

# GENERAL STRATEGY FOR ALGEBRAIC DEGENERACY

NIVEN ACHENJANG

The goal is to prove [Theorem 7](#) below, which gives a criterion for every entire curve on a compact complex manifold to be degenerate (i.e. to land in some proper submanifold). Its statement is rather hefty, so, at least for my own personal benefit as a newcomer to the area, let's begin by very briefly recalling some of the constructions/results that have appeared in the past few talks.

**Setup 1.** Let  $X$  be an  $n$ -dimensional compact complex manifold, also viewed as the directed manifold  $(X, V)$  with  $V := T_X$ . ⊙

(feel free to imagine that  $V \subsetneq T_X$  if you want)

**Recall 2.** For  $k \geq 1$ , we define the [space of  \$k\$ -jets](#) of  $X$  to be the bundle  $p_k: J_k T_X \rightarrow X$  parameterizing  $k$ -jets of curves  $f: \mathbb{C} \rightarrow X$ .<sup>1</sup> This is a  $(\mathbb{C}^n)^k$ -fiber bundle over  $X$ .<sup>2</sup> ⊙

**Recall 3.** There are also *projectivized jet bundles*.

- The [projectivized first jet bundle](#) is  $(X_1, V_1)$  where  $X_1 = \mathbb{P}(V) := \mathbf{Proj}(\mathrm{Sym} V^\vee) \xrightarrow{\pi} X$  is the projective bundle of *lines in  $V$* ,<sup>3</sup> equipped with the tautological line subbundle  $\mathcal{O}_{X_1}(-1) \subset \pi^* V$ , and  $V_1$  is defined via:

$$\begin{array}{ccccccc} 0 & \longrightarrow & T_{X_1/X} & \longrightarrow & V_1 & \xrightarrow{\quad \Gamma \quad} & \mathcal{O}_{X_1}(-1) \longrightarrow 0 \\ & & \parallel & & \downarrow & & \downarrow \\ 0 & \longrightarrow & T_{X_1/X} & \longrightarrow & T_{X_1} & \longrightarrow & \pi^* T_X \longrightarrow 0. \end{array}$$

- Iterating the above leads to the [projectivized  \$k\$ -jet bundle](#)  $\pi_k: (X_k, V_k) \rightarrow (X, V)$ .<sup>4</sup> ⊙

**Recall 4.** Let  $\mathbb{G}_k$  denote the group of germs of  $k$ -jets of biholomorphisms of  $(\mathbb{C}, 0)$ , i.e. germs of biholomorphic maps

$$t \mapsto a_1 t + a_2 t^2 + \cdots + a_k t^k \text{ with } a_i \in \mathbb{C}^\times \text{ and } a_j \in \mathbb{C}, j \geq 2.$$

This  $\mathbb{G}_k$  acts on  $J_k V = J_k T_X$  via reparameterizing  $k$ -jets, and this leads one to define the [bundle of invariant jet differentials of order  \$k\$  and weighted degree  \$m\$](#)   $E_{k,m} V^\vee \rightarrow X$  whose fibers are spaces of complex valued polynomials  $Q(f', f'', \dots, f^{(k)})$  on the fibers of  $J_k V$  satisfying

$$Q((f \circ \varphi)', (f \circ \varphi)'', \dots, (f \circ \varphi)^{(k)}) = \varphi'(0)^m Q(f', f'', \dots, f^{(k)})$$

for all  $\varphi \in \mathbb{G}_k$ . Note that sections of  $E_{k,m} V^\vee$  may be viewed as  $(\mathbb{G}_k$ -equivariant) maps  $J_k V \rightarrow \mathbb{C}$ . ⊙

**Recall 5** (Duc's talk, [DR16, Theorem 3.3.1]).

- (1) There is an embedding  $J_k V^{\mathrm{reg}}/\mathbb{G}_k \hookrightarrow X_k$  over  $X$  which identifies  $J_k V^{\mathrm{reg}}/\mathbb{G}_k \xrightarrow{\sim} X_k^{\mathrm{reg}}$ . Here, a [regular  \$k\$ -jet](#) is one with non-vanishing first derivative.  
(so  $X_k$  is a relative compactification of  $J_k V^{\mathrm{reg}}/\mathbb{G}_k$ )

<sup>1</sup>Equivalence classes of holomorphic maps  $f: (\mathbb{C}, 0) \rightarrow (X, f(0))$  where  $f \sim g$  iff  $f^{(j)}(0) = g^{(j)}(0)$  for  $0 \leq j \leq k$  in some ( $\iff$ ) all) holomorphic coordinate system on  $X$  near  $f(0)$ .

