Structured Losses
Zero-Shot Task Generalization with Multi-Task Deep Reinforcement Learning

Junhyuk Oh, Satinder Singh, Honglak Lee, Pushmeet Kohli

Outline

1. Paper: Oh et al. 2017 11:35 - 12:05 (~ 30 mins)
2. Breakout rooms discussion 12:05 - 12:20 (~ 15 mins)
3. Class discussion 12:20 - 12:30 (~ 10 mins)
Zero-Shot Task Generalization with Multi-Task Deep Reinforcement Learning

Oh et al. 2017

- Problem set up
- Approach and technical contributions
- Related work
- Learning a Parameterized Skill
- Learning to Execute Sequential Instructions
- Conclusions & Takeaways
- Discussion

Feel free to raise your blue-Zoom hand if you want to add something as the presentation goes!
Motivation: Zero-shot task generalization

**Problem:** It is infeasible to train a household robot to do every possible combinations of instructions.

**Goal:** Train the agent on a small set of tasks such that it can generalize over a larger set of tasks without additional training.

**Training**
1. Go to the kitchen
2. Wash dishes
3. Empty the trash can
4. Go to the bedroom
Motivation: Multi-task Deep Reinforcement Learning (RL)

The agent is required to:
- Perform many different tasks depending on the given task description.
- Generalize over unseen task descriptions.
Task:
Instruction execution: an agent's task is to execute a given list of instructions described by a simple form of natural language while dealing with unexpected events.

Assumption:
Each instruction can be executed by performing one or more high-level subtask in sequence.
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Instruction execution: an agent's task is to execute a given list of instructions described by a simple form of natural language while dealing with unexpected events.

Assumption:
Each instruction can be executed by performing one or more high-level subtask in sequence.

Challenges:
- Generalization
  - Unseen subtasks (skill learning stage)
  - Longer sequences of instructions
- Delayed reward (subtask updater)
- Interruptions (bonus or emergencies)
- Memory (loop tasks)
Discussion prompts  *(keep in mind for later)*

1. What are the limitations of this framework? Why?

2. How does structuring losses inform learned representations?

3. How could common sense reasoning and information be injected to the model so that we don't rely as much in training analogies.

4. How do you think this architecture would generalize to other specific tasks/scenarios? Why?

5. What are some tasks that the current framework wouldn't be able to generalize? Why?
Approach and technical contributions

The learning problem is divided in two stages

1) Learning **parameterized skills** to perform subtasks and generalize to unseen subtasks.

   subtask := several disentangled parameters

2) Learning to execute instructions using the learned skills.

Oh et al. 2017
Approach and technical contributions

The learning problem is divided in two stages

1) Learning parameterized skills to perform subtasks and generalize to unseen subtasks.

\[
\text{subtask} := \text{several disentangled parameters}
\]

How to generalize?

New objective function that encourages making analogies between similar subtasks so that the manifold of the subtasks spaces can be learned without experiencing all subtasks.

The authors show that the analogy-making objective can generalize successfully.

Oh et al. 2017
Approach and technical contributions

The learning problem is divided in two stages

**How to generalize?**

The **meta controller**’s ability to learn when to update a subtask plays a key role in solving the overall problem.

2) Learning to execute instructions using the learned skills.

![Figure 4: Neural network architecture of meta controller.](image-url)
Related work

**Hierarchical RL**

- Much of previous work has **assumed an optimal sequence of subtasks fixed** during evaluation. Also using meta **meta controller** and a set **low-level controllers for subtasks**.

- Makes it **hard to evaluate the agent’s ability to solve previously unseen sequential tasks in a zero-shot fashion** unless the agent is trained on the new tasks.

- Different to previous work, in **this work** instructions are a description of the tasks, where the agent needs to learn to use these descriptions to maximize reward.

**Hierarchical Deep RL**

- Most of the recent work on hierarchical RL and deep learning build an **open-loop policy** at the high-level controller that waits until the previous subtask is finished to trigger the next subtask.

