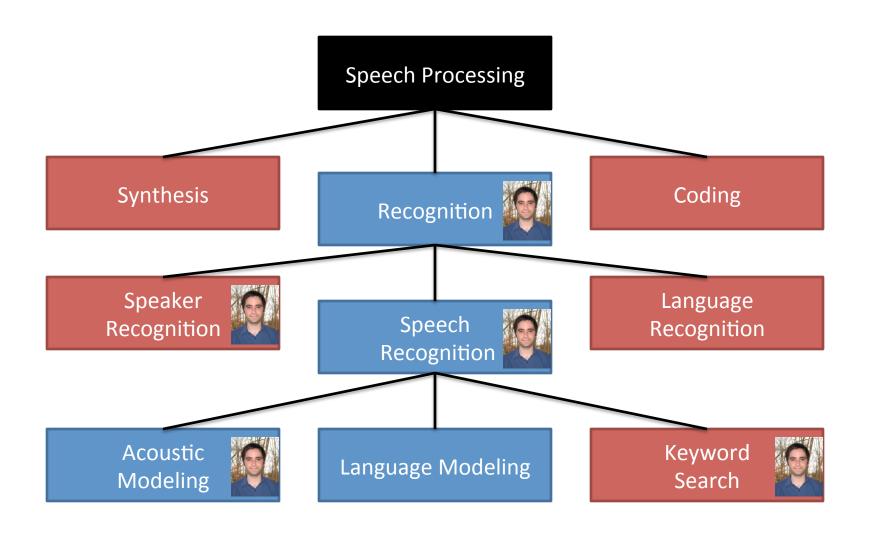
### **An Overview of Speech Technologies**

#### Aren Jansen



Thanks to Brian Kingsbury (IBM) and Hynek Hermansky (JHU) for some of the materials contained in this lecture.

### Core Automatic Speech Technologies

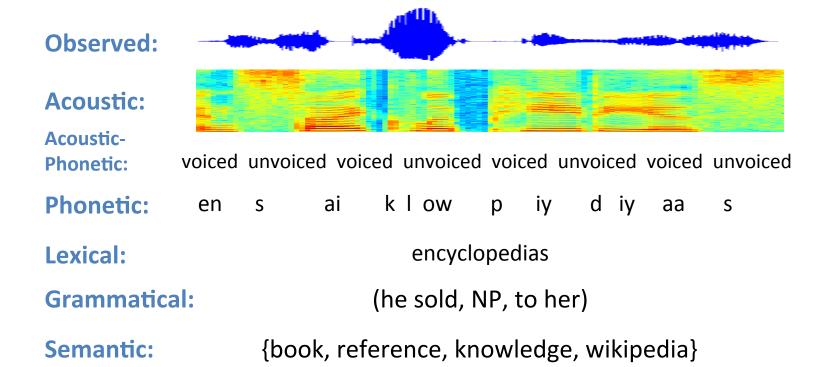


### From i.i.d. Samples to i.i.d. Time Series

- Most of what you covered so far:
  - Given:  $Z = \{(x_i, y_i)\}$  pairs (for supervised case)
  - Learn:  $f(x) \rightarrow y$

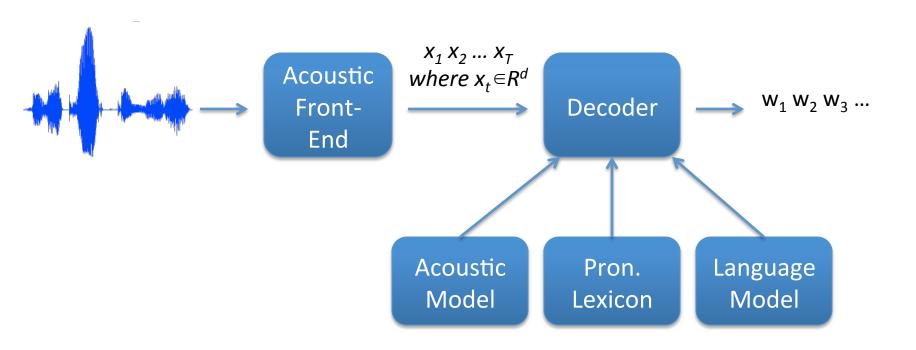
- Speech recognition: vector time series, categorical labels not at the sample level:
  - Given:  $X_i = x_1 x_2 ... x_T$  where  $x_t \in \mathbb{R}^d$  and  $Y_i = y_1 y_2 ... y_n$
  - Notice: n != T
  - Learn:  $f(X) \rightarrow Y$

