1	Electrophysiology reveals that intuitive physics guides visual tracking and working	
2	memory	
3	Halely Balaban ^{1,2} , Kevin A. Smith ¹ , Joshua B. Tenenbaum ¹ , Tomer D. Ullman ²	
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5	¹ Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology,	
6	Cambridge, Massachusetts 02139, USA	
7	² Department of Psychology, Harvard University, Cambridge, Massachusetts 02138, USA	
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11	Corresponding author: Halely Balaban (halely@mit.edu)	
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24 Starting in early infancy, our perception and predictions are rooted in strong expectations 25 about the behavior of everyday objects. These intuitive physics expectations have been 26 demonstrated in numerous behavioral experiments, showing that even pre-verbal infants 27 are surprised when something impossible happens (e.g., when objects magically appear or 28 disappear). Yet, the online mental processes that underlie physical expectations remain 29 hidden. In two EEG experiments (N=32 total, male and female), people watched short 30 videos like those used in behavioral studies with adults and infants, and more recently in AI 31 benchmarks. Objects moved on a stage, and were briefly hidden behind an occluder, with 32 the scene either unfolding as expected, or violating object permanence (adding or 33 removing an object). We measured the contralateral delay activity, an electrophysiological 34 marker of online processing, to examine participants' working memory (WM), as well as 35 their ability to continuously track the objects in the scene. We found that both types of object permanence violation disrupted tracking, even though violations involved 36 37 perceptually non-salient events (magical vanishing) or new objects that weren't previously 38 tracked (magical creation). The physical violation caused WM to reset, i.e., discard the 39 original scene representation before it could recover and represent the updated number of 40 items. Providing a physical explanation for the violations (a hole behind the occluder) 41 restored object tracking, and we found evidence that WM went on representing the item 42 that disappeared 'down the hole'. Our results show how intuitive physical expectations 43 shape online representations and form the basis of dynamic object tracking.

44 Significance statement

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46 People expect ordinary things to behave in ordinary ways. For example, objects should not appear out of thin air, or suddenly disappear. Decades of research have shown even infants 47 48 are surprised by physically impossible events. Despite many advances made using 49 behavioral studies, the moment-by-moment neural dynamics of physical expectation 50 violation remain uncharted. Making novel use of electrophysiological markers, we reveal 51 the influence of intuitive physics on online scene processing. Violations of object 52 permanence disrupted object tracking, due to expectations about physical outcomes. 53 Working memory quickly recovered, forming modified scene representations based on 54 physical explanations. This work uncovers a fundamental way in which intuitive physics 55 governs everyday cognition, and provides a new neural method for studying central 56 cognitive processes.

57 Introduction

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59 People have basic expectations about the physical behavior of everyday objects. Objects do 60 not simply disappear and reappear, and solid bodies do not pass through one another or 61 break apart for no reason. Such expectations, known as 'intuitive physics', help us to 62 efficiently perceive, predict, and interact with the world around us (Spelke, 1990; Battaglia 63 et al., 2013; Kubricht et al., 2017). Core physical expectations are present in very early 64 infancy, and shared by many non-human animals (Baillargeon et al., 1985; Wynn, 1992; Xu 65 and Carey, 1996; Cacchione and Krist, 2004). The existence of these expectations has been 66 established over decades, mostly through measures of overt surprise in the face of 67 physically impossible events. More recent studies have used computational and 68 neuroscientific methods to provide insight into the cognitive mechanisms and brain 69 regions involved in intuitive physics (Fischer et al., 2016; Bear et al., 2021; Piloto et al., 70 2022). Yet despite the fundamental role of intuitive physics in our understanding of the 71 world, its moment-by-moment neural processing remains largely unknown. In this paper, 72 we use EEG methods and behavioral displays to study the unfolding neural dynamics of 73 physical expectations, from representation, to the detection of anomalies, to resolution. 74 To uncover the ongoing processing of intuitive physics, we adopted a novel 75 approach of targeting working memory (WM). This mental workspace holds information in 76 an active state, ready to be accessed and manipulated (Baddeley, 1992; Luck and Vogel, 77 1997). WM is involved in both classic memory paradigms, and whenever material has to be 78 held online (Blaser et al., 2000; Carlisle et al., 2011; Tsubomi et al., 2013). We modified a 79 standard WM paradigm, to include stimuli based on classic developmental studies (Wynn,

80 1992) and recent AI-benchmarks that probe physical expectations in machines (Piloto et81 al., 2022).

In two experiments, people watched short animations of one or two objects crossing a stage (Figure 1; example videos: <u>https://youtu.be/w_jalxFD0HU</u>). The scenes either unfolded as expected, or contradicted object permanence by having an object appear or disappear. Using EEG, we recorded scalp electrical activity as people watched the animations, and tested, for the first time, how violations of physical expectations are processed online.



Figure 1. Frames from the animations used as stimuli. (A) Experiment 1's conditions. Top
to bottom: 1-Object and 2-Objects Controls, Create, and Vanish. Note that Create starts like
1-Object and ends like 2-Objects, while Vanish is the opposite. (B) Experiment 2's Create
and Vanish condition.