<sup>2</sup>Vector bundle if  $k \leq 1$ , in which cases  $J_1 T_X = T_X$  and  $J_0 T_X = X$ .

<sup>3</sup>Some authors write this space as  $\mathbb{P}(\Omega^1) = \mathbf{Proj}(\mathrm{Sym} \Omega^1)$  instead.

<sup>4</sup>E.g.  $(X_2, V_2) = ((X_1)_1, (V_1)_1)$  and so on...

(2) The direct image sheaf

$$(\pi_k)_* \mathcal{O}_{X_k}(m) \simeq \mathcal{O}(E_{k,m} V^\vee)$$

is identified with the sheaf of holomorphic sections of  $E_{k,m} V^\vee \rightarrow X$ .

(Compare:  $p_* \mathcal{O}_{\mathbb{P}(E)}(m) \simeq \text{Sym}^m(E^\vee)$ , where  $\mathbb{P}(E) = \mathbf{Proj}(\text{Sym } E^\vee) \xrightarrow{p} X$ )

◊

**Recall 6** (Jit Wu's talk, [DR16, Corollary 4.2.5]). Assume there are integers  $k, m \geq 1$  and an ample line bundle  $A$  on  $X$  such that

$$H^0(X_k, \mathcal{O}_{X_k}(m) \otimes \pi_k^* A^{-1}) \simeq H^0(X, E_{k,m} V^\vee \otimes A^{-1})$$

has nonzero sections  $\sigma_1, \dots, \sigma_N$  with base local  $Z = \bigcap_{i=1}^N \{\sigma_i = 0\}$ . Then, every entire curve  $f: \mathbb{C} \rightarrow X$  tangent to  $V$  satisfies  $f_{[k]}(\mathbb{C}) \subset Z$ . ◊

**Slogan** (Recall 6 in words). If  $X$  has a jet differential  $\sigma$  valued in an anti-ample line bundle (e.g.  $A^{-1}$ ), then the image of any entire curve satisfies the corresponding differential equation (i.e.  $f_{[k]}(\mathbb{C}) \subset \{\sigma = 0\} \subset X_k$ ).

**Theorem 7** ([DR16, Theorem 4.3.1]). Suppose there are two ample line bundles  $A, B$  on  $X$  and integers  $k, m > 0$  such that

(i) there is a nonzero section  $P \in H^0(X, E_{k,m} T_X^\vee \otimes A^{-1})$ .<sup>5</sup>

(ii) the *twisted tangent space*  $T_{J_k T_X} \otimes p_k^* B$  of the space of  $k$ -jets

$$p_k: J_k T_X \longrightarrow X$$

is globally generated over its regular part  $J_k T_X^{\text{reg}}$  by its global sections. Moreover, suppose one can choose such generating vector fields to be equivariant w.r.t the action of  $\mathbb{G}_k \curvearrowright J_k T_X$ .

(iii) the line bundle  $A \otimes B^{-m}$  is ample.

Then, every holomorphic entire curve  $f: \mathbb{C} \rightarrow X$  has image contained in  $Y := \{P = 0\} \subsetneq X$ .