#### Speech is Rich with Structure



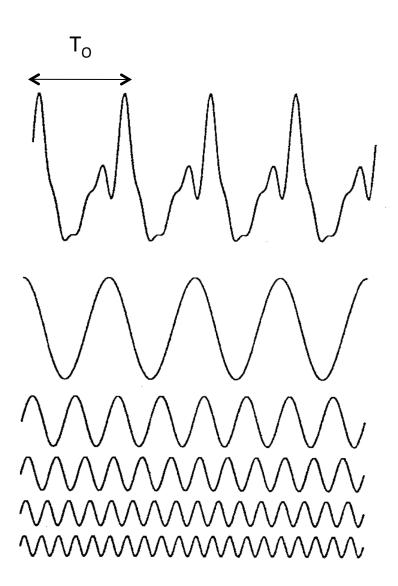
Speech recognition requires modeling of all levels of hierarchy

#### Automatic Speech Recognition Pipeline



#### All Speech Processing Begins Here

(in one form or another)



#### Fourier Analysis

decompose the signal into a sum of sinusoids across the whole range of frequency

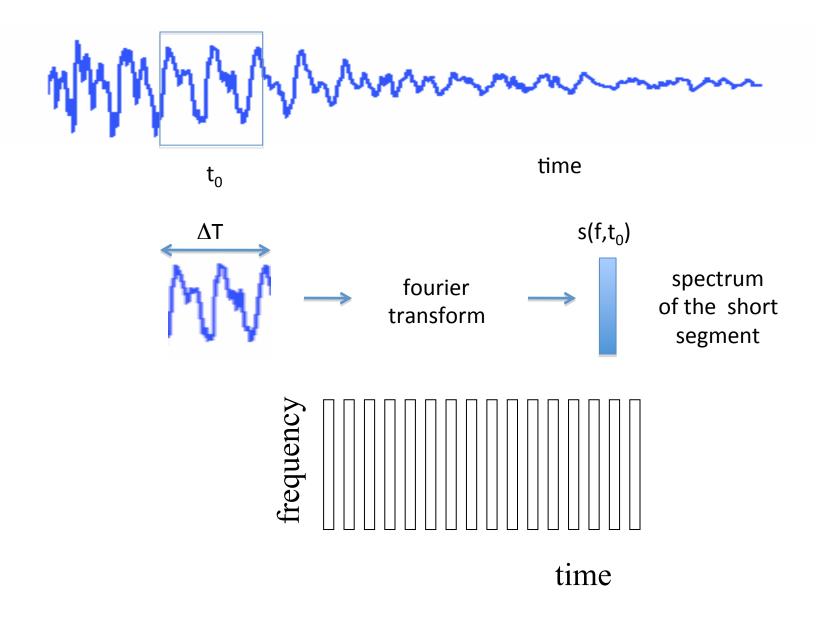
# Beads on a String

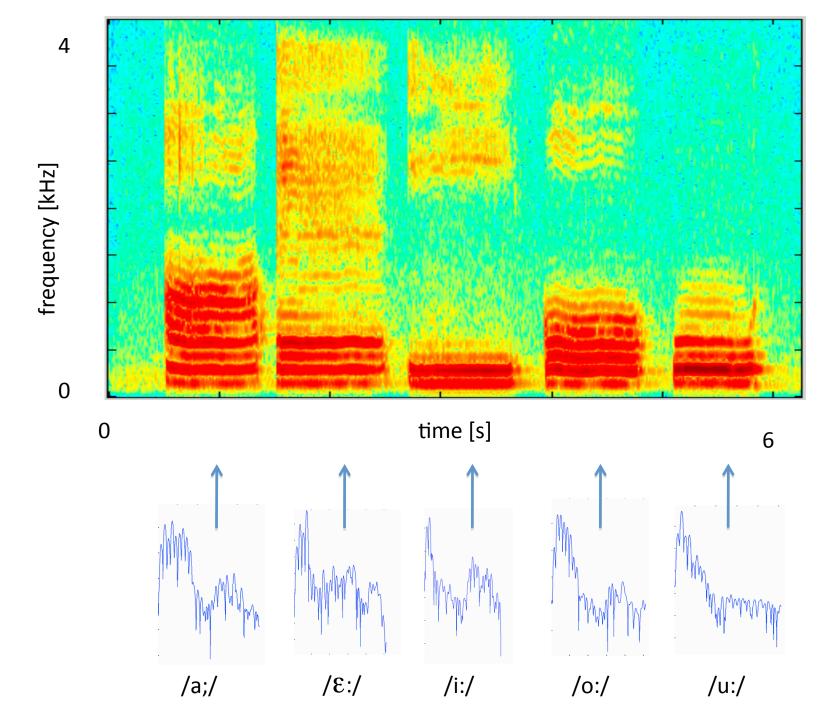
Speech is a quasi-stationary signal



Time  $\rightarrow$ 

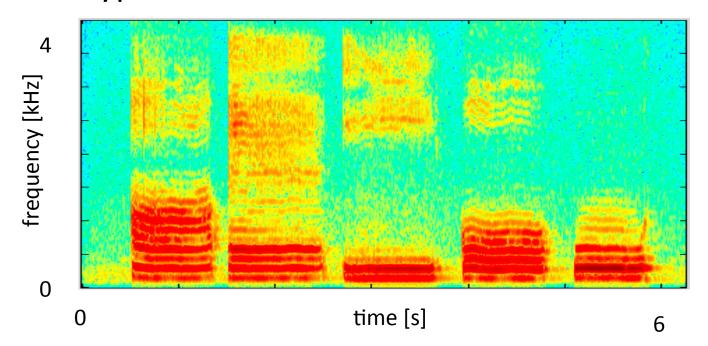
#### Short-time Analysis for Quasi-stationary Signals





### Removing Speaker Characteristics

- All