- This open-loop approach is not able to handle interruptions, while **this work proposed an architecture that can switch its subtask at any time**.
Related work

Zero-Shot Task Generalization

- Some previous work aimed at generalization by mapping task descriptions to policies or using sub-networks that are shared across tasks.

- Andreas et al. (2016) proposes a framework to generalize over new sequence of pre-learned tasks.

- **This work** propose a flexible *metric learning method (i.e., analogy-making)* that can be applied to various generalization scenarios.

- **This work** aims to generalize to both to unseen tasks and unseen sequences of them.

Instruction execution

- Some work has focused on using natural language understanding to map instructions to actions.

- **This work** focuses on generalization to sequences of instructions without any supervision for language understanding or for actions.

- Branan et al. (2009) tackles a similar problem but with only a **single instruction at a time**, while the authors’ agent works on aligning a list of instructions and internal state.
Approach

The learning problem is divided in two stages

1) Learning **parameterized skills** to perform subtasks and generalize to unseen subtasks.

   subtask := several disentangled parameters

2) Learning to execute instructions using the learned skills.
1) Learning a Parameterized Skill

Object-independent scenario

**Training**
- Pick up (📦)
- Throw (🏀)

**Testing**
- Pick up (🎾)

To generalize, the agent assumes:
- Semantics of each parameter are consistent.
- Required knowledge: "Pick up 🏛 as you pick up 📦."

Object-dependent scenario

**Training**
- Interact (🍎) = eat
- Interact (🍟) = throw

**Testing**
- Interact (⚽) = throw

- Semantics of a task depend on a combination of parameters (e.g., target object).
- Impossible to generalize over unseen combinations without any prior knowledge.
- Required knowledge: "Interact with 🍔 as you interact with 🍎."
1) Learning a Parameterized Skill

Deep neural net

Oh et al. 2017
1) Learning a Parameterized Skill

- **Representation of task parameters**
  - $g_t$

- **Task embedding**
  - $\varphi(g_t)$

- **Actor-Critic**
  - (Fully-connected output layer)

- **Binary classification**
  - (Fully-connected output layer)

- **Analogy making**
  - (Fully-connected output layer)

Aiming to generalize, this introduces knowledge about tasks through analogy-making in the task embedding space.
1) Learning a Parameterized Skill

Deep neural net, trained end-to-end with these three objectives.

Aiming to generalize, this introduces knowledge about tasks through analogy-making in the task embedding space.
1.1) Learning to Generalize by Analogy-Making

Object-independent scenario

\[
\begin{align*}
\text{[Visit, } X\text{]} & : \text{[Visit, } Y\text{]} :: \text{[Pick up, } X\text{]} & : \text{[Pick up, } Y\text{]} \\
\text{[Visit, } X\text{]} & \quad \text{diff} \quad \rightarrow \quad \text{[Pick up, } X\text{]} \quad \text{diff} \\
\text{[Visit, } Y\text{]} & \quad \rightarrow \quad \text{[Pick up, } Y\text{]} \\
\text{unseen}
\end{align*}
\]

Goal: learn correspondence between tasks.

Acquire knowledge about the relationship between different task parameters when learning the task embedding.

Constraints in embedding space

\[
\begin{align*}
\Delta (g_A, g_B) = & \varphi(g_A) - \varphi(g_B) \\
\| \Delta (g_A, g_B) - \Delta (g_C, g_D) \| & \approx 0 \quad \text{if } g_A : g_B \::: g_C : g_D \\
\| \Delta (g_A, g_B) - \Delta (g_C, g_D) \| & \geq \tau_{dis} \quad \text{if } g_A : g_B \neq g_C : g_D \\
\| \Delta (g_A, g_B) \| & \geq \tau_{diff} \quad \text{if } g_A \neq g_B,
\end{align*}
\]

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1.1) Learning to Generalize by Analogy-Making

Object-independent scenario

\[ \text{[Visit, X]} : \text{[Visit, Y]} :: \text{[Pick up, X]} : \text{[Pick up, Y]} \]

Constraints in embedding space

\[
\Delta (g_A, g_B) = \varphi(g_A) - \varphi(g_B)
\]

\[
\|\Delta (g_A, g_B) - \Delta (g_C, g_D)\| \approx 0 \quad \text{if } g_A : g_B :: g_C : g_D
\]

\[
\|\Delta (g_A, g_B) - \Delta (g_C, g_D)\| \geq \tau_{dis} \quad \text{if } g_A : g_B \neq g_C : g_D
\]

\[
\|\Delta (g_A, g_B)\| \geq \tau_{diff} \quad \text{if } g_A \neq g_B
\]

Goal: learn correspondence between tasks.

