On the complexity of computing Kostka numbers and Littlewood-Richardson coefficients

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ABSTRACT. Kostka numbers and Littlewood-Richardson coefficients appear in combinatorics and representation theory. Interest in their computation stems from the fact that they are present in quantum mechanical computations since Wigner ([17]). In recent times, there have been a number of algorithms proposed to perform this task ([1], [13], [14], [2], [3]). The issue of their computational complexity was explicitly asked by E. Rassart ([13]). We prove that the computation of either quantity is #P-complete. This, implies that unless P = NP, which is widely disbelieved, there do not exist efficient algorithms that compute these numbers.

RÉSUMÉ. Les nombres de Kostka et les coefficients de Littlewood-Richardson apparaissent en combinatoire et en théorie de la représentation. Il est intéressant de les calculer car ils apparaissent dans certains calculs en mécanique quantique depuis Wigner ([17]). Récemment, plusieurs algorithmes ont été proposés pour les calculer ([1], [13], [14], [2], [3]). Le problème de la complexité de ce calcul a été posé par E. Rassart ([13]). Nous démontrons que le calcul des nombres de Kotska et des coefficients de Littlewood-Richardson est #P-complet. Cela implique que, à moins que P=NP, il n'existe pas d'algorithme efficace pour calculer ces nombres.

1. Introduction

Let $\mathbb{N} = \{1, 2, ...\}$ be the set of positive integers and $\mathbb{Z}_{\geq 0} = \mathbb{N} \cup \{0\}$. Let $\lambda = (\lambda_1, ..., \lambda_s) \in \mathbb{N}^s$, $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_s \geq 1$, $\mu = (\mu_1, ..., \mu_t) \in \mathbb{Z}_{\geq 0}^t$, $\nu = (\nu_1, ..., \nu_u) \in \mathbb{Z}_{\geq 0}^u$ and $\alpha = (\alpha_1, ..., \alpha_v) \in \mathbb{N}^v$, $\alpha_1 \geq \cdots \geq \alpha_v \geq 1$. The Kostka number $K_{\lambda\mu}$ and the Littlewood-Richardson coefficient $c_{\lambda\alpha}^v$ play an essential role in the representation theory of the symmetric groups and the special linear groups. Their combinatorial definitions can be found in Section 2. These have been present in quantum mechanical computations since the time of Wigner ([17]). Recently, in [13], E. Rassart asked whether there exist fast (polynomial time) algorithms to compute Kostka numbers and Littlewood Richardson coefficients (Question 1, page 99). We prove that the two quantities are #P-complete (see Theorems 1, 2). It is known that if a #P-complete quantity were computable in polynomial time, P = NP. An explanation of this fact is sketched in Section 2. Thus, under the widely believed hypothesis that $P \neq NP$, there do not exist efficient (polynomial time) algorithms to compute Kostka numbers and Littlewood. Richardson coefficients Kostka numbers and Littlewood Richardson coefficients (Recently) have the recent the recent time of the start is sketched in Section 2. Thus, under the widely believed hypothesis that $P \neq NP$, there do not exist efficient (polynomial time) algorithms to compute Kostka numbers and Littlewood-Richardson coefficients.

In [1], Barvinok and Fomin show how the set of all non-zero $K_{\lambda\mu}$ for a given μ can be produced in time that is polynomial in the total size of the input and output. They also give a probabilistic algorithm running in time, polynomial in the total size of input and output, that computes the set of all non-zero Littlewood-Richardson coefficients $c_{\lambda\mu}^{\nu}$ given λ and μ . In [3], methods for the explicit computation of the Kostka numbers and Littlewood-Richardson coefficients using vector partition functions are discussed. Practical implementations of Littlewood-Richardson calculators have been developed by Anders Buch and J. Stembridge. Whether $K_{\lambda\mu} > 0$ can be answered in polynomial time (see Proposition 1), and so can the question of whether $c_{\lambda\alpha}^{\nu} > 0$, though the latter is a non-trivial fact established by K. Mulmuley and M. Sohoni [10], and uses the proof of the Saturation Conjecture by Knutson and Tao [9]. This fact plays an important role in the approach to the *P* vs *NP* question [11] due to K. Mulmuley and M. Sohoni.

