# Capacity Provisioning and Failure Recovery for Satellite Constellations

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Abstract — This paper considers the link capacity requirement for a  $N \times N$  mesh-torus network under a uniform all-to-all traffic model. Both primary capacity and spare capacity for recovering from link failures are examined. In both cases, we use a novel method of "cuts on a graph" to obtain lower bounds on capacity requirements and subsequently find algorithms for routing and failure recovery that meet these bounds. Finally, we quantify the benefits of path based restoration over that of link based restoration; specifically, we find that the spare capacity requirement for a link based restoration scheme is nearly N times that for a path based scheme.

#### I. INTRODUCTION

The total capacity required by a satellite network to satisfy the demand and protect it from failures contributes significantly to its cost. To maximize the utilization of such a network, we explore the minimum amount of spare capacity needed on each satellite link, so as to sustain the original traffic flow during the time of a link failure. In general, for a link failure, restoration schemes can be classified as link based restoration, or path based restoration. In the former case, affected traffic (i.e. traffic that is supposed to go through the failed link) is rerouted over a set of replacement paths through the spare capacity of a network between the two nodes terminating the failed link. Path restoration reroutes the affected traffic over a set of replacement paths between their source and destination nodes [1, 2, 3, 5, 6]. The obvious advantages of using the link restoration strategy are simplicity and ability to rapidly recover from failure events. However, as we will show later, the amount of spare capacity needed for the link based scheme is significantly greater than that of path based restoration since the latter has the freedom to reroute the complete source-destination using the most efficient backup path. On the other hand, the path restoration scheme is less flexible in handling failures [1, 2, 3].

We investigate the optimal spare capacity placement problem based on mesh-torus topology which is essential for the multisatellite systems. An  $n \times n$  mesh-torus is a twodimensional (2-D) *n*-ary hypercube and differs from a binary hypercube in that each node has a constant number of neighbors (4), regardless of *n*. For the remainder of the paper, we will refer to this topology simply as a mesh. In particular, we are interested in the scenario where every node in the network is sending one unit of traffic to every other node (also known as *complete exchange* or *all-to-all communication*) [7]. This type of communication model is considered because the exact traffic pattern is often unknown and an all-to-all model is frequently used as the basis for network design. Even in the case of a predictable traffic pattern, links of a particular satellite will experience different traffic demand as the satellite flies over different location on earth. Thus, each link of that satellite must satisfy the maximum demand. Again, allto-all traffic model helps capturing this effect. Hence we also assume that each satellite link has an equal capacity. Our results, while motivated by satellite networks [9, 10, 11], are equally applicable to other networks with a mesh topology such as multi-processor interconnect networks [12, 13, 14] and optical WDM mesh networks [2, 3]. Furthermore, while our results are discussed in the context of an  $n \times n$  mesh for simplicity, they can be trivially extended to a more general  $n \times m$ topology, which is typically more representative in satellite constellations.

When using the path restoration schemes, the restoration can be performed at the global level by rerouting all the traffic (both those affected or unaffected by the link failure) in a network. However, this level of restoration requires recomputing a new path for each source-destination pair, thus it is impractical if a restoration time limit is imposed or when disruption of existing calls is unacceptable. We can also perform path restoration at the local level by rerouting only the traffic which is affected by the link failure. Obviously, the local level reconfiguration will require at least as much spare capacity as the global level reconfiguration since the former is a subset of the latter. Nevertheless, as we show in section IV, the lower bound on the spare capacity needed, using global level reconfiguration, can be achieved by using local level reconfiguration.

To obtain the necessary minimum spare capacity, our approach is to first find the minimum capacity, say  $C_1$ , that each link must have in order to support the all-to-all traffic. We then obtain a lower bound,  $C_2$ , for the capacity needed on each link to satisfy the all-to-all traffic when one of the links fails. Consequently, the minimum spare capacity needed,  $C_{spare}$ , should be greater than the difference of  $C_2$  and  $C_1$ . Since we do not restrict the reconfiguration (global level or local level) used to calculate  $C_2$ ;  $C_2 - C_1$  is a lower bound on  $C_{spare}$ , both at global level and local level. We will show that this lower bound on  $C_{spare}$  is achievable by using a path based restoration algorithm at a local level. Thus, the minimum spare capacity needed using path restoration strategy is  $C_{spare}$ . Table I summarizes capacity requirements under link based and path based restoration. Communication on a mesh network has been studied in [4, 11, 14]. In [4], the authors consider processors communicating over a mesh network with the objective of broadcasting information. The work in [11] presents routing algorithm generating minimum propagation delay for satellite mesh networks. In [14], the authors propose new algorithms for all-to-all personalized communication in mesh-connected multiprocessors. These papers mentioned so far did not look into capacity provisioning and spare capacity requirement of the mesh network.