To evaluate moment-by-moment active processing, we isolated contralateral delay
activity (CDA; see Vogel and Machizawa, 2004; Vogel et al., 2005; Luria et al., 2016), an
event-related potential (ERP) index of WM. The CDA's amplitude reflects representational
load (while being immune to related factors, for a review see Luria et al., 2016), rising
when more items are held online (Figure 2A, top). The CDA also reflects the dynamics of

99 the pointer system, an indexing process connecting online representations with perception. 100 The pointer system implements a one-to-one correspondence through which a specific 101 representation in WM can be accessed and updated when the analogous real-world item 102 changes (Kahneman et al., 1992; Scholl and Pylyshyn, 1999; Pylyshyn, 2000; Balaban and 103 Luria, 2017). If the WM-perception correspondence is disrupted (e.g., if an object splits in 104 two), WM resets (Balaban and Luria, 2017; Balaban et al., 2018a, 2018b, 2019a). Resetting 105 is accompanied by a reliable transient drop in CDA amplitude (Figure 2A, bottom), 106 indicating that an event interrupted the pointer system's tracking ability. The CDA-drop 107 specifically taps into pointer system disruption, and does not reflect related but distinct 108 factors such as general surprise (Balaban and Luria, 2019; see also the Discussion).





111 Figure 2. Schematic of past results and current predictions. (A) Previous CDA-based

- 112 findings regarding online representations (top) and tracking (bottom). (B) Current
- 113 predictions in Experiment 1 (top) and 2 (bottom).

114	The excellent temporal resolution of ERPs allowed us to examine different pre-		
115	defined time-windows of the CDA amplitude, to uncover evolving mental processing in a		
116	fine-grained manner (Figure 2B). Specifically, we tested whether violations of object		
117	permanence (Experiment 1) interrupt object tracking, causing a CDA-drop in the		
118	previously-established resetting window, followed by the appropriate removal or addition		
119	of an object from WM. We also hypothesized that if violations are explained away		
120	(Experiment 2), the modified expectations about physical dynamics may prevent		
121	disruption, leading to no resetting, and no removal of the vanished object from WM.		
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125	Materials and Methods		
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127	Data, code, and video examples are available at the Open Science Framework:		
128	https://osf.io/csarg.		
129			
130	Participants		
131	Participants were students with normal or corrected-to-normal visual acuity and normal		
132	color-vision, who gave informed consent following the procedures of a protocol approved		
133	by the Massachusetts Institute of Technology Committee on the Use of Humans as		
134	Experimental Subjects under protocol 1912000059. Due to the COVID-19 global pandemic,		
135	the experiments were run at Tel Aviv University, Israel. Participants were notified of their		

rights before the experiment, were free to terminate participation at any time, and werecompensated monetarily for their time at a rate of \$30 an hour.

138 Each experiment included 16 naïve participants (11 females, mean age 24 in 139 Experiment 1, and 14 females, mean age 24 in Experiment 2). Sample size was determined 140 based on the smallest effect size in the study with the most similar analysis method 141 (Balaban and Luria, 2017), which was d = 0.8, entailing 16 participants for 80% power. 142 Because the experiment required holding fixation and avoiding blinks for a relatively long 143 time (roughly 6 seconds on each trial), participants who could not perform the task under 144 these limitations were released after the first few blocks and replaced (3 in each 145 experiment). Another participant in Experiment 1 was released and replaced for failing to 146 understand the task, and another one was released due to electrode malfunction.

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148 **Stimuli and Procedure**

149 In this study, we presented videos that may or may not contain a physical violation, and we 150 measured EEG to examine how the scenes are represented. As is standard, this requires 151 showing two videos – one for each hemisphere – and pre-cuing which side to pay attention 152 to. Using this lateralized display allows defining the contralateral and ipsilateral 153 hemispheres, and subtracting them to get rid of any common perceptual factors. To ensure 154 participants pay attention to the videos and hold objects in WM, after the animations' 155 presentation they were given a task, to pick out one of the objects that they had seen from a 156 set of objects. Figure 3 presents the trial sequence.



Figure 3. Example of a trial sequence in Experiments 1 and 2. Black arrows indicated to participants which side is relevant for the upcoming trial. The video animations included objects crossing the floor, as an occluder went up and down, briefly hiding them from view. Objects' movement and the occluder were irrelevant to the task. After a retention interval, subjects selected the previously presented object from 4 options, which were either all the same color and varying in shape (a shape test; top), or all the same shape and varying in color (a color test; bottom).