We reduce the #P-complete problem of finding the number $|\mathbb{I}(\mathbf{a}, \mathbf{b})|$ of $2 \times k$ contingency tables to that of finding some Kostka number $K_{\lambda\mu}$. Kostka numbers are known to be also Littlewood-Richardson (LR) coefficients. Thus, their computation reduces to computing some LR coefficient $c_{\lambda\alpha}^{\nu}$, where λ, μ, α and ν can be computed in time polynomial in the size of (\mathbf{a}, \mathbf{b}) . The main tool used in the reduction to finding Kostka numbers is the R-S-K correspondence ([6] pages 40-41) between the set $\mathbb{I}(\mathbf{a}, \mathbf{b})$ of contingency tables and pairs of tableaux having contents \mathbf{a} and \mathbf{b} respectively.

2. Preliminaries and Notation

NP is the class of decision problems, $e: \bigcup_{n\in\mathbb{N}}\{0,1\}^n \to \{0,1\}$, for which there exists a polynomial time Turing machine M and a polynomial p such that $(\forall n \in \mathbb{N}), (\forall x \in \{0,1\}^n), e(x) = 1$ if and only if $\exists y, y \in \{0,1\}^{p(n)}$ such that M accepts (x, y).

The class #P is the class of functions $f : \bigcup_{n \in \mathbb{N}} \{0, 1\}^n \to \mathbb{Z}_{\geq 0}$, for which there exists a polynomial time Turing machine M and a polynomial p such that $(\forall n \in \mathbb{N}), (\forall x \in \{0, 1\}^n), f(x) = |\{y \in \{0, 1\}^{p(n)} \text{ such that } M \text{ accepts } (x, y)\}|$. Valiant defined the counting class #P in his seminal paper [15]. Many counting problems are naturally in #P. For example, counting the number of integer points in a polytope, membership queries to which can be answered in polynomial time is a problem in #P.

A problem $W \in NP$ is NP-complete, if given a black box that solves instances of W in polynomial time, any problem in NP can be solved in polynomial time. Similarly, a counting problem $X \in \#P$ is #P-complete if given a black box that provides solutions to instances of X in polynomial time, any problem in the class #P can be solved in polynomial time. Note that by definition, counting the number of solutions to any problem in NP is in #P. Thus if a #P-complete counting problem could be solved in polynomial time, we could find the number of solutions to any problem in NP efficiently (in polynomial time.) and thereby solve it, by checking if the number of solutions is ≥ 1 .

The following problem of computing the number of $2 \times k$ contingency tables is known to be #P-complete. Let $\mathbf{a} = (a_1, a_2) \in \mathbb{Z}_{\geq 0}^2, a_1 \geq a_2$ and $\mathbf{b} = (b_1, \dots, b_k) \in \mathbb{Z}_{\geq 0}^k$. We denote by $\mathbb{I}(a, b)$ the set of $2 \times k$ arrays of nonnegative integers whose row sums are a_1 and a_2 respectively and whose column sums are b_1, \dots, b_k . Geometrically, $\mathbb{I}(a, b)$ can be viewed as the set of integer points in the intersection of the multidimensional rectangular block defined by the column sums, and the diagonal hyperplane given by the first row sum. Counting $\mathbb{I}(a, b)$ was proved to be #P-complete by R. Kannan, M. Dyer and J. Mount in [5].

A Young diagram ([6], page 1) is a collection of boxes, arranged in left justified rows, such that from top to bottom, the number of boxes in a row is monotonically (weakly) decreasing.



FIGURE 1. Left to right, the shapes λ, α and the skew shape $\lambda * \alpha$.

The first two shapes in Figure 1 are Young diagrams. A **filling** is a numbering of the boxes of a Young diagram with positive integers, that are not necessarily distinct. A **Young tableau** or simply **tableau** is a filling such that the entries are

- (1) weakly increasing from left to right across each row, and
- (2) strictly increasing from top to bottom, down each column.