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	No	Link based	Path based
	restoration	$\operatorname{restoration}$	restoration
Total Capacity (N odd)	$\frac{N^3 - N}{4}$	$\frac{N^3-N}{3}$	$\frac{N^2(N^2-1)}{2(2N-1)}$
Total Capacity (N even)	$\frac{N^3}{4}$	$\frac{N^3}{3}$	$\frac{N^4}{2(2N-1)}$
Spare Capacity (N odd)	0	$\frac{N^3 - N}{12}$	$\frac{N^3 - N}{4(2N-1)}$
Spare Capacity (N even)	0	$\frac{N^{3}}{12}$	$\frac{N^3}{4(2N-1)}$

Table 1: Capacity requirements under link based and path based restoration.

Path based and link based restoration schemes have been extensively researched [1, 2, 3, 5]. In [1], the authors study and compare spare capacity needed by using link based and path based schemes. The work of [5] provides a method for capacity optimization of path restorable networks and quantify the capacity benefits of path over link restoration. In [2, 3], the authors examines different approaches to restore meshbased WDM optical networks from single link failures. In all the aforementioned papers, the spare capacity problem is formulated as an integer linear programming problem which is solved by standard methods. Our paper addresses the mesh structure for which we can get a closed form results for the spare capacity.

The structure of this paper is as follows: Section II gives necessary definitions and statement of the problem. In section III, a lower bound on  $C_1$  is given along with a routing algorithm achieving this lower bound. The lower bound  $C_2$ is presented also. We then show in section IV that the lower bound on  $C_{spare}$ ,  $C_2 - C_1$ , can be achieved by a path based restoration algorithm. Section V concludes this paper.

#### II. PRELIMINARIES

We start out with a description of the network topology and traffic model, and follow it with a sequence of formal definitions and terminology that will be used in subsequent sections.

**Definition 1.** The 2-dimensional N-mesh is an undirected graph G = (V, E), with vertex set

$$V = \{ \vec{a} \mid \vec{a} = (a_1, a_2) \text{ and } a_1, a_2 \in \mathcal{Z}_N \},\$$

where  $\mathcal{Z}_N$  denotes the integers modulo N, and edge set

$$E = \{ (\vec{a}, \vec{b}) \mid \exists j \text{ such that } a_j \equiv (b_j \pm 1) \mod N$$
  
and  $a_i = b_i \text{ for } i \neq j, i, j \in \{1, 2\} \}.$ 

The above definition is from [7]. A 2-dimensional N-mesh has a total of  $N^2$  nodes. Each node has two neighbors in the vertical and horizontal dimension, for a total of four neighbors. We associate each satellite with a fixed node,  $(a_1, a_2)$ , in the mesh. Undirected edges of the mesh are also referred to as links. Fig. 1 shows a 2-dimensional 5-mesh. The notion 2dimensional  $\infty$ -mesh is used to denote the case where N is arbitrarily large, and it is the same as an infinity grid.

**Definition 2.** A cut (S, V - S) in a graph G = (V, E) is partition of the node set V into two nonempty subsets, a set S and its complement V - S.



Figure 1: A 2-dimensional 5-mesh.

Here the notation  $\operatorname{Cut-Set}(S, V - S) = \{(\vec{a}, \vec{b}) \in E \mid \vec{a} \in S, \vec{b} \in V - S\}$  denotes the set of edges of the cut (i.e. the set of edges with one end node in one side of the cut and the other on the other side of the cut).

**Definition 3.** The size of a Cut-Set(S, V - S) is defined as C(S, V - S) = | Cut-Set(S, V - S) |.

For G = (V, E) and  $\mathcal{P}(V)$  denote the power set of the set V (i.e. the set of all subsets of V). Let  $\mathcal{P}_n(V)$  denote the set of all n-elements subsets of V.

**Definition 4.** Let G = (V, E) be a 2-dimensional N-mesh, the function  $\varepsilon_N : \mathcal{Z}^+ \to \mathcal{Z}^+$  is defined as

$$\varepsilon_N(n) = \min_{S \in \mathcal{P}_n(V)} C(S, V - S).$$

The function  $\varepsilon_N(n)$  returns the minimum number of edges that must be removed in order to split the 2-dimensional Nmesh into two parts, one with n nodes and the other with  $N^2 - n$  nodes. Similarly,  $\varepsilon_{\infty}(n)$  is defined to be the minimum number of edges that must be removed in order to split the  $\infty$ -mesh into two disjoint parts, one of which containing nnodes.

To achieve the minimum spare capacity, we consider the shortest path algorithm. Shortest paths on 2-dimensional N-mesh are associated with the notion of *cyclic distance* which we will define next [8].