speech recognition front-ends attempt to remove speaker dependent factors (so do speaker recognizers!)
- Typically accomplished using spectral smoothing of various types



#### **Acoustic Front-ends**

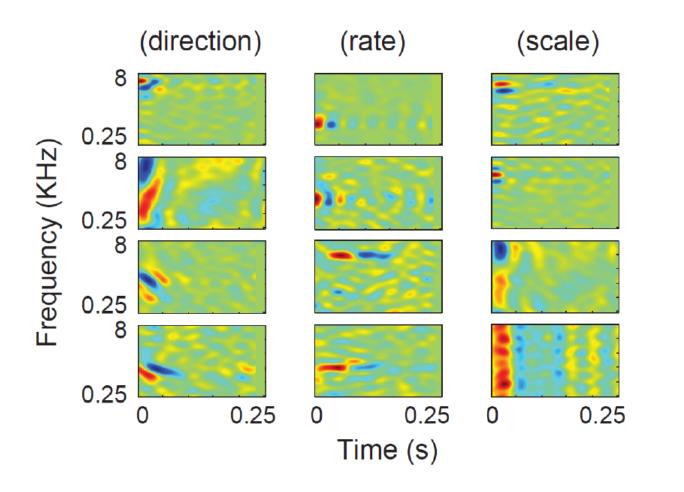
- The standards:
  - Mel Frequency Cepstral Coefficients (MFCCs)
    - Mel Scale and discrete cosine transform
  - Perceptual Linear Prediction (PLPs)
    - Bark Scale and linear prediction (and typically DCT)
- Data driven:
  - Neural Network Posteriorgrams
    - Use phonetically transcribed training data to train ANNs
- Recent trends:
  - Deep belief network pre-training
  - Spectro-temporal receptive fields (2D Gabors)