P and *Q*, in Figure 2, are Young tableaux. A **skew diagram** is the diagram obtained from removing a smaller Young diagram out of a larger one. The third shape in Figure 1 is a skew shape. A **skew tableau** is a filling of the boxes of a skew diagram with positive integers, nondecreasing in rows, and strictly increasing in columns (see Figure 5). Let $\lambda := (\lambda_1, \ldots, \lambda_s)$. If the number of boxes in the i^{th} row of a tableau, for $1 \le i \le s$ is λ_i , the tableau is said to have **shape** λ . If the tableau houses μ_j copies of j for $j \le t$ and $\mu := (\mu_1, \ldots, \mu_t)$, it is said to have **content** μ . Thus, in Figure 2, *P* and *Q* have the same shape (5, 2), but contents (3, 2, 2) and (4, 3) respectively.

Given two shapes λ and α , $\lambda * \alpha$ is defined to be the skew-shape obtained by attaching the lower left corner of α to the upper right corner of λ as in Figure 1 (see [6], page 60). $\operatorname{size}(\lambda,\mu)$ denotes the number of bits used in the description of this tuple of vectors. For $\lambda := (\lambda_1, \ldots, \lambda_s)$, let $|\lambda| = \sum_{i=1}^s \lambda_i$. For vectors λ , μ , we say that $\lambda \geq \mu$ if $|\lambda| = |\mu|$ and $\forall i, \sum_{j \leq i} \lambda_j \geq \sum_{j \leq i} \mu_j$. In addition, if $\lambda \neq \mu$, we say $\lambda \rhd \mu$. This ordering is called the dominance ordering.

We call a tableau **Littlewood-Richardson** or **LR**, if, when its entries are read right to left, top to bottom, at any moment, the number of copies of *i* encountered is greater than or equal to the number of copies of i + 1 encountered ([6], page 63). We denote the set of all (possibly skew) tableaux of shape λ and content μ by $\mathbb{T}(\lambda, \mu)$, and its subset consisting of all LR (possibly skew) tableaux by $\text{LRT}(\lambda, \mu)$. The **Kostka number** $\mathbf{K}_{\lambda\mu}$ is the number of tableaux of shape λ and content μ , i.e $|\mathbb{T}(\lambda, \mu)|$ ([6], page 25). The **Littlewood-Richardson coefficient** $\mathbf{c}_{\lambda\alpha}^{\nu}$ is the number of LR skew tableaux of shape $\lambda * \alpha$ of content ν , i.e $|\text{LRT}(\lambda * \alpha, \nu)|$ (this follows from Corollary 2, (v), page 62 and Lemma 1, page 65 of [6]).

3. The problems are in #P

The particular representation of partitions used above seems to be the most reasonable in the context of computing Kostka numbers and Littlewood-Richardson coefficients. The answer to whether or not a problem is in #P depends on the format in which the input is specified.

If for example, we store partitions by their transposes, then these problems are no longer in the class #P. This can be seen by considering the Kostka number equal to the number of standard tableaux on a $n \times 2$ rectangular array. By the hook length formula, the number of such tableaux is the Catalan number $\binom{2n}{n}/(n+1)$ which is exponential in n. However if the shape and content were represented as the transposes of the corresponding partitions, they occupy only $O(\log n)$ space. And so the Kostka number is doubly exponential in the size of the input. It is not hard to see that this is impossible for counting problems in the class #P. On the other hand, if the partitions were represented in unary, it is not clear what the complexity of computing Kostka numbers and LR coefficients is. In unary, the partition (3, 2, 1) would be represented as (111, 11, 1). Thus unlike in the binary case, one cannot represent partitions with very large parts efficiently. It is clear that the problems are in #P for the unary case, but it is not clear whether they are #P-complete.

The tableau shapes λ , α and contents μ , ν are described by vectors with integer coefficients. The Littlewood-Richardson coefficient number $c_{\lambda\alpha}^{\nu}$ counts the number of integer points of a polytope of dimension $O(\operatorname{size}(\lambda,\mu)^2)$, given by the intersection of $O(\operatorname{size}(\lambda,\mu)^2)$ halfspaces. The defining coefficients of these halfspaces have size $O(\operatorname{size}(\lambda,\mu))$. This follows from the encoding of relevant skew tableaux in the form of Littlewood-Richardson triangles (see [12].) Therefore the computation of Littlewood-Richardson coefficients is in #P. The Kostka number $K_{\lambda\mu}$ is known to correspond to Littlewood-Richardson coefficients in parameters whose sizes are polynomial in $\operatorname{size}(\lambda,\mu)$. For the sake of completeness, an explicit correspondence has been established in Lemma 2. It follows that the problem of computing the Kostka number $K_{\lambda\mu}$ is in #P.