**Definition 5.** Given three integers, i, j, N, the cyclic distance between i and j modulo N is given by

$$D_N(i, j) = \min\{(i - j) \mod N\}, (j - i) \mod N\}.$$

### III. CAPACITY REQUIREMENT WITHOUT LINK FAILURES

To obtain the necessary capacity,  $C_1$ , that each link must have in order to support the all-to-all traffic without link failure, we first provide a lower bound on  $C_1$ . An algorithm achieving the lower bound will also be presented. For the proof of the lower bound on  $C_1$ , we are aware of the existance of a simpler proof (using Proposition 1 in [4]) than the one we described below. However, the cut method we used here will help us find the lower bound,  $C_2$ , on the minimum capacity needed on each link in the event of a link failure. Therefore, we decide to use the same cut method consistently in proving the lower bound on  $C_1$  and the lower bound  $C_2$ .

### A. A Lower Bound on the Primary Capacity

To find a lower bound on  $C_1$ , we state the following lemmas which will prove to be useful tools in the subsequent sections. Proofs of these lemmas are omitted for brevity, and they can be found in [16].

**Lemma 1.** Let G = (V, E) be an infinite mesh. An arbitrary set  $W_n \in V$  such that  $\varepsilon_{\infty}(n) = C(W_n, \overline{W_n})$  must satisfy the following properties:

- 1.  $\forall x \in W_n, \exists y \in W_n \text{ such that } (x, y) \in E$ . In other words, nodes in  $W_n$  should be connected.
- 2. Nodes in  $W_n$  should be clustered together to form a rectangular shape (including square) if possible.
- 3.  $\varepsilon_{\infty}(n)$  is an even number for all  $n \in \mathbb{Z}^+$ .
- 4.  $\varepsilon_{\infty}(n)$  is a monotonically nondecreasing function of n.

**Lemma 2.** Let G = (V, E) be an infinite mesh, then

$$\varepsilon_{\infty}(n^2) = 4n$$

and

$$\varepsilon_{\infty}(n^2 + k) = \begin{cases} 4n+2 & \text{for} \quad 1 \le k \le n\\ 4n+4 & \text{for} \quad n+1 \le k \le 2n+2 \end{cases}$$

for  $n, k \in \mathbb{Z}^+$  where  $\mathbb{Z}^+$  denotes the set of positive integer.

The above lemma gives the minimum number of edges that must be removed from E in order to split a specified number of nodes from the mesh. Intuitively, the set of n nodes to be removed from the mesh must be clustered together.

**Corollary 1.** For  $\varepsilon_{\infty}(n)$  defined in above lemma,  $\varepsilon_{\infty}(n) \ge 4\sqrt{n}$  for  $n \in \mathbb{Z}^+$ .

**Corollary 2.** Let G = (V, E) be an infinite mesh with an arbitrary link failure, then

$$\varepsilon_{\infty}(n^2) = 4n - 1$$

and

$$\varepsilon_{\infty}(n^2+k) = \begin{cases} 4n+1 & \text{for} \quad 1 \le k \le n\\ 4n+3 & \text{for} \quad n+1 \le k \le 2n+1 \end{cases}$$

for  $n, k \in \mathbb{Z}^+$  where  $\mathbb{Z}^+$  denotes the set of positive integer.

So far the function  $\varepsilon_{\infty}(n)$  has been the focus of our discussion. Since the satellite network that we model is a 2dimensional N-mesh, it is essential to know  $\varepsilon_N(n)$ . In a 2dimensional N-mesh, a horizontal row of nodes (a vertical column of nodes) forms a horizontal (vertical) ring. When nis very small compared to N, splitting a set of n nodes from the N-mesh is similar to cutting the set of n nodes from  $\infty$ -mesh; more precisely,  $\varepsilon_{\infty}(n) = \varepsilon_N(n)$ . The ring structure of the 2-dimensional N-mesh does not affect the minimum size of a cut when n is relatively small. Nevertheless, when n is large, taking advantage of the ring structure of the 2-dimensional N-mesh will result in  $\varepsilon_N(n) < \varepsilon_{\infty}(n)$ .