Analogy-making (similar to Mikolov et al. (2013)).

Prevent trivial solutions and learn differences between tasks.
1.1) Learning to Generalize by Analogy-Making

Object-independent scenario

Objective: learn correspondence between tasks.

Analogy-making

\[ \text{[Visit, } X \text{]} : \text{[Visit, } Y \text{]} :: \text{[Pick up, } X \text{]} : \text{[Pick up, } Y \text{]} \]

Constraints in embedding space

\[ \Delta(g_A, g_B) = \varphi(g_A) - \varphi(g_B) \]

\[ L_{\text{sim}} = \mathbb{E}_{g_A, g_B \sim \mathcal{G}_{\text{sim}}} [\| \Delta(g_A, g_B) - \Delta(g_C, g_D) \|^2] \]

\[ L_{\text{dis}} = \mathbb{E}_{g_A, g_B \sim \mathcal{G}_{\text{dis}}} [\| \Delta(g_A, g_B) - \Delta(g_C, g_D) \|^2] \]

\[ L_{\text{diff}} = \mathbb{E}_{g_A, B \sim \mathcal{G}_{\text{diff}}} [\| \Delta(g_A, g_B) \|^2] \]

\[ L_{\text{AM}} = L_{\text{sim}} + \rho_1 L_{\text{dis}} + \rho_2 L_{\text{diff}} \]

Analogy-making (similar to Mikolov et al. (2013)). Prevent trivial solutions and learn differences between tasks.

Weighted sum of these three restrictions is added as a regularizer.
1) Learning a Parameterized Skill

The final update rule for the parameterized skill is:

\[
\Delta \phi \propto - (\nabla_{\phi} L_{RL} + \xi \nabla_{\phi} L_{AM}),
\]

\[
\nabla_{\phi} L_{RL} = \mathbb{E}_{g \sim \mathcal{U}} \left[ \mathbb{E}_{s \sim \pi_{\phi}^g} \left[ -\nabla_{\phi} \log \pi_{\phi} (a_t | s_t, g) \tilde{A}_t^{(\gamma, \lambda)}(s_t, a_t) + \alpha \nabla_{\phi} L_{term} \right] \right],
\]

- analogy-making regularizer
- fine-tune multi-task policy
- cross-entropy loss for termination prediction

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1.1) Learning to Generalize by Analogy-Making

Results

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Independent</th>
<th>Object-dependent</th>
<th>Inter/Extrapolation</th>
</tr>
</thead>
</table>

The semantics of the tasks are consistent across all types of target objects. **Generalize to unseen configuration of task parameters.**

Two groups: Group A and B. Given "interact with" action, Group A should be picked up, whereas Group B should be transformed. **To generalize to unseen objects, the agent needs to learn an embedding for the group.**

A task is defined by: action, object, and number. Repeat the same subtask for a given number of times. Trained in all actions and objects, but not all numbers. **The agent should generalize over unseen numbers.**
1.1) Learning to Generalize by Analogy-Making

Environment

Implementation details
- Curriculum training
- Actor-critic (parameters updated after 8 episodes).
1) Learning to Generalize by Analogy-Making

### Results

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Analogy</th>
<th>Train</th>
</tr>
</thead>
<tbody>
<tr>
<td>Independent</td>
<td>×</td>
<td>0.3 (99.8%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>0.3 (99.8%)</td>
</tr>
<tr>
<td>Object-dependent</td>
<td>×</td>
<td>0.3 (99.7%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>0.3 (99.8%)</td>
</tr>
<tr>
<td>Inter/Extrapolation</td>
<td>×</td>
<td>-0.7 (97.5%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>-0.7 (97.5%)</td>
</tr>
</tbody>
</table>