PROPOSITION 1. Given λ and μ , whether or not $K_{\lambda\mu} > 0$ can be answered in polynomial time.

Proof:

Let λ, μ be defined as in section 1. For any permutation σ of the set $\{1, \ldots, t\}$, let $\sigma(\mu)$ be the vector $(\mu_{\sigma(1)}, \ldots, \mu_{\sigma(t)})$. It is a known fact that $K_{\lambda\mu} = K_{\lambda\sigma(\mu)}$ (see [**6**], page 26). Let σ be a permutation such that $\forall i \leq t - 1$, $\mu_{\sigma(i)} \geq \mu_{\sigma(i+1)}$. For any $\check{\mu}$, whose components are arranged in non-increasing order, it is known that $K_{\lambda\check{\mu}} > 0$ if and only if $\lambda \geq \check{\mu}$ (see [**6**], page 26). Whether $\lambda \geq \sigma(\mu)$ can be checked in time that is $O(\operatorname{size}(\lambda, \mu))$. Thus, whether or not $K_{\lambda\mu} > 0$ can be answered in time $O(\operatorname{size}(\lambda, \mu) \ln(\operatorname{size}(\lambda, \mu)))$, which is the time it takes to find a permutation σ that arranges the components of μ in non-increasing order.

4. Hardness Results

LEMMA 1. Given $\mathbf{a} = (a_1, a_2) \in \mathbb{Z}^2_{\geq 0}$, $a_1 \geq a_2$, and $\mathbf{b} = (b_1, \dots, b_k) \in \mathbb{Z}^k_{\geq 0}$, let $\lambda = (a_1 + a_2, a_2)$ and $\mu = (b_1, \dots, b_k, a_2)$. Then, $|\mathbb{I}(\mathbf{a}, \mathbf{b})| = K_{\lambda\mu}$.

Proof:



FIGURE 2. An instance of the correspondence between $\mathbb{I}(\mathbf{a}, \mathbf{b})$ and $\bigcup_{\lambda} \mathbb{T}(\lambda, \mathbf{a}) \times \mathbb{T}(\lambda, \mathbf{b})$ for $\mathbf{a} = (4, 3)$, $\mathbf{b} = (3, 2, 2)$.



FIGURE 3. An instance of the correspondence between $\cup_{\check{\lambda}} \mathbb{T}(\check{\lambda}, \mathbf{a}) \times \mathbb{T}(\check{\lambda}, \mathbf{b})$ and $\cup_{\check{\lambda} \triangleright \mathbf{a}} \mathbb{T}(\check{\lambda}, \mathbf{b})$ for $\mathbf{a} = (4, 3)$ and $\mathbf{b} = (3, 2, 2)$.

The R-S-K (Robinson-Schensted-Knuth) correspondence ([6] pages 40-41) gives a bijection between $\mathbb{I}(\mathbf{a}, \mathbf{b})$, the set of $2 \times k$ contingency tables with row sums \mathbf{a} and column sums \mathbf{b} , and pairs of tableaux (T_1, T_2) having a common shape but contents \mathbf{a} and \mathbf{b} respectively. In other words, we have a bijection between $\mathbb{I}(\mathbf{a}, \mathbf{b})$ and $\bigcup_{\lambda} \mathbb{T}(\lambda, \mathbf{a}) \times \mathbb{T}(\lambda, \mathbf{b})$. A sample correspondence is shown in Figure 2.

CLAIM 1. For every shape $\check{\lambda} = (\check{\lambda_1}, \check{\lambda_2})$, such that that $\check{\lambda} \succeq \mathbf{a}$, there is exactly one tableau having shape $\check{\lambda}$ and content \mathbf{a} . For any other shape $\check{\lambda}$ there is no tableau having shape $\check{\lambda}$ and content \mathbf{a} .

