## STACK TO THE FUTURE MIT/Harvard Babytop Seminar, Spring 2025 Tuesday, March 04th, 2025 LiveT<sub>E</sub>X by Howard Beck

Abstract. Speaker: Tyler Lane (Harvard)

As the title suggests, this talk is all about the moduli stack of formal groups. I'll begin by presenting the moduli stack of formal groups as a quotient stack. Then I'll discuss the height filtration and some of its basic properties. One of my main goals is to present some theorems about quasi-coherent sheaves on the stack of formal group which will serve as inspiration for some corresponding results in chromatic homotopy theory. Finally I will discuss the Landweber exact functor theorem.

**Disclaimer**: Do not take these notes too seriously, sometimes half-truths are told in exchange for better exposition, and there may be errors in my liveT<sub>E</sub>Xing

## 1. Formal Group Laws

**REMINDER 1.1.** Last time, we saw that the functor:

Fgl : Aff  $\rightarrow$  Set  $R \mapsto \{\text{formal group laws over } R\}$ 

is representable by the Lazard ring.

**QUESTION 1.2.** Consider the category fibered in groupoids FGL  $\rightarrow$  Aff whose objects are pairs (S, F) (ring, formal group law over S) and whose morphisms  $(S, F) \rightarrow (S', F')$  are pairs  $(f, \phi)$  where  $S' \xrightarrow{f} S$  is a ring map an  $\phi : F \rightarrow f^*F'$  is an isomorphism of formal group laws. Is this a stack?

ANSWER 1.3. No, descent fails. We will see two fixes

**CONSTRUCTION 1.4.** Let  $F \in R[x]$  be a formal group law. We define a functor:

$$S_F : \operatorname{Aff}_{/R} \to \operatorname{Ab}$$
  
 $S \mapsto \operatorname{Nil}(S)$ 

where the group law on Nil(*S*) is given by x + y = F(x, y).

**NOTE 1.5.** This is an fpqc sheaf.

**DEFINITION 1.6.** We say that a sheaf of abelian groups  $\mathcal{G}$  on  $(Aff_{/R})_{fpqc}$  is a **formal group law** if  $\mathcal{G} \simeq \mathcal{G}_F$  for some formal group law  $F(x, y) \in R[x, y]$ .

We say that  $\mathcal{G}$  is a **formal group** if it is fpqc-locally isomorphic to a formal group law, and we say that a formal group  $\mathcal{G}$  is **coordinatizable** if  $\exists F$  such that  $\mathcal{G} \simeq \mathcal{G}_F$ .

**REMARK 1.7.** This all works if you replace fpqc with the Zariski topology (Lurie does this). An fpqc-sheaf is a Zariski sheaf. Being Zariski-locally a formal group law is a priori stronger, but we will see that these are equivalent.

**REMARK 1.8.** There are a lot of definitions of formal groups. This definition will be exactly what we need to turn the category from (Question 1.2) into a sheaf.

**DEFINITION 1.9** (Fix 1). The **moduli stack of formal groups**  $\mathcal{M}_{fg}$  is the category fibered in groupoids, whose objects are pairs (*S*, *G*) (ring, formal group) and whose morphisms "are isomorphisms."

**REMARK 1.10.** This will be the stackification of the category from (Question 1.2) from earlier.

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**DEFINITION 1.11** (Fix 2).  $\mathcal{M}_{fg}^s$  is the category fibered in groupoids whose objects are formal group laws and whose morphisms are strict isomorphisms.

**REMARK 1.12.** The stackification of  $\mathcal{M}_{fg}^s$  is *not*  $\mathcal{M}_{fg}$ , these are two different stacks.

**THEOREM 1.13.**  $\mathcal{M}_{fg}$  and  $\mathcal{M}_{fg}^s$  are stacks in the fpqc topology. The natural map  $\mathcal{M}_{fg}^s \to \mathcal{M}_{fg}$  is a  $\mathbb{G}_m$ -bundle.  $\mathcal{M}_{fg}$  are  $\mathcal{M}_{fg}^s$  are both quotient stacks.

**REMARK 1.14.** There are not algebraic stacks, we are quotienting out by a group scheme that is not locally in finite presentation.

Let  $G^+$  be the group scheme whose group of *R*-points is

$$\{g \in R[[t]] \mid g(t) = b_0 t + b_1 t^2 + \dots, b_0 \in R^{\times}\}$$

and:

$$(f \cdot g)(t) = f(g(t))$$

Then,  $G^+$  acts on Spec L

$$(g \in G(R), f \in R\llbracket y \rrbracket) \mapsto \left(g\left(f\left(g^{-1}(x), g^{-1}(y)\right)\right)\right)$$

We can view  $\mathbb{G}_m$  as the subgroup of  $G^+$  consisting of those power series such that  $b_i = 0$  for i > 0. Let *G* be the subgroup of those power series such that  $b_0 = 1$ .