Now, let's define the following sets:

$$\mathcal{A}_1 \equiv \{1, 2, \dots, \frac{N^2}{4}\},$$

$$\mathcal{A}_{2} \equiv \{x \mid x \in \{\frac{N^{2}}{4} + 1, \dots, \frac{N^{2}}{2}\} \text{ and } (x \mod N) \neq 0\},\$$

$$\mathcal{A}_{3} \equiv \{x \mid x \in \{\frac{N^{2}}{4} + 1, \dots, \frac{N^{2}}{2}\} \text{ and } (x \mod N) = 0\},\$$

$$\mathcal{O}_{1} \equiv \{1, 2, \dots, \frac{N^{2} - 1}{4}\},\$$

$$\mathcal{O}_{2} \equiv \{x \mid x \in \{\frac{N^{2} - 1}{4} + 1, \dots, \frac{N^{2} + 1}{2}\}\$$
and  $(x \mod N) \neq 0\},\$ and  

$$\mathcal{O}_{3} \equiv \{x \mid x \in \{\frac{N^{2} - 1}{4} + 1, \dots, \frac{N^{2} + 1}{2}\}\$$
and  $(x \mod N) = 0\}.\$ 

**Lemma 3.** Let G = (V, E) be a 2-dimensional N-mesh, for N even,

$$\varepsilon_N(n) = \begin{cases} \varepsilon_\infty(n) & \text{for } n \in \mathcal{A}_1\\ 2N+2 & \text{for } n \in \mathcal{A}_2\\ 2N & \text{for } n \in \mathcal{A}_3 \end{cases}$$

for N odd,

$$\varepsilon_N(n) = \begin{cases} \varepsilon_\infty(n) & \text{for } n \in \mathcal{O}_1\\ 2N+2 & \text{for } n \in \mathcal{O}_2\\ 2N & \text{for } n \in \mathcal{O}_3 \end{cases}$$

**Theorem 1.** On a 2-dimensional N-mesh, the minimum capacity,  $C_1$ , that each link must have in order to support allto-all traffic is at least  $\frac{N^3}{4}$  for N even, and  $\frac{N^3-N}{4}$  for N odd.

Proof. Consider a fixed n between 1 and  $N^2 - 1$ . The idea is to use a cut to separate the network (N-mesh) into two disjoint parts, with one part containing n nodes and the other containing  $N^2 - n$  nodes. Based on the all-to-all traffic model, we know the exact amount of traffic,  $C_{cross} = 2n(N^2 - n)$ , that must go through the cut. Therefore, from max-flow mincut theorem [15] we know that simply dividing  $C_{cross}$  by the minimum size of cutset  $\varepsilon_N(n)$  will give us a lower bound on  $C_1$ , and let's call this bound  $B_n$ . It implies that each link in the network must have capacity of at least  $B_n$  in order to satisfy the all-to-all traffic demand. This prompts us to find  $B_{max}^{C_1}$ which is the maximum of  $B_n$  over all  $n \in \{1, \ldots, N^2 - 1\}$ . We say that  $B_{max}^{C_1}$  is the best lower bound for  $C_1$  in the sense that it is greater or equal to any other lower bound for  $C_1$ .

For N even, let

$$B_{max}^{C_1} = \max_{n \in \{1, \dots, N^2 - 1\}} \left[ \frac{2(N^2 - n)n}{\varepsilon_N(n)} \right]$$
(1)  
$$= \max \left\{ \max_{n \in \mathcal{A}_1} \left[ \frac{2(N^2 - n)n}{\varepsilon_\infty(n)} \right],$$
$$\max_{n \in \mathcal{A}_2} \left[ \frac{2(N^2 - n)n}{2N + 2} \right],$$
$$\max_{n \in \mathcal{A}_3} \left[ \frac{2(N^2 - n)n}{2N} \right] \right\}.$$
(2)

The case for N odd is the same except that  $A_1, A_2$ , and  $A_3$  in (2) are replaced by  $\mathcal{O}_1, \mathcal{O}_2$ , and  $\mathcal{O}_3$ . Solving the maximization problem, we get

$$B_{max}^{C_1} = \begin{cases} \max\left\{\alpha_e, \frac{N^4}{2(2N+1)}, \frac{N^3}{4}\right\} & \text{for } N \text{ even} \\ \max\left\{\alpha_o, \frac{N^4-1}{2(2N+1)}, \frac{N^3-N}{4}\right\} & \text{for } N \text{ odd} \end{cases}$$

where  $\alpha_e$  ( $\alpha_o$ ) in the above equation is the result of the first term of equation (2) for N even (odd). Here, explicit evaluation of  $\alpha_e$  and  $\alpha_o$  is unnecessary. Instead, by using Corollary 1, an upper bound on  $\alpha_e$  and  $\alpha_o$  will be sufficient for us to solve the maximization problem. Since  $\varepsilon_{\infty}(n) \ge 4\sqrt{n}$  for  $n \in \mathbb{Z}^+$ , the following equation holds:

$$\begin{aligned} \alpha_e &= \max_{n \in \mathcal{A}_1} \left[ \frac{2(N^2 - n)n}{\varepsilon_{\infty}(n)} \right] \le \max_{n \in \mathcal{Z}^+} \left[ \frac{2(N^2 - n)n}{\varepsilon_{\infty}(n)} \right] \\ &\le \max_{n \in \mathcal{Z}^+} \left[ \frac{2(N^2 - n)n}{4\sqrt{n}} \right] = \frac{3N^3}{16} < \frac{N^3}{4} \end{aligned}$$