*Proof.* Let  $f: \mathbb{C} \rightarrow X$  be an entire curve, with lifting  $j_k(f): \mathbb{C} \rightarrow J_k T_X$ . Note that  $j_k(f)(\mathbb{C}) \not\subset J_k T_X^{\text{sing}} := J_k X \setminus J_k T_X^{\text{reg}}$ ; otherwise,  $f' = 0$  everywhere so  $f$  would be constant. Suppose that  $f(\mathbb{C}) \not\subset Y$  and choose some  $\zeta_0 \in \mathbb{C}$  so that  $x_0 := f(\zeta_0) \notin Y$ . Note that we may and do choose  $\zeta_0$  so that  $j_k(f)(\zeta_0) \in J_k T_X^{\text{reg}}$ . Indeed, this amounts to showing that the intersection

$$(1) \quad f^{-1}(X \setminus Y) \cap j_k(f)^{-1}(J_k T_X^{\text{reg}})$$

is nonempty. Note that  $f^{-1}(X \setminus Y) \subset \mathbb{C}$  is a dense open;  $Y \subset X$  is cut out by finitely many holomorphic functions on  $X$  and any such function, when pulled back to  $\mathbb{C}$ , has only finitely many zeros. Furthermore, we saw above that  $j_k(f)^{-1}(J_k T_X^{\text{reg}})$  is a nonempty open so the intersection (1) must be nonempty, i.e. there is some  $\zeta_0 \in \mathbb{C}$  such that  $f(\zeta_0) \notin Y$  and  $j_k(f)(\zeta_0) \in J_k T_X^{\text{reg}}$ .

Note that we may view  $P$  as a  $\mathbb{G}_k$ -invariant map

$$P: J_k T_X \longrightarrow p_k^* A^{-1}.$$

It follows from Recall 6 that  $j_k(f)(\zeta_0) \in \{P_{x_0} = 0\} \subset (J_k T_X)_{x_0} = J_k T_{X, x_0}$  (See Fig. 1). Furthermore,  $P_{x_0}: J_k T_{X, x_0} \rightarrow \mathbb{C}$  is *not* identically zero since  $x_0 \notin Y$ .

Now, the idea is that, because  $P_{x_0} \neq 0$ , it must have some derivative *not* vanishing at  $j_k(f)(\zeta_0) =: z_0 \in J_k T_{X, x_0}$ . Using (ii), we'll be able to realize find some global vector fields such that differentiating  $P$  with respect to them produces a new jet differential  $Q$  which is *non-vanishing* at  $z_0$ , contradicting Recall 6.

After choosing local coordinates, we can realize  $P_{x_0}$  as a polynomial of weighted degree  $m$  in  $nk$  variables  $X'_1, \dots, X'_n, X''_1, \dots, X''_n, \dots, X_1^{(k)}, \dots, X_n^{(k)}$  chosen so that  $z_0 = j_k(f)(\zeta_0)$  corresponds to  $X'_1 = \dots = X_n^{(k)} = 0$ . Since  $P_{x_0}$  has weighted degree  $m$ , every monomial appearing in it must have (unweighted) total degree  $\leq m$ . Consider some such monomial of least degree, say of degree  $p \leq m$ , which we may write as

$$\lambda Y_1 Y_2 \dots Y_p \text{ where } \lambda \in \mathbb{C}^\times \text{ and } Y_1, \dots, Y_p \in \{X'_1, \dots, X_n^{(k)}\}.$$

<sup>5</sup>This space is isomorphic to  $H^0(X_k, \mathcal{O}_{X_k}(m) \otimes \pi_k^* A^{-1})$ ; see Recall 5(1).

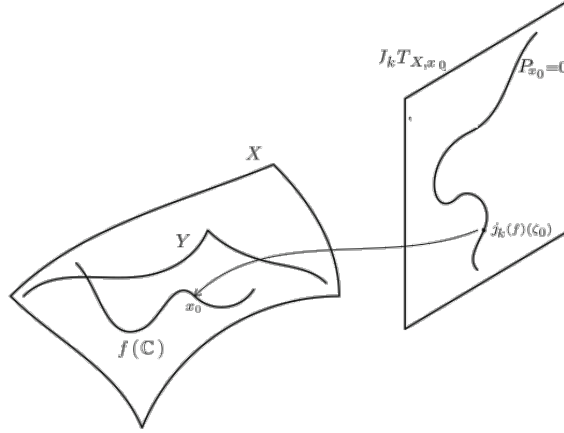


FIGURE 1. The lifting of the curve  $f$ , taken (with slight modifications) from [DR16, Fig. 4.1]