It follows from the proof of Proposition 1 that the existence of a tableau with shape λ and content **a** is equivalent to the condition $\check{\lambda} \succeq \mathbf{a}$. Any tableau with content $\mathbf{a} = (a_1, a_2)$ can have at most two rows, since the entries in a single column are all distinct. The filling in which the first a_1 boxes of the top row contain 1 and all others contain 2 is a tableau (see Qin Figure 3). Since all the copies of 1 must be in the first row and must be in a contiguous stretch including the leftmost box, this is the only tableau in $\mathbb{T}(\lambda, \mathbf{a})$. Hence the claim is proved.

Thus there is a bijection between $\cup_{\tilde{\lambda}} \mathbb{T}(\tilde{\lambda}, \mathbf{a}) \times \mathbb{T}(\tilde{\lambda}, \mathbf{b})$ and the set of tableaux of content **b** having some shape $\tilde{\lambda} \supseteq \mathbf{a}$. i.e, there is a bijection between $\cup_{\tilde{\lambda}} \mathbb{T}(\tilde{\lambda}, \mathbf{a}) \times \mathbb{T}(\tilde{\lambda}, \mathbf{b})$ and $\cup_{\tilde{\lambda} \supseteq \mathbf{a}} \mathbb{T}(\tilde{\lambda}, \mathbf{b})$. An example of this is provided in Figure 3. Let us now consider the set $\cup_{\tilde{\lambda} \supseteq \mathbf{a}} \mathbb{T}(\tilde{\lambda}, \mathbf{b})$.

CLAIM 2. Any tableau in $\cup_{\check{\lambda} \succeq \mathbf{a}} \mathbb{T}(\check{\lambda}, \mathbf{b})$ can be extended to a tableau of the shape $\lambda = (a_1 + a_2, a_2)$ by filling the boxes that are in λ but not $\check{\lambda}$, with k + 1. This extension is a bijection between $\cup_{\check{\lambda} \succeq \mathbf{a}} \mathbb{T}(\check{\lambda}, \mathbf{b})$ and $\mathbb{T}(\lambda, \mu)$.

If there is a tableau of shape $\check{\lambda}$ and content \mathbf{a} , $\check{\lambda_1} \leq a_1 + a_2$, and $\check{\lambda_2} \leq a_2$. $\check{\lambda} \geq \mathbf{a} \implies \check{\lambda_1} \geq a_2 = \lambda_2$. Therefore no two of the boxes in λ which are not in $\check{\lambda}$ belong to the same column. Those of these boxes, that are present in a given row, occupy a contiguous stretch



FIGURE 4. An instance of the correspondence between $\cup_{\check{\lambda} \succeq \mathbf{a}} \mathbb{T}(\check{\lambda}, \mathbf{b})$ and $\mathbb{T}(\lambda, \mu)$, where $a = (4, 3), b = (3, 2, 2), \lambda = (7, 3)$ and $\mu = (3, 2, 2, 3)$.



FIGURE 5. An instance of the correspondence between $\mathbb{T}(\lambda, \mu)$ and $LR\mathbb{T}(\lambda * \alpha, \nu)$ for $\lambda = (7, 3)$ and $\mu = (3, 2, 2, 3)$, $\alpha = (7, 5, 3)$ and $\nu = (10, 7, 5, 3)$.

that includes the rightmost box. Therefore by filling them with k + 1 we get a tableau in $\mathbb{T}(\lambda, \mu)$. Conversely, given a tableau T in $\mathbb{T}(\lambda, \mu)$, deleting all boxes of T filled with k + 1 gives a tableau in $\bigcup_{\lambda \succeq \mathbf{a}} T(\check{\lambda}, \mathbf{b})$. These two maps are inverses of each other and hence provide a bijection between $\bigcup_{\lambda \rhd \mathbf{a}} T(\check{\lambda}, \mathbf{b})$ and $\mathbb{T}(\lambda, \mu)$. Hence the claim is proved.

An example of this correspondence has been illustrated in Figure 4. Therefore, $|\mathbb{I}(\mathbf{a}, \mathbf{b})| = |\bigcup_{\check{\lambda}} \mathbb{T}(\check{\lambda}, \mathbf{a}) \times \mathbb{T}(\check{\lambda}, \mathbf{b})| = |\bigcup_{\check{\lambda} \succeq \mathbf{a}} \mathbb{T}(\check{\lambda}, \mathbf{b})| = |\mathbb{T}(\lambda, \mu)| = K_{\lambda\mu}.$

THEOREM 1. The problem of computing $K_{\lambda\mu}$, even when λ has only 2 rows, is #P-complete.