 $\alpha_o < \frac{N^3 - N}{4}$  can be shown similarly. Thus, we have

$$B_{max}^{C_1} = \begin{cases} \frac{N^3}{4} & \text{for } N \text{ even} \\ \frac{N^3 - N}{4} & \text{for } N \text{ odd} \end{cases}$$

**Corollary 3.** On a 2-dimensional N-mesh with an arbitrary link failed, the lower bound,  $C_2$ , on the minimum capacity that each link must have in order to support all-to-all traffic is  $\frac{N^4}{2(2N-1)}$  for N even, and  $\frac{N^2(N^2-1)}{2(2N-1)}$  for N odd.

# **B.** Algorithm Achieving the Lower Bound on $C_1$

In this section, we show that the lower bound on  $C_1$  can be achieved by using a simple routing algorithm called the *Dimensional Routing Algorithm*. As we have mentioned earlier, the routing algorithm will use the shortest path between source and destination nodes. Below is a description of the *Dimensional Routing Algorithm*:

- 1. From the source node  $\vec{p} = (p_1, p_2)$ , move horizontally in the direction of shortest cyclic distance to the destination node  $\vec{q} = (q_1, q_2)$ ; if there is more than one way to route the traffic, pick the one that moves in the (+) direction (mod N), i.e.  $(p_1, p_2) \rightarrow ((p_1 + 1) \mod N, p_2) \rightarrow$  $((p_1 + 2) \mod N, p_2) \rightarrow \cdots \rightarrow (q_1, p_2)$ . Route the traffic for  $D_N(p_1, q_1)$  hops where  $D_N(p_1, q_1)$  denotes the shortest cyclic distance (hops) between  $\vec{p}$  and  $\vec{q}$  in horizontal direction.
- 2. Move vertically in the direction of shortest cyclic distance to the destination node; if there is more than one way to route the traffic, pick the one that moves in the (+) direction (mod N). Route the traffic for  $D_N(p_2, q_2)$ hops where  $D_N(p_2, q_2)$  denotes the shortest cyclic distance (hops) between  $\vec{p}$  and  $\vec{q}$  in vertical direction.

**Theorem 2.** Let G = (V, E) be a 2-dimensional N-mesh, by using the Dimensional Routing Algorithm above, to satisfy the all-to-all traffic, the maximum load on each link is  $\frac{N^3}{4}$  for N even and  $\frac{N^3-N}{4}$  for N odd.

# IV. CAPACITY REQUIREMENT FOR RECOVERING FROM A LINK FAILURE

Under the condition of an arbitrary link failure, we investigate the spare capacity needed to fully restore the original traffic, using the link based restoration method and path based restoration method.

# A. Link Based Restoration Strategy

Consider that an arbitrary link,  $l_{\vec{u}\vec{v}}$  (connecting nodes  $\vec{u}$  and  $\vec{v}$ ), failed in the 2-dimensional *N*-mesh. We know from the previous section that there are  $\frac{N^3-N}{4}(\frac{N^3}{4})$  units of traffic on  $l_{\vec{u}\vec{v}}$  have to be rerouted for N odd (even). Since the link based restoration strategy is used here, these  $\frac{N^3-N}{4}$  units of traffic in and out of node  $\vec{u}$  have to be rerouted through the remaining three links connecting to node  $\vec{u}$  ( $l_{\vec{u}\vec{v}}$  is already broken). We then have the following theorem:

**Theorem 3.** Using link based restoration strategy in the event of a link failure, the minimum spare capacity that each link must have in order to support the all-to-all traffic is  $\frac{N^3-N}{12}$  for N odd and  $\frac{N^3}{12}$  for N even.

Proof in [16].

#### B. Path Based Restoration Strategy

B.1 Lower Bound on the Minimum Spare Capacity

**Theorem 4.** On a 2-dimensional N-mesh with an arbitrary failed link, the minimum spare capacity,  $C_{spare}$ , that each link must have in order to support all-to-all traffic is at least  $\frac{N^3}{4(2N-1)}$  for N even, and  $\frac{N^3-N}{4(2N-1)}$  for N odd.