Then,  $\partial_{Y_p} \dots \partial_{Y_1} P_{x_0}$  is non-vanishing at  $z_0$ , so we would like to realize it as the fiber of some global jet differential. Because we arranged to have  $z_0 = j_k(f)(\zeta_0) \in J_k T_X^{\text{reg}}$ , (ii) implies that there are  $\mathbb{G}_k$ -invariant global vector fields  $V_1, \dots, V_p \in H^0(J_k T_X, T_{J_k T_X} \otimes p_k^* B)$  such that  $V_j(z_0) = \partial_{Y_j}|_{z_0}$ . Thus, taking Lie derivatives,<sup>6</sup>

$$Q := L_{V_p} \dots L_{V_1} P \in H^0(X, E_{k,m} T_X^\vee \otimes B^p \otimes A^{-1})$$

satisfies  $Q(z_0) \neq 0$ , contradicting Recall 6 since  $A \otimes B^{-p}$  is ample by (iii). ■

**Corollary 8.** *Use notation as in Theorem 7. Suppose, furthermore, that the effective cone of  $X$  is contained in its ample cone (for example, that  $\text{Pic } X \simeq \mathbb{Z}$ ). Then, one can choose  $A$  such that  $\text{codim}_X(Y) \geq 2$ .*

*Proof.* Let  $D$  be the divisorial part of  $Y = \{P = 0\}$ . Then,  $P$  can be viewed as a section of  $E_{k,m} T_X^\vee \otimes (A \otimes \mathcal{O}_X(D))^{-1}$ , and seen as a section of this bundle, it vanishes on no codimension 1 subvariety of  $X$ . Since  $D$  is ample,  $A \otimes \mathcal{O}_X(D)$  is still ample and still satisfies property (iii) (w.r.t the same  $B$ ), so one can apply Theorem 7 to  $P \in H^0(X, E_{k,m} T_X^\vee \otimes (A \otimes \mathcal{O}_X(D))^{-1})$ . ■

**Corollary 9.** *A compact complex surface satisfying the hypotheses of Corollary 8 is Kobayashi hyperbolic.*

(Recall that for compact complex manifolds, Kobayashi hyperbolicity is equivalent to the nonexistence of entire curves, see [DR16, Proposition 1.2.1 and Theorem 1.2.2])

**Corollary 10.** *Let  $X$  be a compact complex threefold satisfying the hypotheses of Corollary 8 which does not contain any rational or elliptic curve. Then,  $X$  is Kobayashi hyperbolic.*

*Proof.* Let  $f: \mathbb{C} \rightarrow X$  be an entire curve in  $X$ . Then,  $\overline{f(\mathbb{C})}^{\text{Zar}}$  is an algebraic curve of  $X$  admitting a non-constant holomorphic image of  $\mathbb{C}$ , so it must be rational or elliptic, a contradiction. ■

## REFERENCES

[DR16] Simone Diverio and Erwan Rousseau. *Hyperbolicity of projective hypersurfaces*, volume 5 of *IMPA Monographs*. Springer, [Cham], second edition, 2016. 1, 2, 3

<sup>6</sup>I think what's happening here is that  $T_{J_k T_X} \otimes p_k^* B \simeq \text{Hom}(\Omega_{J_k T_X}^1, p_k^* B) \simeq \text{Der}(\mathcal{O}_{J_k T_X}, p_k^* B)$  (sheaf of  $p_k^* B$ -valued derivations), so given a global section  $V$ , we can think of it as a derivation  $\mathcal{O}_{J_k T_X} \rightarrow p_k^* B$ . Such a thing naturally gives rise to a derivation  $p_k^* A^{-1} \rightarrow p_k^* B \otimes p_k^* A^{-1} = p_k^*(B \otimes A^{-1})$  and  $L_V P$  is simply notation for the image of  $P$  under this latter derivation. A priori, this is simply a map  $L_V P: J_k T_X \rightarrow p_k^*(B \otimes A^{-1})$ , but I think one can check after the fact that it really arises from a  $k$ -jet differential of degree  $m$  values in  $B \otimes A^{-1}$ , i.e. from a section of  $E_{k,m} T_X^\vee \otimes B \otimes A^{-1}$ .