#### Standard Front-end Tricks

- Velocity and Acceleration features
  - Interested in changes (edges)
  - Instantaneous is noisy, so we average (slope of line fit to several points in trajectory)
- Temporal Context (+ LDA or PCA)
  - Form supervectors from several neighboring observation
  - Learn to reduce dimension with or without labeled data
- Cepstral Mean Subtraction
  - Compensation for convolutional noise (e.g. channel/ microphone variation)
  - $-s'=s*n \rightarrow S'(f)=S(f)N(f) \rightarrow \langle log S'(f)\rangle = \langle log(S(f))\rangle + log(N(f))$

### A Biologically Inspired Alternative

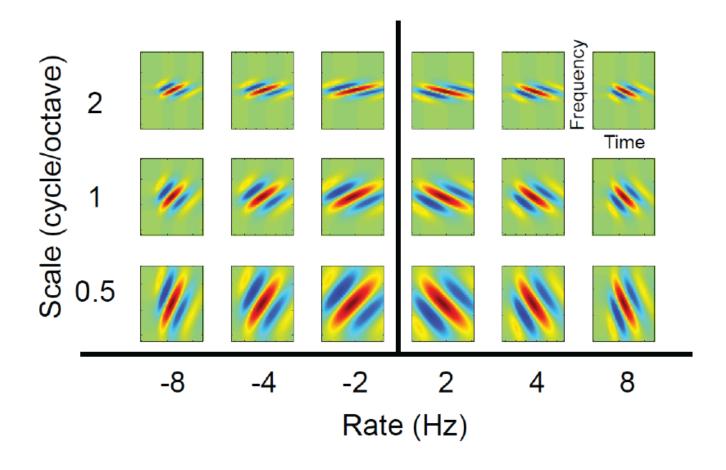
 Auditory neuron STRFs are tuned to a variety of frequencies, scales of spectral modulations, and rates of temporal modulations [Mesgarani, David, Fritz & Shamma, JASA 2008]



### Filters Inspired by STRFs

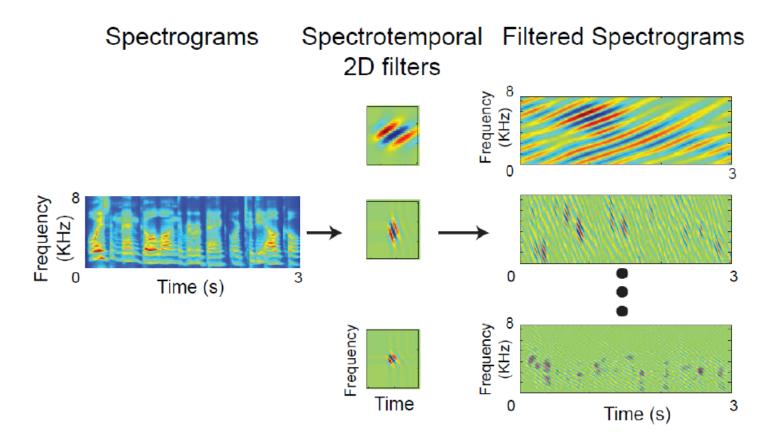
 Model real STRFs with the set of 2-D Gabor filters with the same tuning variations: frequency, rate, and scale

[Mesgarani, Slaney & Shamma, TASLP 2006]