166 Each trial started with a 1,000 ms display of a fixation black cross $0.7^{\circ} \times 0.7^{\circ}$ of 167 visual angle from a viewing distance of approximately 60 cm) in the center of a grey screen 168 (RGB values: 180, 180, 180). Then, two black arrows $(3^{\circ} \times 1^{\circ})$ appeared above and below 169 fixation for 200 ms. The arrows pointed either left or right randomly (with an equal 170 probability), and this indicated the side to which participants were asked to attend for the 171 upcoming trial. After another 300-500 ms (randomly jittered) fixation display, a video 172 animation was played on each side of the fixation. Each video spanned about 21° in width 173 and 12° in height, and was placed at a distance of 4.2° from the fixation, and at the middle

174 of the screen's height. The videos on both sides were always from the same condition (see 175 below; from here on, when describing the number of items, we always refer to the relevant 176 side), with all other details drawn randomly (without replacement) independently between 177 the sides. On the main trials, the videos played for 3 second. On catch trials, the videos 178 played for only 300 ms. The goal of these short trials was to ensure participants paid 179 attention not only to the end of the animation. They made up 25% of the trials in the first 180 block, and 10% in all other blocks. Catch trials were not analyzed. After the video 181 animations, a 900 ms retention interval was presented, with only the fixation visible on 182 screen. Then a memory probe appeared, including an image of 4 objects, from which 183 participants chose the one that appeared in the video they saw on the relevant side of the 184 screen. Responses were made via button press (using the "g", "h", "j", and "k" keys on a 185 standard keyboard, based on the objects' location in the image, from left to right). Responses were unspeeded and no feedback was provided. Participants were asked to hold 186 187 fixation throughout the trial, and to blink only when they press the response key. 188 Participants completed 15 practice trials, followed by 16 experimental blocks of 50 trials 189 each, for a total of 800 trials (including catch trials), and about 180 trials per condition. The 190 experimental session took 2.5-3 hours (including EEG preparation).

Animations were created in Blender, and rendered in the Eavee engine at 24 frames per second. Videos displayed a light-grey and white checkerboard pattern floor, a white back wall, and a rectangular light-orange screen, referred to as the occluder, in the middle of the scene. The occluder started off on the floor, went up until it stood vertically midway through the video, and lowered back down. Additionally, each video included one or two objects crossing the floor from one side to the other, with the occluder hiding them from

view for 625 ms. When the animation included two objects, they moved in opposite
directions, and one of them was slightly closer to the occluder than the other, so that they
would not collide.

There were 4 possible un-namable shapes, and 4 possible colors that were all shades of blue (the exact rendered color changed across the object's surface because of the irregular shapes' shading; RGB values are reported based on one representative area: 45, 45, 142; 60, 60, 230; 105, 60, 220; 45, 130, 210), for a total of 16 possible objects. Each object's movement direction (from right to left or from left to right), color, and shape were determined randomly without replacement in each trial.

206 There were 4 conditions, varying in the number of objects at the beginning and end 207 of the animation (see Figure 1A). In the two physically possible Control conditions, either 208 one or two objects simply passed behind the occluder (the 1-Object and 2-Objects Controls, 209 respectively). There were two physically impossible conditions: Create, where one object 210 went behind the occluder but two exited, and Vanish, where two objects went behind the 211 occluder but only one exited. Thus, in the Create condition the video's first half was 212 identical to the 1-Object Control and its second half was identical to the 2-Objects Control, 213 and vice versa for the Vanish condition. The Create and Vanish conditions constitute 214 violations of object permanence, which translates to a change in the number of objects, 215 allowing us to leverage the CDA's set-size sensitivity (see below). In the Vanish condition, 216 the item that disappeared was never probed, though participants were not explicitly told of 217 that.

There were only two differences between Experiments 1 and 2. First, in the Create
and Vanish conditions of Experiment 2, a small black rectangle was placed right behind the

220 occluder throughout the trial (see Figure 1B). Importantly, this black area was only visible 221 when the occluder was down (i.e., at the beginning and end of the trial). The second 222 difference compared to Experiment 1 was the explanation provided to participants before 223 the experiment started. Participants in Experiment 2 were told that the black area is a hole 224 in the floor, meaning that if the trial starts with two objects, one is going to fall down (the 225 front object), and if the trial starts with one object, one is going to "climb up" from the hole, 226 using a hidden leverage. Participants were also shown example video of the different 227 conditions, and a demonstration of what the Vanish condition would look like if the 228 occluder wasn't there (showing an object falling down the "hole"). 229 230 **EEG Recording and Analysis**

EEG was recorded inside a shielded Faraday cage, using a BioSemi ActiveTwo system, from
32 scalp electrodes placed at a subset of the extended 10-20 system's locations, and from
two electrodes placed on the mastoids, which served as reference. EOG was recorded from
two electrodes placed 1 cm from the external canthi, and from an electrode placed 2 cm
beneath the left eye. Data was digitized at 256 Hz.

Offline signal processing was performed using the EEGLAB (Delorme and Makeig,
2004) and ERPLAB (Lopez-Calderon and Luck, 2014) toolboxes, and custom Matlab (The
Mathworks, Inc.) scripts. All electrodes were referenced to the average of the left and right
mastoid electrodes. Continuous data was segmented into epochs from -200 to +3900 ms
from animations' onset (corresponding to the end of the retention interval). Artifact
detection was performed on the EOG electrodes using a sliding window peak-to-peak
analysis, with a threshold of 80 µV. This procedure resulted in a mean rejection rate of

9.2% in Experiment 1, and 9.5% in Experiment 2 (for evidence that eye movements are not
responsible for the CDA or the resetting-drop, see Kang and Woodman, 2014; Balaban and
Luria, 2017, 2019; Balaban et al., 2018a). For plotting purposes, the epoched data were
low-pass filtered using a noncausal Butterworth filter (12 dB/oct) with a half-amplitude
cutoff point at 30 Hz. Statistical analysis was performed on the unfiltered data, to avoid
potential effects of filtering on the results.