Table 1: Performance on parameterized tasks. Each entry shows ‘Average reward (Success rate)’. We assume an episode is successful only if the agent successfully finishes the task and its termination predictions are correct throughout the whole episode.
1) Learning to Generalize by Analogy-Making

**Results**

<table>
<thead>
<tr>
<th>Scenario</th>
<th>Analogy</th>
<th>Train</th>
<th>Unseen</th>
</tr>
</thead>
<tbody>
<tr>
<td>Independent</td>
<td>×</td>
<td>0.3 (99.8%)</td>
<td>-3.7 (34.8%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>0.3 (99.8%)</td>
<td>0.3 (99.5%)</td>
</tr>
<tr>
<td>Object-dependent</td>
<td>×</td>
<td>0.3 (99.7%)</td>
<td>-5.0 (2.2%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>0.3 (99.8%)</td>
<td>0.3 (99.7%)</td>
</tr>
<tr>
<td>Inter/Extrapolation</td>
<td>×</td>
<td>-0.7 (97.5%)</td>
<td>-2.2 (24.9%)</td>
</tr>
<tr>
<td></td>
<td>✓</td>
<td>-0.7 (97.5%)</td>
<td>-1.7 (94.5%)</td>
</tr>
</tbody>
</table>

Table 1: Performance on parameterized tasks. Each entry shows ‘Average reward (Success rate)’. We assume an episode is successful only if the agent successfully finishes the task and its termination predictions are correct throughout the whole episode.
1) Learning to Generalize by Analogy-Making

Takeaways

- When learning a representation of task parameters, it is possible to inject prior knowledge in the form of the analogy-making objective.

- Analogy-making, in this particular scenario, was crucial for generalization to unseen task parameters depending on semantics or context without needing to experience them.
Problem set up

Task:
Instruction execution: an agent's task is to execute a given list of instructions described by a simple form of natural language while dealing with unexpected events.

Assumption:
Each instruction can be executed by performing one or more high-level subtask in sequence.

Challenges:
- Generalization
  - Unseen subtasks (skill learning stage)
  - Longer sequences of instructions
- Delayed reward (subtask updater)
- Interruptions (bonus or emergencies)
- Memory (loop tasks)
2) Learning to execute instructions

The agent needs to:

1. Execute a sequence of natural language instructions.
   - Read one instruction at a time (pointer).
   - Detect when the current instruction is finished.
   - Memory (keep track of progress -- counts)
2) Learning to execute instructions

The agent needs to:

1. Execute a sequence of natural language instructions.
   - Read one instruction at a time (pointer).
   - Detect when the current instruction is finished.
   - Memory (keep track of progress -- counts)

2. Handle unexpected events (e.g., bonus or low battery).
   - Interrupt ongoing subtasks

Assume:
- Already trained parameterized skills.
2) Learning to execute instructions

The learning problem is divided in two stages, stage 2:

**How to generalize?**

The *meta controller*’s ability to learn *when to update a subtask* plays a key role in solving the overall problem.

---

2) Learning to execute instructions using the learned skills.
2) Learning to execute instructions

**Architecture**

**Meta Controller**: reads instructions and
2) Learning to execute instructions

**Architecture**

**Meta Controller**: reads instructions and passes subtask parameters to the parameterized skill.
2) Learning to execute instructions

Architecture

**Meta Controller**: reads instructions and passes subtask parameters to the parameterized skill.

**Parameterized skill**: executes the given subtask and
2) Learning to execute instructions

**Architecture**

**Meta Controller:** reads instructions and passes subtask parameters to the parameterized skill.

**Parameterized skill:** executes the given subtask and gives a termination signal to the meta controller.
2.1) Meta Controller Architecture

Meta controller can update its subtask at any time and take the termination signal as additional input.

Figure 4: Neural network architecture of meta controller.
2.1) Meta Controller Architecture

Meta controller can update its subtask at any time and take the termination signal as additional input.