**NOTE 1.15.**  $G^+$  is the semidirect product of  $\mathbb{G}_m$ , G.

**Theorem 1.16.**  $\mathcal{M}_{fg} \simeq [FGL/G^+]$ 

PROOF. We can construct a map  $\mathcal{M}_{fg} \rightarrow [FGL/G^+]$ . Let S/R be a formal group. We can define an fpqc sheaf:

$$Coord_{\mathcal{G}}(S) = \{F \in FGL(S) : S_F \simeq S\}$$

 $G^+$  acts on Coord<sub>G</sub> making the inclusion map FGL-equivariant. This section makes Coord<sub>G</sub> into a  $G^1$ -torsor in the fpqc topology, so it is a scheme.

Now, we have a diagram

$$\begin{array}{c} \operatorname{Coord}_{\mathcal{G}} \longrightarrow \operatorname{FGL} \\ \downarrow \\ \operatorname{Spec} R \end{array}$$

We want a morphism in the other direction:  $[FGL/G^+] \rightarrow M_{fg}$ . Let P/R be a  $G^+$ -torsor with an equivariant map to FGL (i.e., a point of  $[FGL/G^+](R)$ ).

Let  $f : U \to \operatorname{Spec}(R) \coloneqq S$  be an fpqc morphism trivializing *P*. Then, the fiber P(U, f) of  $P(U) \to R$  over *f* is a free  $G^+(U)$ -set.

We now get:

$$\begin{array}{ccc} P(U,f) & \longrightarrow & \mathsf{FGL}(U) \\ & & & \downarrow \\ & & & \downarrow \\ \frac{P(U,f)}{G^+(U)} = * & \longrightarrow & \mathbb{F}_G(U) = & \{ \text{set of formal groups} \} \end{array}$$

The right arrow kills the  $G^+$ -action, so it factors through the bottom-left. This specifies a formal group  $G_f$  over U, so  $G_f$  is a formal group law. The fiber of the right arrow over  $G_f$  is the free  $G^1(U)$ -set  $Coord_{G_f}(U)$ .

The top arrow defines a  $G^+(U)$ -equivariant morphism  $P(U, f) \rightarrow \text{Coord}_{G_f}(U)$ . They both have transitive *G*-actions, so it is an isomorphism.

We can use descent, glue results together, and get the desired results.

**NOTE 1.17.** Does anyone want to see descent? (No answer) Exactly.

**Theorem 1.18.**  $[\mathcal{M}_{fg}^{s}] = [FGL/G]$ 

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**DEFINITION 1.19.** Let  $\mathcal{G}$  be a formal group law over R. The Lie algebra of  $\mathcal{G}$  is:

$$\mathfrak{g} = \operatorname{ker}(\mathcal{G}(R[t]/t^2) \to \mathcal{G}(R))$$

- (1)  $\mathfrak{g}$  is an *R*-module. Let  $\lambda \in \mathbb{R}$ .  $\lambda$  acts on  $\mathbb{R}[t]/t^2$  by  $t \mapsto \lambda t$ . This gives the action on  $\mathfrak{g}$ .
- (2) Suppose  $\mathcal{G} = \mathcal{G}_F$ .  $\mathcal{G}(R[t]/t^2) = \{\lambda t : \lambda \in R\}$ . So when  $\mathcal{G} \simeq \mathcal{G}_F$ ,  $\mathfrak{g} \simeq R$ . That is to say,  $\mathfrak{g}$  is an invertible *R*-module which is trivial if  $\mathcal{G}$  is coordinatizable.

**REMARK 1.20.** There may be other nilpotents in *R*, but taking the kernel gives only those that are multiples of *t*.

**THEOREM 1.21.** A formal group is coordinatizable if and only if its Lie algebra is trivial.

Sending a formal group to its Lie algebra defines an invertible sheaf  $\omega^{-1}$  on  $\mathcal{M}_{fg}$ . It is the inverse of a line bundle.

**NOTE 1.22.**  $\mathcal{M}_{fg}^s \to \mathcal{M}_{fg}$  is the  $\mathbb{G}_m$ -bundle associated to the line bundle  $\omega$ . A point in  $\mathcal{M}_{fg}^s$  is a formal group law which has a trivialization of the Lie algebra. The isomorphisms preserve the trivializations.

**REMARK 1.23.** This is why it doesn't matter if we use the Zariski topology. This happens for line bundles.

## 2. Height

**THEOREM 2.1.** Let R be a Q-algebra. Any formal group laws over R are isomorphic (namely, to the additive one). In fact,  $\mathcal{M}_{fg} \otimes \operatorname{Spec} \mathbb{Q} \simeq \mathbb{B} \mathbb{G}_m$ .