Epoched data were averaged separately for each condition, and difference waves
were calculated by subtracting ipsilateral from contralateral activity, relative to the
memorized side on each trial. As was done in previous research (e.g., Balaban and Luria,
2017; Balaban et al., 2018a, 2019a), we focus on the results from the average of 3 parietaloccipital electrode pairs – P7/8, Po3/4, and Po7/8 – but similar patterns of activity were
found in each pair separately.

255

256 Experimental Design and Statistical Analyses

257 In order to examine the maintenance and tracking of online representations in WM during 258 a physics-violation task, we isolated the CDA (Vogel and Machizawa, 2004; Vogel et al., 259 2005; Luria et al., 2016). The CDA is an ERP component reflecting online processing in 260 visual WM. It was first reported in memory tasks, but can be measured equally well when 261 items are held in WM while being completely visible (Tsubomi et al., 2013), like in search 262 or tracking tasks (Drew and Vogel, 2008; Luria and Vogel, 2011b). Numerous studies have 263 shown that CDA amplitude is not sensitive to processes that are related to, but distinct 264 from, WM, such as spatial attention or task difficulty (Vogel and Machizawa, 2004; 265 McCollough et al., 2007; Ikkai et al., 2010; Feldmann-Wüstefeld et al., 2018, and, for a

266	review, see Luria et al., 2016). Similarly, the resetting-drop in CDA amplitude is extremely
267	specific: When the mapping between WM representations and perceptual input is
268	disrupted there is a characteristic drop, whereas extremely similar situations (even within
269	experiment and participants) that allow this mapping to hold lead to a smooth change in
270	amplitude (Balaban and Luria, 2017; Balaban et al., 2018a, 2019a, and, for a review, see
271	Balaban and Luria, 2019). Last though crucial, the CDA-drop does <i>not</i> reflect general
272	surprise. Rather, it is the result of a specific disruption to object tracking. The effect persists
273	after many dozens of exposures to the disrupting events, and can also be observed for
274	events that are completely predictable (Balaban et al., 2019b).
275	Based on prior work, we analyzed the CDA in several pre-defined time-windows (for
276	a similar approach in different contexts, see Luria and Vogel, 2011a; Drew et al., 2012,
277	2013; Balaban and Luria, 2015; Peterson et al., 2015; Balaban and Luria, 2016a, 2016b).
278	First, to examine tracking, for each condition we compared mean amplitude across two
279	previously-defined time-windows (Balaban and Luria, 2017): the resetting window, 200-
280	300 ms after the critical event, and the pre-resetting baseline window, immediately
281	preceding it, i.e., 100-200 ms after the critical event. Here, the critical event was the
282	moment when items started to emerge from behind the occluder, or, in the Vanish
283	condition, the time in which an item should have emerge but didn't. This happened 1896
284	ms after the onset of the video.
285	We additionally examined the window immediately following the resetting window

delay was enough time for the resetting process to finish (e.g., Balaban and Luria, 2017).

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(300-400 ms after the critical event). In previous studies involving object separation, this

We compared this time-window to the pre-resetting baseline time-window, to test whetherin our study WM recovers as quickly as in other contexts.

290 To establish scene reinterpretation, we compared mean amplitude during the 291 retention interval (3200-3900 ms after trial onset; note that the CDA takes about 200 ms to 292 respond, e.g., to initially rise, see Vogel et al., 2005) across the different conditions. 293 Specifically, we examined whether, after the video ended, participants represented the 294 Create and Vanish conditions similarly to 1 object or to 2 objects (i.e., whether the 295 amplitude in each impossible condition is lower than that of the 2-Objects Control, or 296 higher than that of 1-Object Control). 297 A resetting-drop was established via a within-subjects Analysis of Variance 298 (ANOVA), with Time (pre-resetting baseline vs. resetting, and pre-resetting vs. prolonged 299 resetting) and Condition as independent factors on mean amplitude as the dependent 300 measure. The final representation was examined with a within-subjects ANOVA, with 301 Condition as an independent factor, on mean amplitude during the retention time-window 302 as the dependent measure. Finally, we analyzed behavioral performance in the task with a 303 one-way within-subjects ANOVA with condition as an independent factor on accuracy as a 304 dependent measure. We followed these ANOVAs with planned comparisons (contrasts), the 305 results of which we focus on, for simplicity. We additionally report effect sizes for all 306 statistical comparisons, and 95% confidence intervals (CIs) for the difference between 307 conditions.

- 308 <u>Results</u>
- 309

310 **Experiment 1: Active representations rely on intuitive physics**

311 In Experiment 1, we tested how object permanence violations affect online maintenance 312 and tracking. Within a WM task, participants (n = 16) watched animations of objects 313 moving across a stage, behind a rising screen, and back out as the screen lowers (Figure 314 1A). In the Control conditions, the animations proceeded as expected. In the impossible 315 conditions, while the screen was up an object was added (Create) or removed (Vanish). 316 seeming to appear or disappear magically. It is in principle possible that object tracking is 317 sensitive only to low-level visual properties, rather than physical expectations. In this case, 318 the CDA should rise or fall smoothly. However, if events that contradict intuitive physics 319 disrupt the ongoing function of the pointer system, both Create and Vanish should trigger a 320 CDA-drop (resetting).