*Proof.* From Theorem 2, for a regular 2-dimensional N-mesh, we know that the capacity that each link must have in order to satisfy all-to-all traffic is  $\frac{N^3}{4}$  for N even, and  $\frac{N^3-N}{4}$  for N odd. In case of an arbitrary link failure, from Corollary 3, at least a capacity of  $\frac{N^4}{2(2N-1)} \left(\frac{N^2(N^2-1)}{2(2N-1)}\right)$  is needed on each link to sustain the original traffic flow for N even (odd). We need to have an extra capacity of  $C_{spare} \geq C_2 - C_1$  on each link. Thus, we have

$$C_{spare} \geq \begin{cases} \frac{N^4}{2(2N-1)} - \frac{N^3}{4} = \frac{N^3}{4(2N-1)} & \text{for } N \text{ even} \\ \frac{N^2(N^2-1)}{2(2N-1)} - \frac{N^3-N}{4} = \frac{N^3-N}{4(2N-1)} & \text{for } N \text{ odd} \end{cases}$$

#### B.2 Algorithm Using Minimum Spare Capacity

In this section, we will show that the minimum spare capacity needed on each link is  $\frac{N^3}{4(2N-1)}$  for N even and  $\frac{N^3-N}{4(2N-1)}$  for N odd. In other words, the lower bound in Theorem 4 is tight. We show the achievability by presenting a primary routing algorithm, and subsequently, a path-based recovery algorithm which fully restores the original traffic by using the minimum spare capacity in case of a link failure. We focus on the case of N odd for simplicity. To show the achievability for N even, a different set of primary routing algorithm and recovery algorithm is needed (not presented in this paper).

First, we describe the primary routing algorithm that we call Rotational Symmetric Routing Algorithm, or RS Routing Algorithm, used to route the all-to-all traffic. We use the RS Routing Algorithm instead of the Dimensional Routing Algorithm as our primary routing algorithm because the former simplify the construction and analysis of the restoration algorithm. Specifically, with the Dimensional Routing Algorithm, the traffic routes on horizontal and vertical links are not symmetric; hence a different restoration algorithm would be required for vertical and horizontal link failure. In contrast, the RS Routing Algorithm is symmetric and vertical or

horizontal link failure can be treated using the same recovery algorithm. The case of a horizontal link failure is the same as the vertical link failure if we rotate the topology by  $90^{\circ}$ .

### **RS** routing algorithm

Each node  $\vec{a}$  in a 2-dimensional N-mesh has a pair of integers  $(a_1, a_2)$  associated with it. To route one unit of traffic from the source node  $\vec{p}$  to the destination node  $\vec{q}$ , do the following:

1. Change coordinate and compute the relative position of the destination node with respect to the source node. Specifically, shift the source node to (0,0) by applying the transformation  $T_{\vec{p}}$ . Here, the transformation  $T_{\vec{p}} : \mathcal{Z}_N \times \mathcal{Z}_N \to \mathcal{Z}_N \times \mathcal{Z}_N$  is defined as  $\vec{d} = T_{\vec{p}}(\vec{q}) =$  $T_{\vec{p}}(q_1, q_2) = (d_1, d_2)$ , where for i = 1, 2

$$d_{i} = \begin{cases} q_{i} - p_{i}, \\ \text{if } -\frac{N-1}{2} \leq q_{i} - p_{i} \leq \frac{N-1}{2} \\ (q_{i} - p_{i}) \mod N, \\ \text{if } - (N-1) \leq q_{i} - p_{i} < -\frac{N-1}{2} \\ -([-(q_{i} - p_{i})] \mod N), \\ \text{if } \frac{N-1}{2} < q_{i} - p_{i} \leq N-1 \end{cases}$$

Here,  $(-n) \mod p$  is defined as  $p - n \mod p$  if  $0 < n \mod p < p$ . Thus, we will have  $T_{\vec{p}}(\vec{p}) = (0,0)$ . Fig. 2 illustrates this transformation.



Figure 2: Change of coordinate by using transformation  $T_{\vec{p}}$ .

2. Divide the nodes of the 2-dimensional N-mesh into four quadrants with the source node as the origin (shown in Fig. 2). Specifically, let

$$\mathcal{Q}_1 = \{(a,b) \mid a, b \in \mathcal{Z}_N$$

and 
$$0 \le a \le \frac{N-1}{2}, 0 < b \le \frac{N-1}{2}\},\$$
  
 $Q_2 = \{(a, b) \mid a, b \in \mathcal{Z}_N \$   
and  $-\frac{N-1}{2} \le a < 0, -\frac{N-1}{2} \le b \le 0\},\$   
 $Q_3 = \{(a, b) \mid a, b \in \mathcal{Z}_N \$   
and  $-\frac{N-1}{2} \le a \le 0, -\frac{N-1}{2} \le b < 0\},\$  and  
 $Q_4 = \{(a, b) \mid a, b \in \mathcal{Z}_N \$   
and  $0 < a \le \frac{N-1}{2}, -\frac{N-1}{2} \le b \le 0\}.$ 