Proof:

Computing $K_{\lambda\mu}$ is in #P as shown in Section 3. Now the result follows from Lemma 1 because the computation of $|\mathbb{I}(\mathbf{a}, \mathbf{b})|$ is known to be #P-complete ([5]).

LEMMA 2. Given $\lambda = (\lambda_1, \lambda_2) \in \mathbb{Z}_{\geq 0}^2$, $\lambda_1 \geq \lambda_2$, and $\mu = (\mu_1, \ldots, \mu_\ell) \in \mathbb{Z}_{\geq 0}^\ell$, let $\alpha = (\alpha_1, \ldots, \alpha_{\ell-1})$ where $(\forall i)\alpha_i = \sum_{j>i} \mu_i$, and $\nu = (\nu_1, \ldots, \nu_\ell)$, where $\forall i \leq \ell - 1, \nu_i = \alpha_i + \mu_i$, and $\nu_\ell = \mu_\ell$. Then $K_{\lambda\mu} = c_{\lambda\alpha}^{\nu}$.

Proof:

 $c_{\lambda\alpha}^{\nu}$ is, by definition, $|\text{LRT}(\lambda * \alpha, \nu)|$, which is the number of LR tableaux on the skew shape $\lambda * \alpha$ that have content ν . The skew shape $\lambda * \alpha$ consists of a copy of λ and a copy of α , as in Figures 1 and 5. For any skew tableau S of shape $\lambda * \alpha$, we shall denote by $S|_{\alpha}$, the restriction of S to the copy of α and by $S|_{\lambda}$, the restriction of S to the copy of λ . Thus, $S|_{\alpha}$ is a tableau of shape α and $S|_{\lambda}$ is a tableau of shape λ .

Let $S \in LR\mathbb{T}(\lambda * \alpha, \nu)$. For $i \leq \ell - 1$, it follows from the LR and tableau constraints that the i^{th} row of $S|_{\alpha}$ must consist entirely of copies of i.

Consequently, $S|_{\lambda}$ must have content $\nu - \alpha = \mu$. In other words, $S|_{\lambda} \in \mathbb{T}(\lambda, \mu)$. Conversely, given any tableau $T \in \mathbb{T}(\lambda, \mu)$, let S(T) be the skew tableau of shape $\lambda * \alpha$ in which $S(T)|_{\lambda} = T$ and the i^{th} row of $S(T)|_{\alpha}$ consists entirely of copies of i. It is not difficult to see that $S(T) \in \text{LRT}(\lambda * \alpha, \nu)$. $S(T)|_{\lambda} = T$, thus we have a bijection between $\text{LRT}(\lambda * \alpha, \nu)$, the set of LR skew tableaux of shape $\lambda * \alpha$ having content ν and $\mathbb{T}(\lambda, \mu)$, the set of tableaux of shape λ having content μ . Hence $K_{\lambda\mu} = |\mathbb{T}(\lambda, \mu)| = |\text{LRT}(\lambda * \alpha, \nu)| = c_{\lambda\alpha}^{\nu}$ as claimed. \Box

THEOREM 2. The problem of computing $c_{\lambda\alpha}^{\nu}$, even when λ has only 2 rows is #P-complete.

Proof:

By the explanation in Section 3, computing $c_{\lambda\alpha}^{\nu}$ is in #P. We have already proved in Theorem 1, that the computation of $K_{\lambda\mu}$ is #P-complete. The result now follows from Lemma 2.

5. Conclusion

We proved that the computation of Kostka numbers and Littlewood-Richardson coefficients is #P-complete. The reduction to computing Kostka numbers was from the #P-complete problem [5] of computing the number of contingency tables having given row and column sums. The problem of computing Kostka numbers was then reduced to that of computing Littlewood-Richardson coefficients. FPRAS (Fully Polynomial Randomized Approximation Schemes) are known to exist for contingency tables with two rows. Thus we obtain FPRAS for a restricted class of Kostka numbers from the correspondence in Lemma 1. It would be of interest to know if such schemes exist for Kostka numbers and Littlewood-Richardson coefficients with general parameters.

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