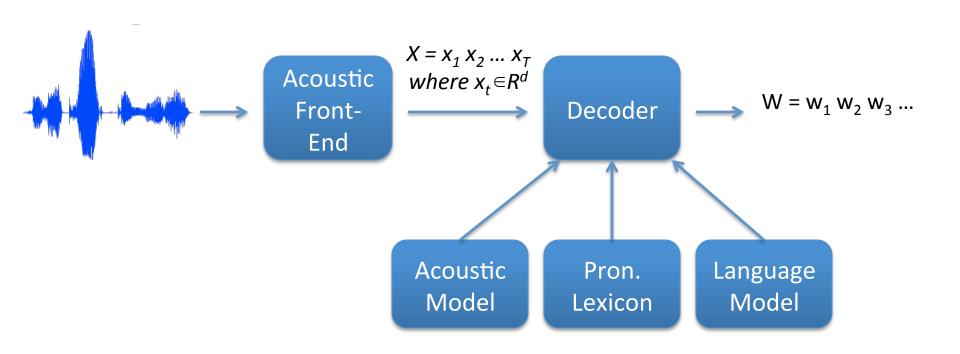


#### Spectro-Temporal Modulation Features

 Convolve set of spectro-temporal modulation filters with auditory spectrogram to produce a 4032-dimensional vector time series



#### Decoder



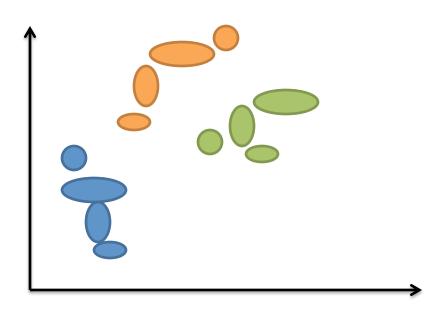
$$\widehat{\mathbf{W}} = \underset{\mathbf{W}}{\operatorname{arg\,max}} P(\mathbf{X}|\mathbf{W};\Theta)P(\mathbf{W};\Theta)$$

#### **Acoustic Model**

- Most acoustic models (AMs) are characterized in terms of phonemes
  - Phonemes are the atomic sounds of a given language
  - E.g. Cat = / k ae t /, Robot = /r ow b aa t/, The = /dh ah/ OR /th iy/
  - Natural classes exist in terms of confusions and production mechanisms
  - About 45 phones in English (depends on how you count)
- Phonetic AMs allow sharing of observations across context, reducing training data dependence
- Phonetic AMs allow generalization to new words (given pronunciation lexicon)

### Modeling Individual Observations

 Each phonetic class is modeled with Gaussian Mixture Model (GMM)



$$p(x_t|q_t=i) = \sum_{j=1}^K \frac{w_{ij}}{|2\pi\sum_{ij}|^{1/2}} e^{-\frac{1}{2}(x-\mu_{ij})^T\sum_{ij}^{-1}(x-\mu_{ij})}$$

### Context Dependent Phonemes

- Increase model complexity with context dependent phones:
  - One class for each phone in a particular phonetic context
  - E.g. triphones: (aa: k, t) OR (t: s, iy)
  - Not all 45<sup>3</sup> possibilities occur, so a fair amount of pruning is done

- Typically: pool of Gaussians shared by GMMs for all context dependent phonetic units (simple means of parameter sharing)
- Decision trees typically used to prune and determine how best to share parameters

#### GMM Training w/ Expectation-Maximization

• **E-step:** Given current GMM parameters θ, compute the posterior probability of each GMM component given the observation:

$$p(j|x_t, q_t = i) = \frac{\frac{w_{ij}}{|2\pi\Sigma_{ij}|^{1/2}} e^{-\frac{1}{2}(x-\mu_{ij})^T \sum_{ij}^{-1}(x-\mu_{ij})}}{p(x_t|q_t = i)}$$

#### GMM Training w/ Expectation-Maximization

• M-step: Compute the new expected maximum likelihood estimates  $\theta$ ' of the GMM means and covariances:

$$\mu_{ij} = \frac{1}{N_{ij}} \sum_{t} p(j|x_t, q_t = i) x_t$$

$$\Sigma_{ij} = \frac{1}{N_{ij}} \sum_{t} p(j|x_t, q_t = i) (x_t - \mu_{ij})^2$$

$$N_{ij} = \sum_{t} p(j|x_t, q_t = i)$$

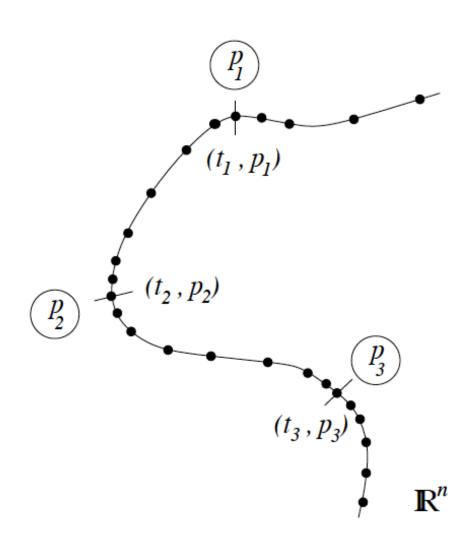
 Iterate E and M step until the total data likelihood converges

#### But We Don't Have Frame Labels!

 We will also need to use E-M algorithm to decide which frames in training data belong to which phonetic class

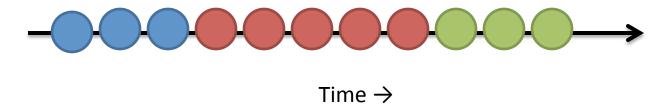
But: We first have some temporal constraints to exploit

# Trajectories are should be smooth

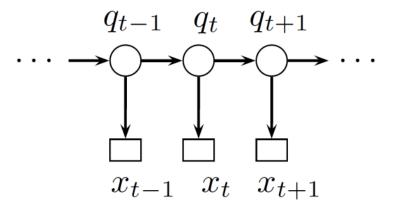


## **Modeling Temporal Dynamics**

Beads on a string model:

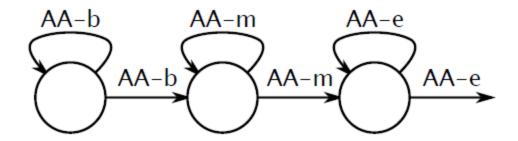


Enter the Hidden Markov Model (HMM):



### Typical Phone HMM Topology

 Three states per context dependent phone unit (states model entry, stable part, and exit of the phoneme)



• In total, three states per context dependent phone, O(48<sup>3</sup>) context dependent units per phoneme (a very large number)

#### **Expectation-Maximization Training (Again)**

- HMM acoustic model trained with forward-backward algorithm (Baum-Welch)
  - Allows us to compute  $P(q_t = i \mid x_t)$  given HMM-GMM parameters
  - A polynomial time dynamic program to an otherwise exponential time problem
  - Made possible by first order Markov property of HMM
- 1. Use Baum-Welch to get responsibility of each frame to each state
- 2. Run E-M training of GMMs given these responsibilities
- 3. Estimate maximum expected log likelihood HMM parameters
- 4. Iterate until total data likelihood converges (under whole HMM-GMM model)

#### Acoustic Modeling, 1990s-present

- Basic prescription hasn't changed since late 80s
- Most advances from fast computers and big data





- 200k+ Gaussians (1 hour of speech!)
- 100k+ words
- Quinphone states
- Speaker/noise model adaptation
- Discriminative model re-training
- 2000+ hours of transcribed speech

#### **Alternatives**

 Still only given labels at the segment level, not frame level

Alternatives typically rely on some sort of EM procedure to get word or frame alignments

 Most Common: Use HMM-GMM recognizer for frame level alignments

E.g. neural networks (tandem and hybrid)

### Language Model

- Necessary for several reasons:
  - Simplify decoding by ruling out impossibilities (e.g. "She can't do it", NOT "she cat do hit")
  - Compensate for bad acoustics / bad acoustic model
  - Disambiguate homonyms: "write a letter to Ms.
     Wright, right now"

### N-gram Language Model

$$P(\mathbf{W}) = p(w_1|~~) \times~~$$

$$p(w_2|w_1~~) \times~~$$

$$p(w_3|w_2w_1~~) \times~~$$

$$\cdots$$

$$p(|w_mw_{m-1}\cdots w_2w_1~~)~~$$

is approximated by

$$P(\mathbf{W}) \approx p(w_1|~~~~) imes p(w_2|w_1~~) imes p(w_2|w_1~~) imes p(w_3|w_2w_1) imes \cdots p(~~|w_mw_{m-1})~~~~~~$$