The multiplicative formal group law x + y + xy is isomorphic, over  $\mathbb{Q}$ , to the additive formal group law via the power series:

$$g(t) = t + \frac{t^2}{2} + \frac{t^3}{6} + \dots$$

This isomorphism doesn't work in positive characteristic.

**Motivation**: We want an invariant to tell formal group laws in positive characteristic apart. One such invariant is **height**.

**DEFINITION 2.2.** Let  $F(x, y) \in R[[x, y]]$  be a formal group law. For each  $n \ge 0$ , define its *n*-series  $[n](t) \in R[[t]]$  as:

$$[n](t) = \begin{cases} 0 & n = 0\\ F([n-1]t, t) & \text{otherwise} \end{cases}$$

In other words, *F*-add *t* to itself *n* times.

$$[n] = t +_F t +_F +_F \dots +_t$$

**PROPOSITION 2.3.** Let  $R \in \text{CRing}$  in which p = 0, and F be a formal group law over R. Either [p](t) = 0, or:

$$[p](t) = \lambda t^{p^n} + O(t^{p^{n+1}})$$

for some  $\lambda \neq 0$  and for some *n*.

**DEFINITION 2.4.** Let  $R \in \text{CRing}$ , and fix a prime p, and F be a formal group law over R. Let  $v_n$  definite the coefficient of  $t^{p^n}$  in [p](t). We say F has height n if  $v_n \neq 0 \mod p$  and  $v_i = 0 \mod p$  for all i < n.

**EXAMPLE 2.5.** Here are two examples:

- (1) F(x,y) = x + y + xy has height 1. In this case,  $[p](t) = (1+t)^p 1 = t^p \mod p$ .
- (2) F(x, y) = x + y, then [p](t) = 0 when p = 0. The height is  $\infty$ .

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We will now look at the height filtration. We can extend the notion of height to arbitrary formal groups by saying:

$$height(\mathcal{G}) = height(\mathcal{G}_F)$$

where  $\mathcal{G}|_{S} = \mathcal{G}_{F}$  where  $S \to \operatorname{Spec}(R)$  is a trivializing fpqc cover.

Fix a prime *p*. For every *n*, let  $v_n \in L$  be the coefficient of  $t^{p^n}$  in the *p*-series of the universal formal group law. over the Lazard ring. For each *n*, let  $\mathcal{M}_{fg}^{\geq n} = [\operatorname{Spec}(L/(p, v_1, \dots, v_n))/G^+]$  be the moduli stack of formal group laws over height  $\geq n$ . We should check this is a  $G^+$ -invariant closed subscheme of  $\operatorname{Spec} L$ . The  $v_n$ s in another ring *R* are pulled back from the universal ones.

$$\mathcal{M}_{fg} \geq \mathcal{M}_{fg}^{\geq 1} \geq \mathcal{M}_{fg}^{\geq 2} \geq \dots$$

**THEOREM 2.6** (Thick Subcategory Theorem). We say a full abelian subcategory  $C \subset \operatorname{QCoh}(\mathcal{M}_{\operatorname{fg}} \otimes \operatorname{Spec} \mathbb{Z}_{(p)})$  is thick if  $A \oplus B \in C$  implies  $A \in C$  or  $B \in C$ . The thick subcategories of  $\operatorname{QCoh}(\mathcal{M}_{\operatorname{fg}} \otimes \mathbb{Z}_{(p)})$  are

- (1) itself
- (2)  $C_n = \{F : \operatorname{supp}(F) \subset \mathcal{M}_{\operatorname{fg}}^{\geq n}\}$
- (3) It is the trivial subcategory (0).

There is a famous analog of this in homotopy theory.

Let *X* be a spectrum. There is a spectrum BP such that:

- (1) *p*-localized MU,  $MU_{(p)}$  is the sum of copies of BP.
- (2) Spec( $BP_*$ ) is the moduli space of *p*-typical formal group laws.
- (3)  $\mathcal{M}_{fg}^{s} \otimes \mathbb{Z}_{(p)}$  is the satck associated to the flat Hopf algebroid (BP<sub>\*</sub>, BP<sub>\*</sub>BP).
- By  $(-)_*$  we mean  $\pi_*(-)$ . *p*-localized means Bousfield localization at the Moore spectrum  $S\mathbb{Z}_{(p)}$ . For any spectrum,  $X \to BP_{even}(X)$  is a quasi-coherent sheaf on  $\mathcal{M}_{fg} \otimes \mathbb{Z}_{(p)}$ . We can look at the full subcategory of finite spectra such that the associated sheaf lives in  $\mathcal{C}_n$ .

**THEOREM 2.7.** These are all the thick triangulated subcategories of  $Sp^{\omega}$ .