321 To test the possible disruption of the pointer system, we compared the CDA 322 amplitude in the resetting time-window (200-300 ms after the critical event, when an item 323 appeared or failed to appear) to the baseline time-window which immediately precedes 324 any potential CDA-drop (Balaban and Luria, 2017). We also compared the baseline to the 325 immediately subsequent time-window (300-400 ms after the critical event). After 326 establishing a significant interaction of Time and Condition (F(3,45) = 3.31, p = 0.028), we 327 focused our analysis on the violation conditions. We found a CDA-drop for both types of 328 object permanence violation (Create and Vanish), with lower amplitude in the resetting 329 time-window (Figure 4). This effect was marginally significant in the Create condition 330 $(F(1,15) = 4.47, p = 0.05, d = 0.5, 95\% CI = [0.0, 0.63] \mu V)$, and significant in the Vanish

condition (F(1,15) = 5.61, p = 0.03, d = 0.6, 95% CI = [0.02, 0.4]). As detailed above, this
CDA-drop does *not* reflect a general surprise from some event, but specifically marks a
disruption to the ongoing function of the pointer system. So, the results demonstrate that
the pointer system depends on intuitive physics for object tracking.

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Figure 4. EEG results for Experiment 1. Top: CDA waveforms by condition. Dashed line indicates when objects emerge behind the lowering screen. Analyzed time-windows are in grey. Bottom: Mean amplitude by condition and time-window; error bars show standard error. From left to right: Resetting minus baseline (indicating object tracking disruption), immediately following window minus baseline (indicating prolonged object tracking disruption), and retention interval amplitude (indicating scene reinterpretation, i.e., the number of represented objects at the end of the trial). Asterisks show significant (black)

and marginally significant (grey) contrasts, following the ANOVAs (see Materials andMethods).

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348 Resetting even persisted in the following time-window, with significantly lower 349 amplitudes (as compared with the pre-resetting window) for both the Create (F(1,15) =350 7.67, p = 0.01, d = 0.7, 95% CI = [0.1, 0.79] and Vanish (F(1,15) = 9.08, p = 0.01, d = 0.8, 351 95% CI = [0.13, 0.78]) conditions. Though we cannot directly compare the current results 352 with experiments that use different stimuli, the prolonged resetting effect suggests that the 353 pointer system took longer to recover from violations of object permanence than what was 354 previously reported for 2D events like separation, in which the same delay was enough for 355 the system to recover (e.g., Balaban et al., 2019a).

356 In addition to the predicted resetting effects in the violation conditions, we found 357 possible marginal evidence for shorter-lived resetting effects in the Control conditions. In 358 the resetting time-window, there was borderline evidence for a drop in the 2-Objects 359 Control (F(1, 15) = 3.66, p = 0.08, d = 0.5, 95% CI = [-0.04, 0.69]), and no evidence for this 360 effect in the 1-Object Control (F(1, 15) = 1.97, p = 0.18, d = 0.4, 95% CI = [-0.08, 0.39]). In 361 the later time-window, there was no evidence for resetting in the 2-Objects Control (F(1, 362 15) = 1.38, p = 0.26, d = 0.3, 95% CI = [-0.17, 0.57]), and there was an effect for the 1-Object 363 Control (F(1, 15) = 4.88, p = 0.04, d = 0.6, 95% CI = [-0.01, 0.64]). We believe these effects, if 364 they can be considered that, are artifacts. Beyond the fact that the evidence for them was 365 not strong in this experiment, the results of Experiment 2 (see below) show that a direct 366 replication of the Control conditions result in no effect at all. Still, if one were to try to 367 account for these possible effects, we would suggest that the violations of intuitive physics

in the impossible conditions may have led participants to undergo a resetting process in *all*conditions, though more weakly in the Control conditions. In support for this possibility, it
has recently been shown that when pointer-disruption events become prevalent, a
resetting process will occur in situations that do allow the mapping to hold (Friedman and
Luria, 2022).

373 In addition to tracking disruption, we compared the final CDA amplitude (during the 374 retention interval) of the different conditions, to test how WM representations changed as 375 the scene evolved. We found a significant effect of Condition (F(3,45) = 4.46, p = 0.008). We 376 expected that by the end of the trial, the CDA amplitude would reflect the updated number 377 of items in the scene. In line with this, a comparison of the different conditions indicated 378 that the CDA amplitude was consistent with an item being added in the Create condition, 379 and removed in the Vanish condition. The CDA amplitude in Create was higher than the 1-380 Object Control (F(1,15) = 9.77, p = 0.01, d = 0.8. 95% CI = [0.17, 0.9]), and similar to the 2-381 Objects Control (F < 1, p = 0.76, d = 0.1, 95% CI = [-0.41, 0.55]). The CDA amplitude in the 382 Vanish condition was significantly lower than Create (F(1,15) = 6.36, p = 0.02, d = 0.6, 95% 383 CI = [0.05, 0.58], marginally lower than the 2-Objects Control (F(1,15) = 3.94, p = 0.07, d = 384 0.5, 95% CI = [-0.03, 0.8]), and similar to the 1-Object Control (F(1,15) = 1.81, p = 0.2, d = 385 0.3, 95% CI = [-0.13, 0.57]). These findings indicate that participants successfully and 386 rapidly adjusted their representations after object permanence violations, adequately 387 adding or removing objects from WM.