Figure 4: Neural network architecture of meta controller.
2) Learning to execute instructions

The agent needs to:
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   Read one instruction at a time (pointer).
   Detect when the current instruction is finished.
   Memory (keep track of progress -- counts)

2. Handle unexpected events (e.g., bonus or low battery).
   Interrupt ongoing subtasks

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2.2) Learning to Operate at a Large Time-Scale

**Open-loop** meta controller

- Update subtask only when the previous one is finished.
- Pro: can operate a larger time scale.
- Con: cannot handle unexpected events immediately.

---

This diagram illustrates the **Open-loop** meta controller's operation, showing how subtasks are updated only on completion of the previous one. The diagram also highlights the handling of unexpected events, marked with a dashed line, indicating where the controller would adjust for such occurrences.
2.2) Learning to Operate at a Large Time-Scale

**Closed-loop** meta controller

- Update subtask at every step.
- Pro: can handle unexpected events.
- Con: need to make a decision in every time step.
2.2) Learning to Operate at a Large Time-Scale

**Learned time-scale** for meta controller

- Meta controller **learns when to update a subtask**. It introduces an internal binary decision which indicates whether to invoke the subtask updater or not (e.g., move the pointer).

- **Pro**: can handle unexpected events.

- **Con**: can operate at larger time scale.

Figure 5: Unrolled illustration of the meta controller with a learned time-scale. The internal states \((p, r, h)\) and the subtask \((g)\) are updated only when \(c = 1\). If \(c = 0\), the meta controller continues the previous subtask without updating its internal states.

Oh et al. 2017
2.2) Learning to Operate at a Large Time-Scale

Hierarchical dynamic time-scale for meta controller

- Can capture both long-term and short-term temporal information.
2) Learning to execute instructions

Experiments & RQs

**RQ1)** Will the proposed hierarchical architecture outperform a non-hierarchical baseline?

**RQ2)** How beneficial is the meta controller’s ability to learn when to update the subtask?
2) Learning to execute instructions

Experiments & RQs

<table>
<thead>
<tr>
<th></th>
<th>Flat</th>
<th>Hierarchical-Long</th>
<th>Hierarchical-Short</th>
<th>Hierarchical-Dynamic</th>
</tr>
</thead>
</table>

It directly chooses actions **without using the parameterized skill**. It is also pre-trained on the training set of subtasks.

- Open-loop
- Closed-loop
- Proposed hierarchical dynamic controller.
2) Learning to execute instructions

Results

<table>
<thead>
<tr>
<th>Length of instructions</th>
<th>Train</th>
<th>Test (Seen)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>4</td>
<td>20</td>
</tr>
<tr>
<td>Flat</td>
<td>-7.1 (1%)</td>
<td>-63.6 (0%)</td>
</tr>
<tr>
<td>Hierarchical-Long</td>
<td>-5.8 (31%)</td>
<td>-59.2 (0%)</td>
</tr>
<tr>
<td>Hierarchical-Short</td>
<td>-3.3 (83%)</td>
<td>-53.4 (23%)</td>
</tr>
<tr>
<td>Hierarchical-Dynamic</td>
<td>-3.1 (95%)</td>
<td>-30.3 (75%)</td>
</tr>
</tbody>
</table>

Table 2: Performance on instruction execution. Each entry shows average reward and success rate. ‘Hierarchical-Dynamic’ is our approach that learns when to update the subtask. An episode is successful only when the agent solves all instructions correctly.
2) Learning to execute instructions