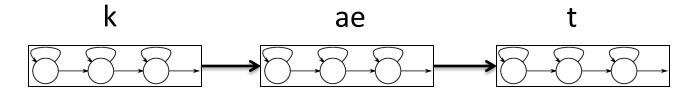
Note: smoothing and back-off required

### N-grams Still Reign

- The simplest answer is still the most common in practice
- More complicated models (synatactic, recurrent neural networks) provide improvements but are intractable
- N-best list/Lattice rescoring are work-arounds
- Discriminative training has also been useful

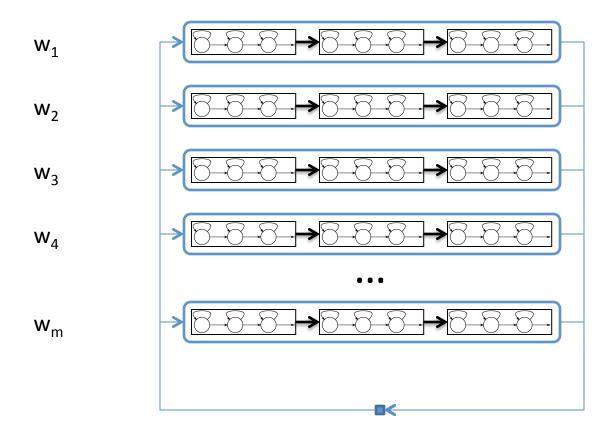
#### **Pronunciation Lexicon**

- The lexicon (dictionary) is the connecting glue between phonetic acoustic model and the language model
- Word models are constructed from dictionary,
   e.g. cat:



# Decoding

Decoding Graph (common: 45M states, 190M arcs)



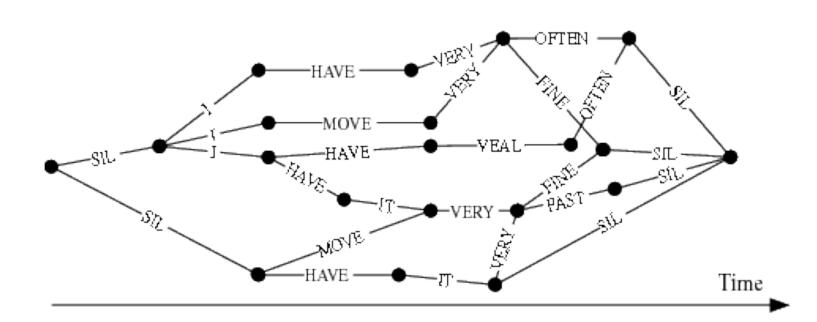
### Viterbi Algorithm

- Find the most likely state sequence  $Q = q_1 \dots q_T$  given the acoustics  $X = x_1 \dots x_T$
- Under 1<sup>st</sup> order Markov property, this simplifies:

$$Q^* = \max_{Q} P(Q \mid X) = \max_{Q} P(X \mid Q) P(Q)$$
$$= \prod_{t=1}^{T} P(x_t \mid q_t) \prod_{t=2}^{T} P(q_t \mid q_{t-1})$$

 Viterbi algorithm is a quadratic time dynamic program that takes advantage of the Markov property

