NEXP not in ACC⁰)

Vague Idea: Can we use another hierarchy? Can we "construct" these bad x_n ?

A Little About How We Do It

- Another View of the Proof
- Extending to Almost-Everywhere

Extending to Almost-Everywhere

Recall: It is open if there is an $f \in \text{NTIME}[2^n] \setminus \text{io-NTIME}[o(2^n)]$

Idea: Start from a restricted almost-everywhere NTIME hierarchy

NTIMEGUESS[T(n), g(n)]: languages that can be decided by nondeterministic algorithms running in O(T(n)) time and guessing at most g(n) bits of witness.

Theorem [Fortnow-Santhanam 2016]

 $NTIME[T(n)] \nsubseteq io-NTIMEGUESS[o(T(n)), o(n)]$

For time-constructible T(n), there's a function decidable in O(T(n)) nondeterministic time that cannot be decided, even infinitely often, by any o(T(n))-time algorithm using o(n) bits of guessing.

Does it Just Work??

[FS'16] There is a function $f^{hard} \in \text{NTIME}[n^k] \setminus \text{io-NTIMEGUESS}[o(n^k), o(n)]$

Consider a "canonical" algorithm for f^{hard} :

$\mathcal{A}^{\text{hard}}(x)$:

- 1. Guess a witness y of $O(n^k)$ length.
- 2. Check y is a witness for x in $O(n^k)$ time.

As before, we consider an algorithm that tries to "cheat" to compute f^{hard} ...

```
Let m = k \log(n). 2^{m^{o(1)}} \le o(n)
```

- 1. Guess a $2^{m^{o(1)}}$ -size ACC⁰ circuit $C: \{0,1\}^m \rightarrow \{0,1\}.$
- 2. Check the **truth-table** of C is a witness for x, in $o(2^m)$ $o(2^m) \le o(n^k)$

[FS'16] \Rightarrow for a.e. n, \mathcal{A}^{cheat} fails to compute f^{hard} on some input of length n \Rightarrow For a.e. n, there's an $x \in \{0,1\}^n$ such that $\mathcal{A}^{cheat}(x) = 0$ and $\mathcal{A}^{hard}(x) = 1$

For each such x, every valid witness for $\mathcal{A}^{\mathrm{hard}}(x)$ is a hard function: it cannot be computed by small \mathbf{ACC}^0 circuits!

Does it Just Work??

For a.e. n, there's an $x \in \{0, 1\}^n$ such that $\mathcal{A}^{cheat}(x) = 0$ and $\mathcal{A}^{hard}(x) = 1$

For each such x, every valid witness for $\mathcal{A}^{\mathrm{hard}}(x)$ is a hard function: it cannot be computed by small \mathbf{ACC}^0 circuits!

What happens when we try the same E^{NP} algorithm again?

Input: an m-bit index $i \in \{0,1\}^m$, recall $m = k \log(n)$ Advice: an n-bit string x_n such that Advice has length $n = 2^{m/k}$ (!!) L.

Output: Repeatedly call an NP oracle to find the lexicographically first witness y such that $\mathcal{A}^{hard}(x_n) = 1$, and output the i-th bit of y.

Now the advice is *insanely* long! We can't just remove it, as before! (And of course there's a function in $E^{NP}/2^{n/k}$ without small ACC circuits...) But *now*, the *construction* of such inputs x_n becomes an important problem! If we could construct these "bad" x_n in E^{NP} (given input 1^m) we'd be done!

One More (New!) Ingredient

Theorem: [Fortnow-Santhanam 2016] There's an $f^{\mathrm{hard}} \in \mathrm{NTIME}[T(n)] \setminus \mathrm{io-NTIMEGUESS}[o(T(n)), o(n)]$

Theorem: There is a **DTIME**[n T(n)]^{NP} algorithm R (a refuter) such that for every NTIMEGUESS[o(T(n)), o(n)] algorithm A, $R(1^n, A)$ outputs an n-bit x_n such that $f^{hard}(x_n) \neq A(x_n)$, for every sufficiently large n.

Rough Idea: Using a variation on the proof of this time hierarchy, \mathbf{R} does a "binary search" with its NP oracle, making O(n) calls with queries of length about O(T(n)), to find a bad input x_n .

One More Try...

For a.e. n, there's an $x \in \{0, 1\}^n$ such that $\mathcal{A}^{cheat}(x) = 0$ and $\mathcal{A}^{hard}(x) = 1$

For each such x, every valid witness for $\mathcal{A}^{\mathrm{hard}}(x)$ is a hard function: it cannot be computed by small $\mathbf{ACC^0}$ circuits!

The E^{NP} algorithm computing an almost-everywhere hard function:

Input: m-bit index $i \in \{0,1\}^m$, recall $m = k \log(n)$ Algorithm: Set $n \approx 2^{m/k}$ and run refuter $R(1^n, \mathcal{A}^{cheat})$ in E^{NP} , obtaining (for all but finitely many n) an n-bit string x_n such that $\mathcal{A}^{cheat}(x_n) \neq \mathcal{A}^{hard}(x_n)$. Repeatedly call an NP oracle to find the lexicographically first witness y such that $\mathcal{A}^{hard}(x_n) = 1$, and output the i-th bit of y.

Conclusion: $E^{NP} \not\subset io-ACC^0$

Conclusion

We have managed to prove several almost-everywhere lower bounds for functions in E^{NP} , even for the average case.

What about NEXP? Or Quasi-NP? Or NP?

Can we prove NEXP $\not\subset$ io-ACC⁰?

What other lower bounds can be made a.e.?

(e.g. $\Sigma_2 P \not\subset SIZE(n^k)$)

Thanks for watching!