For completeness, we also examined behavioral performance, and found a
 significant effect of Condition on accuracy (F(3,45) = 41.69, p < 0.001). We stress that
 accuracy in our task does not tap into the ongoing dynamics of representations in WM,

391 given the long presentation time after items exit from behind the occluder. The mean 392 accuracy in the Create condition was lower than in the 1-Object Control (M = 0.78 vs. 0.93; 393 F(1, 15) = 100.8, p < 0.001, d = 2.5, 95% CI = [0.12, 0.19]), and similar to the mean accuracy 394 in the 2-Objects Control (M = 0.79; F < 1, p = 0.4, d = 0.2, 95% CI = [-0.02, 0.04]). These 395 results match the item load at the end of the trial. The mean accuracy in the Vanish 396 condition was lower than in the 1-Object Control (M = 0.88; F(1, 15) = 31.1, p < 0.001, d =397 1.4, 95% CI = [0.03, 0.08], and higher than the 2-Objects Control (F(1, 15) = 20.8, p < 0.001, 398 d = 1.1,95% CI = [0.05, 0.13]) and Create (F(1, 15) = 68.4, p < 0.001, d = 2.1,95\% CI = [0.08, 399 0.13]) conditions. So, even though the CDA amplitude indicated a successful removal of the 400 item that disappeared, its presence in the first part of the trial still produced a cost relative 401 to one object.

402

403 **Experiment 2: Online representations depend on expectations**

404 In Experiment 2, we tested whether changing the interpretation of scenes can affect the 405 moment-by-moment dynamics of object maintenance and tracking. New participants (n = 406 16) watched short animations that were identical to Experiment 1, except that the Create 407 and Vanish conditions included a small black rectangle behind the rising screen (Figure 408 1B), which was described to participants as a hole that objects could climb up from or fall 409 into. The hole was hidden behind the screen when objects emerged, making the scenes 410 perceptually-identical to Experiment 1 during the critical moments. The two experiments 411 diverged only in terms of participant expectations, as the appearance or disappearance of 412 items could now be explained away (Perez and Feigenson, 2022).

413	As in the previous experiment, we examined potential disruptions to the pointer
414	system by comparing the resetting time-window, and the subsequent time-window, to the
415	baseline time-window immediately before any CDA-drop, and found a significant
416	interaction of Time and Condition ($F(3,45) = 4.18$, p = 0.01). If physical expectations govern
417	online object tracking over and above low-level factors, then making the Create and Vanish
418	scenes physically possible should eliminate the resetting effect. Accordingly, as can be seen
419	in Figure 5, we found that there was no resetting effect for Create nor Vanish (both F's < 1,
420	both p's > 0.4, both d's < 0.2; Create 95% CI = [-0.22, 0.23]; Vanish 95% CI = [-0.11, 0.23]).
421	In the following time-window, there was no resetting effect for Vanish (F < 1, p = 0.9, d = 0,
422	95% CI = [-0.26, 0.28]), but there was one for Create (F(1,15) = 4.59, p = 0.049, d = 0.5, 95%
423	CI = [0.0, 0.63]). Possibly it was more difficult to explain an appearance, and to predict
424	which exact object will emerge.





426 Figure 5. EEG results for Experiment 2. Top: CDA waveforms by condition. Dashed line 427 indicates when objects emerge behind the lowering screen. Analyzed time-windows are in 428 grey. Bottom: Mean amplitude by condition and time-window; error bars show standard 429 error. From left to right: Resetting minus baseline (indicating object tracking disruption), 430 immediately following window minus baseline (indicating prolonged object tracking 431 disruption), and retention interval amplitude (indicating scene reinterpretation, i.e., the 432 number of represented objects at the end of the trial). Asterisks show significant (black) 433 and marginally significant (grey) contrasts.

We next examined whether there was a resetting effect in the Control conditions,
which were identical to the Control conditions of Experiment 1. As a reminder, we found
some weak evidence for this effect in the Control conditions of Experiment 1, and posited it
is likely an artifact. In Experiment 2, we found no drop effect in the Control conditions, in

439 either the resetting time-window (1-Object Control: F(1, 15) = 1.76, p = 0.2, d = 0.3, 95% CI 440 = [-0.11, 0.45]; 2-Objects Control: F < 1, p = 0.8, d = 0.1, 95% CI = [-0.16, 0.2]) or the 441 prolonged resetting window (both F's < 1, both p's > 0.3, both d's < 0.3; 1-Object Control 442 95% CI = [-0.17, 0.41]; 2-Objects Control 95% CI = [-0.26, 0.35]). Because the Control 443 conditions were identical in Experiment 1 and 2, the non-effect in Experiment 2 suggests 444 that indeed the marginal evidence for resetting in Experiment 1's Control conditions had 445 something to do with the context produced by the impossible conditions, in line with recent 446 work (Friedman and Luria, 2022). This further shows that the resetting results of 447 Experiment 1 do not reflect a condition-general effect (e.g., as the need to track items 448 through occlusion, or an overall reduction in CDA amplitude over time).