## Results

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<thead>
<tr>
<th></th>
<th>Length of instructions</th>
<th>Train</th>
<th>Test (Seen)</th>
<th>Test (Unseen)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Without parameterized skills</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Open-loop</td>
<td>4</td>
<td>20</td>
<td>20</td>
<td></td>
</tr>
<tr>
<td>Closed-loop</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proposed approach</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th><strong>Train</strong></th>
<th><strong>Test (Seen)</strong></th>
<th><strong>Test (Unseen)</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Flat</strong></td>
<td>-7.1 (1%)</td>
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<td>-62.0 (0%)</td>
</tr>
<tr>
<td><strong>Hierarchical-Long</strong></td>
<td>-5.8 (31%)</td>
<td>-59.2 (0%)</td>
<td>-59.2 (0%)</td>
</tr>
<tr>
<td><strong>Hierarchical-Short</strong></td>
<td>-3.3 (83%)</td>
<td>-53.4 (23%)</td>
<td>-53.6 (18%)</td>
</tr>
<tr>
<td><strong>Hierarchical-Dynamic</strong></td>
<td><strong>-3.1 (95%)</strong></td>
<td><strong>-30.3 (75%)</strong></td>
<td><strong>-38.0 (56%)</strong></td>
</tr>
</tbody>
</table>

Table 2: Performance on instruction execution. Each entry shows average reward and success rate. ‘Hierarchical-Dynamic’ is our approach that learns when to update the subtask. An episode is successful only when the agent solves all instructions correctly.
2) Learning to execute instructions

Takeaways

- Overall performance: their agent is able to generalize to longer compositions of seen and unseen instructions by just learning to solve short sequences of a subset of instructions.

- The proposed controller is key to handle loop instructions, thanks to its ability to determine when to move to the next task (informed by parameterized skills) and keep progress in memory.

- Their architecture makes fewer decisions by operating at a large time-scale.

Oh et al. 2017
Summary

Looking for: Zero-shot task generalization capabilities in Reinforcement Learning (RL)

Introduce a new RL problem with two steps:
1. An agent should learn useful skills that solve subtasks.
2. The same agent should learn to execute sequences of tasks using the learned skills.

Required generalization types:
- **Generalize to previously unseen instructions**
  - New objective which encourages learning correspondences between similar subtasks by making analogies.

- **Generalize to longer sequences of instructions**
  - Hierarchical architecture where a meta controller learns to use the acquired skills for executing the instructions.
Takeaways

Oh et al. 2017

- Explored a type of zero-shot task generalization in RL.
  - Parameterized tasks
  - Sequence of instructions

- Propose a new problem where an agent is required to execute and generalize over sequences of instructions.

- We can teach to generalize to new tasks with analogies through metric learning (learning a distance function between objects).

- Learning when to update subtasks helps when the agent has high-level skills and deals with complex decision problems.
Discussion prompts

1. What are the limitations of this framework? Why?
2. How does structuring losses inform learned representations?
3. How could common sense reasoning and information be injected to the model so that we don't rely as much in training analogies.
4. How do you think this architecture would generalize to other specific tasks/scenarios? Why?
5. What are some tasks that the current framework wouldn't be able to generalize? Why?
## 2) Learning to execute instructions

### Results

<table>
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<tr>
<th></th>
<th>Train</th>
<th>Test (Seen)</th>
<th>Test (Unseen)</th>
</tr>
</thead>
<tbody>
<tr>
<td>#Instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Closed-loop</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proposed approach</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Without parameterized skills</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flat</td>
<td>-2.38 (76.0%)</td>
<td>-18.83 (0.1%)</td>
<td>-18.92 (0.0%)</td>
</tr>
<tr>
<td>Hierarchical-Short</td>
<td>-1.74 (81.0%)</td>
<td>-15.89 (28.0%)</td>
<td>-17.23 (11.3%)</td>
</tr>
<tr>
<td>Hierarchical-Dynamic</td>
<td><strong>-1.26 (95.5%)</strong></td>
<td><strong>-11.30 (81.3%)</strong></td>
<td><strong>-14.75 (40.3%)</strong></td>
</tr>
</tbody>
</table>

Table 7: Performance of meta controller. Each entry in the table represents reward with success rate in parentheses averaged over 10-best runs among 20 independent runs. ‘Shortest Path’ is a hand-designed policy which executes instructions optimally based on the shortest path but ignores enemies. ‘Near-Optimal’ is a near-optimal policy that executes instructions based the shortest path and transforms enemies when they are close to the agent.

Oh et al. 2017