- If d = T<sub>p</sub>(q) ∈ (Q<sub>1</sub> ∪ Q<sub>3</sub>), route the traffic vertically in the direction of shortest cyclic distance to the destination node by D<sub>N</sub>(p<sub>2</sub>, q<sub>2</sub>) hops. Then, route the traffic horizontally in the direction of shortest cyclic distance to the destination node by D<sub>N</sub>(p<sub>1</sub>, q<sub>1</sub>) hops.
  - If  $\vec{d} = T_{\vec{p}}(\vec{q}) \in (\mathcal{Q}_2 \cup \mathcal{Q}_4)$ , route the traffic horizontally in the direction of shortest cyclic distance to the destination node by  $D_N(p_1, q_1)$  hops. Then, route the traffic vertically in the direction of shortest cyclic distance to the destination node by  $D_N(p_2, q_2)$  hops.

Now, considering all traffic that has a particular node  $\vec{c}$  as their destination, their routing paths are rotational symmetric by the above algorithm. That is, rotating all of the routing paths by an integer multiple of 90° will result in having the same original routing configuration. RS routing algorithm also achieves the lower bound on  $C_1$ . The proof is straightforward and thus omitted here.



Figure 3: Routing path of the restoration algorithm

Our goal here is to recover the original traffic flow by adding an extra amount of capacity, which is equal to the lower bound calculated in Theorem 4, on each link. Now, we present an example to illustrate the key ideas of the recovery algorithm. Without loss of generality, suppose that link  $l_{\vec{cd}}$  failed in the 2-dimensional 7-mesh shown in Fig. 3(a). We need to find all possible source destination pairs (S-D pairs) that are affected by the failed link first. From the RS routing algorithm, these S-D pairs can be determined exactly. Specifically, let the source node be  $\vec{s}$  and destination node be  $\vec{t}$ . The set of failed traffic F is defined as  $F = F_1 \cup F_2 \cup F_3 \cup F_4 \cup F_5 \cup F_6$  where

$$\begin{split} F_1 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in A_2 \text{ and } \vec{t} \in L_4; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}, \\ F_2 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in L_2 \text{ and } \vec{t} \in A_3; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}, \\ F_3 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in A_4 \text{ and } \vec{t} \in L_2; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}, \\ F_4 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in L_4 \text{ and } \vec{t} \in A_1; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}, \\ F_5 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in L_4 \text{ and } \vec{t} \in L_2; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}, \\ F_6 &= \{(\vec{s}, \vec{t}) \mid \vec{s} \in L_2 \text{ and } \vec{t} \in L_4; D_N(s_1, t_1) \leq \frac{N-1}{2} \\ &\text{and } D_N(s_2, t_2) \leq \frac{N-1}{2} \}. \end{split}$$

In the 2-dimensional 7-mesh with a link failure, the sets  $A_1$ ,  $A_2$ ,  $A_3$ ,  $A_4$ ,  $L_2$  and  $L_4$  are shown in Fig. 3(a). More generally, with a failed vertical link connecting nodes  $\vec{v} = (v_1, v_2)$  and  $\vec{u} = (v_1, (v_2 + 1) \mod N)$ , after taking the transformation  $T_{\vec{v}}$ , we can define these sets as the following:

$$\begin{aligned} A_1 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } 1 \leq a \leq \frac{N-1}{2}, \\ &1 \leq b \leq \frac{N-1}{2}\}, \\ A_2 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } -\frac{N-1}{2} \leq a \leq -1, \\ &1 \leq b \leq \frac{N-1}{2}\}, \\ A_3 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } -\frac{N-1}{2} \leq a \leq -1, \\ &- [\frac{N-1}{2} - 1] \leq b \leq 0\}, \\ A_4 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } 1 \leq a < \frac{N-1}{2}, \\ &- [\frac{N-1}{2} - 1] \leq b \leq 0\}, \\ L_2 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } a = 0, \\ &1 \leq b \leq \frac{N-1}{2}\}, \text{ and} \\ L_4 &= \{(a,b) \mid a, b \in \mathcal{Z}_N \text{ and } a = 0, \\ &- [\frac{N-1}{2} - 1] \leq b \leq 0\}. \end{aligned}$$