449 As with Experiment 1, we established how the scenes are interpreted after all the 450 events took place by comparing the final CDA amplitude across the different conditions, 451 which resulted in a significant effect of Condition (F(3,45) = 4.46, p = 0.008). We found that 452 in the Create condition, the final CDA amplitude followed the updated number of objects in 453 the scene, in that it was higher than the 1-Object Control (F(1,15) = 11.4, p = 0.004, d = 0.8, 454 95% CI = [0.23, 1.02], and similar to the 2-Objects Control (F < 1, p = 0.9, d = 0.0, 95% CI = 455 [-0.41, 0.47]). Interestingly, in the Vanish condition the amplitude was also significantly 456 above the 1-Object Control (F(1,15) = 11.57, p = 0.004, d = 0.9, 95% CI = [0.26, 1.13]), and 457 similar to the 2-Objects Control (F < 1, p = 0.7, d = 0.1, 95% CI = [-0.4, 0.6]), as well as the 458 Create condition (F < 1, p = 0.7, d = 0.1, 95% CI = [-0.4, 0.6]). This result suggests that 459 participants continued to hold the vanished object in WM, even though, as in Experiment 1, 460 the object disappeared from view, and was never probed during the memory test. The item 461 that 'fell down the hole' is out of view, but still part of the scene. This suggests that online

462	representations are not determined simply by predictability (which would lead	
463	participants to never represent the to-be-vanished item), but also by physical explanations	
464	Again for completeness, we examined people's behavioral performance on the	
465	memory task, finding a significant effect of Condition on accuracy (F(3,45) = 33.89, p <	
466	0.001). We found the same pattern as in Experiment 1. The mean accuracy in the Create	
467	condition was lower than in the 1-Object Control (M = 0.79 vs. 0.93; F(1, 15) = 61.47, p <	
468	0.001, d = 2.0, 95% CI = [0.09, 0.16]), and similar to the 2-Objects Control (M = 0.8; F(1, 15)	
469	= 1.71, p = 0.2, d = 0.3, 95% CI = [-0.01, 0.04]). The mean accuracy in the Vanish condition	
470	was lower than in the 1-Object Control (M = 0.88; F(1, 15) = 11.58, p = 0.004, d = 0.9, 95%	
471	CI = $[0.02, 0.09]$), and higher than the 2-Objects Control (F(1, 15) = 16.52, p = 0.001, d = 1.0,	
472	95% CI = [0.03, 0.1]) and Create (F(1, 15) = 21.92, p < 0.001, d = 1.2, 95% CI = [0.05, 0.12])	
473	conditions. So, while people's behavioral memory performance was the same across	
474	Experiment 1 and 2, their online dynamics diverged. This again shows the importance of	
475	tools like the CDA in uncovering hidden representational dynamics.	
476		
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479	Discussion	
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481	Our findings show for the first time how violations of intuitive physics are processed	
482	moment-by-moment to support object tracking and WM updating. We presented scenes	
483	inspired by developmental studies and AI benchmarks in a WM task, and monitored the	

484 neural dynamics of processing physically surprising events. Specifically, we examined the

485 CDA (Vogel and Machizawa, 2004; McCollough et al., 2007; Luria et al., 2016), an ERP index 486 of online processing, in two different ways. First, the presence or absence of a CDA-drop 487 after a given event indicated whether this event prevented objects in the scene to continue 488 being tracked (Balaban and Luria, 2017, 2019; Balaban et al., 2018a, 2019). Second, 489 comparing the CDA amplitude between different conditions showed how the scenes were 490 represented in WM after each event took place. The novel use of a well-established 491 electrophysiological marker revealed that violations of object permanence disrupt the 492 pointer system's ability to track objects (Experiment 1), due to expectations about physical 493 outcomes (Experiment 2).

494 The disruption of tracking during violations of physical expectations sheds light on 495 the principles governing the normal function of the pointer system. First, the presence of a 496 resetting effect in the Vanish condition shows that an event does not have to be 497 perceptually salient to disrupt object tracking. Second, the resetting effect in the Create 498 condition suggests that the pointer system is sensitive to the physical aspects of the scene 499 in tracking objects: The new object's appearance is only "impossible" in the sense that it 500 should not have appeared in a place that was previously empty (note that the mere 501 appearance of a new object in a scene does not in itself trigger resetting; (Balaban and 502 Luria, 2017). Taken together, these two effects suggest that in maintaining a continuous 503 correspondence between the perceptual input and the active representations in WM, the 504 pointer system is not solely driven by low-level factors, as is usually assumed in 505 discussions of object tracking (for a review of this subject, see Holcombe, 2023). While the 506 pointer system obviously relies on visual input, the present results show that it also 507 incorporates the physical interpretation of visual events (Lau and Brady, 2020).

508 Our findings suggest that the brain's tracking system predicts an object's future 509 location based on intuitive physics, perhaps via noisy quantitative physical simulation 510 (Battaglia et al., 2013; Ullman et al., 2017; Smith et al., 2019). Our results help explain 511 numerous separate past findings, which showed pointer system resetting following 512 violations of object separation (Balaban and Luria, 2017; Balaban et al., 2018a, 2018b, 513 2019a), object replacement (Balaban and Luria, 2017; Friedman and Luria, 2022), and 514 feature switching (Park et al., 2020). While these situations were not originally explained in 515 such a way, they violate cohesion, object permanence, and kind-identity, respectively. 516 The CDA further allowed us to decipher people's flexible interpretation of events, 517 and how it changes to fit the inferred meaning of the unfolding scene. We found that WM 518 recovers after resetting within the time frame examined, and that scene representations 519 are correctly adjusted to add or remove objects (Experiment 1), based not only on what is

available in perception, but also on the physical explanations of events (Experiment 2).
This highlights another way in which common sense physical understanding shapes WM's
online representations, over and above low-level visual properties.

523 The results of our experiments do not reflect surprise itself, but rather a disruption 524 of the pointer system. We found this system to be sensitive to physical violations, which 525 could feed downstream to a surprise signal. The experiments also show that the disruption 526 of the pointer system can be mitigated by expectations, but that this mitigation depends on 527 the format of the expectations. In Experiment 1, participants saw the stimuli dozens of 528 times, which forms the overall statistical expectation that in principle objects can 529 sometimes disappear or appear in the videos. This statistical expectation did not prevent a 530 robust and repeated disruption of tracking. By contrast, the expectations in Experiment 2

531 were successful in eliminating the disruption. But, these expectations had a perceptual and 532 causal format tied to a specific stimulus: the hole in the ground explained causally why on 533 this trial an object might disappear or appear, and did so in the same visual format as the 534 rest of the stimuli. Past studies of resetting in the pointer system support the distinction 535 between expectation formats: Numerous exposures to a disruptive event did not eliminate 536 the disruption, and even making a disruptive event perfectly predictable failed to do so 537 (Balaban et al., 2019b), but subtle visual cues that altered the way the scene was carved 538 into distinct objects, thereby changing the targets for tracking, were successful right away 539 (e.g., Balaban et al., 2019a). Future work can adopt the present approach to further 540 examine how the pointer system communicates with other processes, by looking at what 541 other physical situations and expectations disrupt or preserve object tracking, including 542 perceptually weak but causally specific expectations such as telling participants the rising 543 screen acts as a magic box on specific trials. While more work is needed, our conclusion is 544 not just that the pointer system's ability to track objects is based on physical reasoning, but 545 that the format of this physical reasoning matters.

546 Our findings introduce a novel way of tackling both new and outstanding open 547 questions. Identifying different cognitive processes from task performance measures alone 548 (e.g., accuracy or reaction time) can be challenging, as they may reflect the sum of all 549 processing stages. While behavioral performance is useful and important, apparent task 550 effects in online processing tasks might not reflect WM or object tracking, but sub-stages 551 that precede or follow them, such as perception or decision making (Awh et al., 2007). This 552 may be why measures of performance on WM or tracking tasks can show mixed results 553 with regards to intuitive physics (e.g., vanMarle and Scholl, 2003; Mitroff et al., 2004;

554 Franconeri et al., 2012). In contrast, the CDA factors out contributions of perceptual facets 555 (Ikkai et al., 2010; Luria et al., 2010; Ye et al., 2014), by using a bilateral paradigm. It also 556 factors out response-related facets, by focusing the analysis on the period preceding the 557 test phase, meaning before participants can initiate any response. The step-by-step neural 558 analysis of online processing, tracking, and representations allows researchers to address 559 the different sub-stages of intuitive physics that underlie overt surprise, and can tease 560 apart generic surprise from the violation of intuitive physics more specifically. A 561 developmentally appropriate version of measuring a CDA-like pattern in infants can also 562 potentially establish that infant overt surprise in the face of physically impossible events 563 reflects disrupted physics-based object tracking. This can be expanded to other cases that 564 robustly show behavioral surprise based on violations of expectations. In the physical 565 domain, some events are surprising without interfering with tracking, such as a mug falling 566 off a table and bouncing, which could reveal that it is made out of rubber, which is 567 physically surprising but does not interfere with tracking. In the psychological domain, 568 both adults and young children are surprised when agents act in an inefficient way (e.g., 569 Baillargeon et al., 2016). Nevertheless, we would predict such surprise would not lead to 570 resetting, as this violation does not interfere with object tracking. 571 More generally, our method can shed light on how people perceive, track, 572 understand, and remember physical events, by bridging traditional cognitive science, 573 developmental psychology, and neuroscience perspectives. We see great promise in hybrid

approaches that, as we do here, use simplified but ecologically foundational stimuli based
on infant studies, while measuring well-characterized neural markers resting on years of

576 rigorous quantitative validation from carefully controlled psychological experiments with

- adults. Examining the CDA in this novel manner allowed us to reveal previously-hidden
- 578 influences of intuitive physics on everyday representation, understanding, and reasoning.

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