A simple way for recovering a failed traffic is to reverse its routing order. That is, if the primary routing scheme is to route the traffic horizontally in the direction of shortest cyclic distance first, the recovery algorithm will route the traffic vertically first (shown in Fig. 3(b)). Thus, traffic that is supposed to go through the failed link will circumvent the failed link. Consider now the vertical links crossing line  $\alpha$  in Fig. 3(a) and the affected traffic in the set  $F_1 \cup F_2 \cup F_3 \cup F_4$ . Rerouting (i.e. reversing the routing order) all of the affected traffic in  $F_1 \cup F_2 \cup F_3 \cup F_4$  through the vertical links crossing line  $\alpha$  will add an additional 12 units of traffic on each of these six vertical links. Fig. 4(a) illustrates the recovering paths of the traffic (originating from nodes a', b', and c') in the set  $F_1$ , which are being rerouted through the link  $l_{\vec{c'd'}}$ . Recovering paths for the traffic in  $F_2$ , although not shown here, is just a flip of Fig. 4(a) with respect to the line  $\alpha$ . The total amount of rerouted traffic in  $F_1 \cup F_2$  added on link  $l_{\vec{c'}\vec{d'}}$ , which is 12, exceeds the lower bound of spare capacity,  $C_2 - C_1 = \left\lceil \frac{N^3 - N}{4(2N-1)} \right\rceil = 7.$ However, utilizing the ring structure of the mesh topology, we can reroute half of the affected traffic through links crossing line  $\beta$  (illustrated in Fig. 4(b)). This way, we have a total of six units traffic through the link  $l_{\vec{c'},\vec{d'}}$  (three from  $F_1$  and three from  $F_2$ ). For the traffic in the set  $F_5 \cup F_6$ , we can reroute half of them (six units) through the link  $l_{\vec{q}\vec{a}}$ . The remaining six units of traffic can be routed evenly through the six vertical links crossing line  $\alpha$ . Thus, we can restore the original traffic flow by using only an additional  $C_2 - C_1$  amount of capacity on each vertical link.



Figure 4: Restoration path for the 2-dimensional 7-mesh

So far we have only discussed the load on a vertical link. Now, we will address the question of whether the additional traffic on each horizontal link will exceed  $C_2 - C_1$ . For example, on the link  $l_{\vec{d}'\vec{d}}$  in Fig. 3(a), one may find that the number of rerouted traffic from the set  $F_1 \cup F_2$ , nine, exceeds  $C_2 - C_1 = 7$  after reversing the routing order of the affected traffic. However, as we reroute the affected traffic circumventing the failed link, we not only put an additional nine units of traffic ( $\vec{s} \in A_2, \vec{t} = \vec{d}$ ) on link  $l_{\vec{d}'\vec{d}}$  but also take nine units of traffic ( $\vec{s} \in L_2, \vec{t} \in L_3$ ) away from link  $l_{\vec{d}'\vec{d}}$ . Overall, we have zero additional rerouted traffic from the set  $F_1 \cup F_2$  go through link  $l_{\vec{d}'\vec{d}}$ . Nevertheless, traffic in the set  $F_5 \cup F_6$  does add extra units of traffic on the link  $l_{\vec{d}'\vec{d}}$ . By rerouting half of the traffic in  $F_5 \cup F_6$  (six) through the link  $l_{\vec{d}\vec{d}}$  (without using any horizontal link), we can then distribute the rest of the traffic in  $F_5 \cup F_6$  (six) evenly, so as to satisfy the spare capacity constraint.

As we have mentioned earlier, only the traffic in the set  $\bigcup_{i=1}^{6} F_i$  are being rerouted in our path based recovery algorithm. Traffic which is unaffected by the failed link remains intact in the recovery algorithm.

Lastly, we cannot include the full details of the path based restoration algorithm in this paper due to space limitation. For the same reason, we state the following theorem, which shows that the lower bound on the spare capacit  $(C_2 - C_1)$  is indeed achievable, without proof.

**Theorem 5.** On a 2-dimensional N-mesh, to restore the original all-to-all traffic in the event of a link failure, we need a spare capacity of  $\frac{N^3-N}{4(2N-1)}$  on each link for N odd and  $\frac{N^3}{4(2N-1)}$  for N even by using the restoration algorithm.

# V. CONCLUSION

This paper examines the capacity requirements for mesh networks with all-to-all traffic. This study is particularly useful for the purpose of design and capacity provisioning in satellite networks. A novel technique of cuts on a graph is used to obtain a tight lower bound on the capacity requirements. This cut technique provides an efficient and simple way of obtaining lower bounds on spare capacity requirements for more general failure scenarios such as node failures or multiple link failures.

Another contribution of this work is in the efficient restoration algorithm that meets the lower bound on capacity requirement. Our restoration algorithm is relatively fast in that only those traffic streams affected by the link failure must be rerouted. Yet, our algorithm utilizes much less spare capacity than link based restoration (factor of N improvement). Furthermore, in order to achieve high capacity utilization, our algorithm makes use of capacity that is relinquished by traffic that is rerouted due to the link failure (i.e. stub release [5]).

Interesting extensions include the consideration of node failures, for which finding an efficient restoration algorithm is challenging, as well as considering the impact of multiple link failures. Finally, for the application to satellite networks, it would also be interesting to examine the impact of different cross-link architectures.

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