# **Lattice Output**



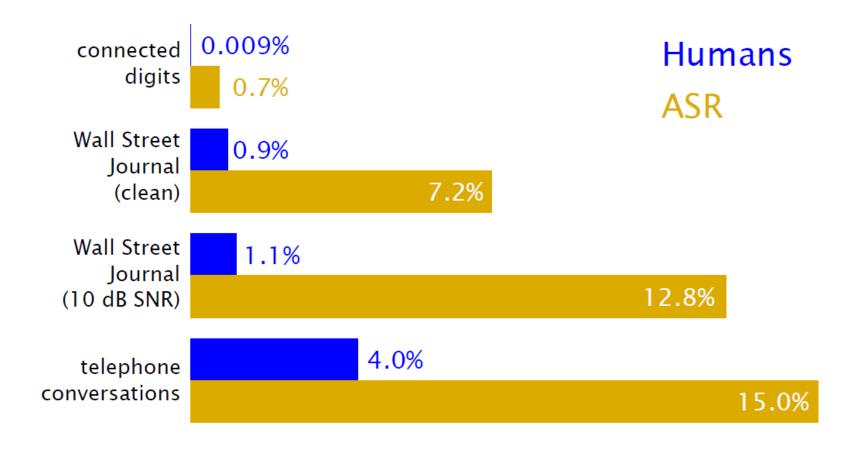
#### **Word Error Rate**

 String edit alignment between reference and hypothesized word strings (as word token sequences)

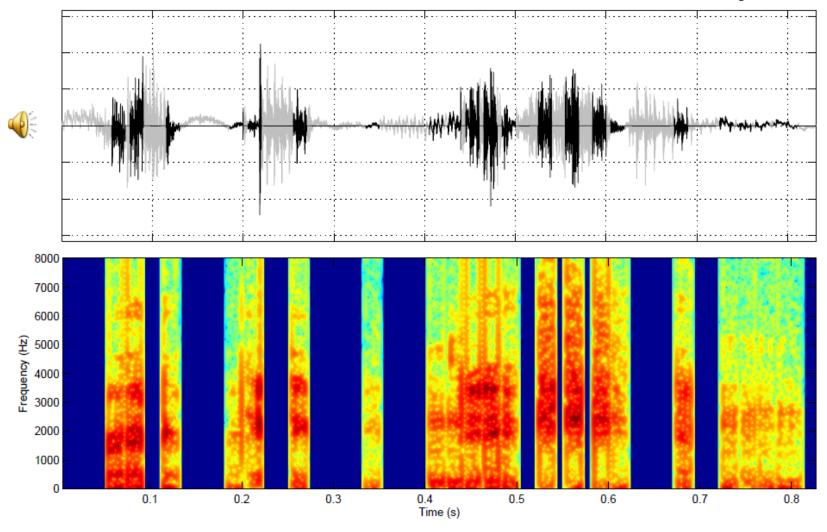
• WER = 
$$100 \times (N_{sub} + N_{ins} + N_{del})/N_{ref}$$

## A Long Way To Go

Humans vs. Machines (as of late 1990s):



# Not All Frames Are Created Equal

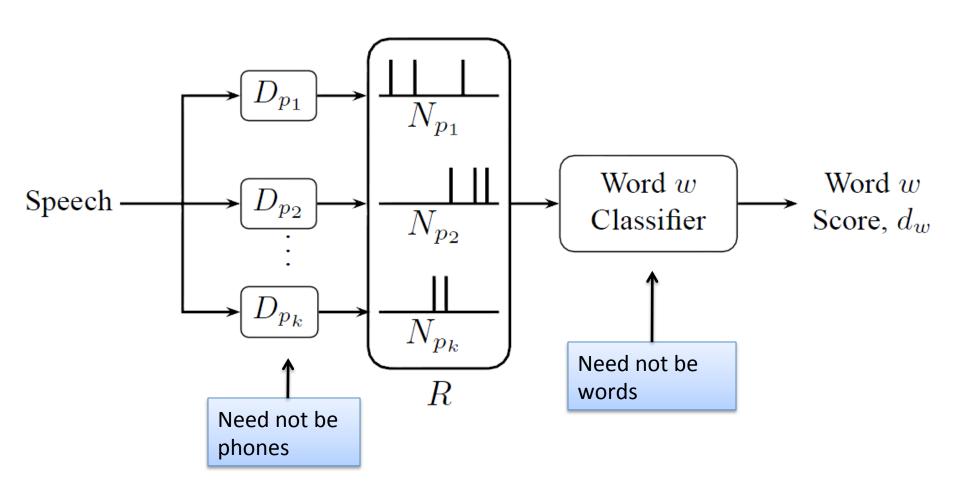


High entropy frames removed to mask 60% of the signal!

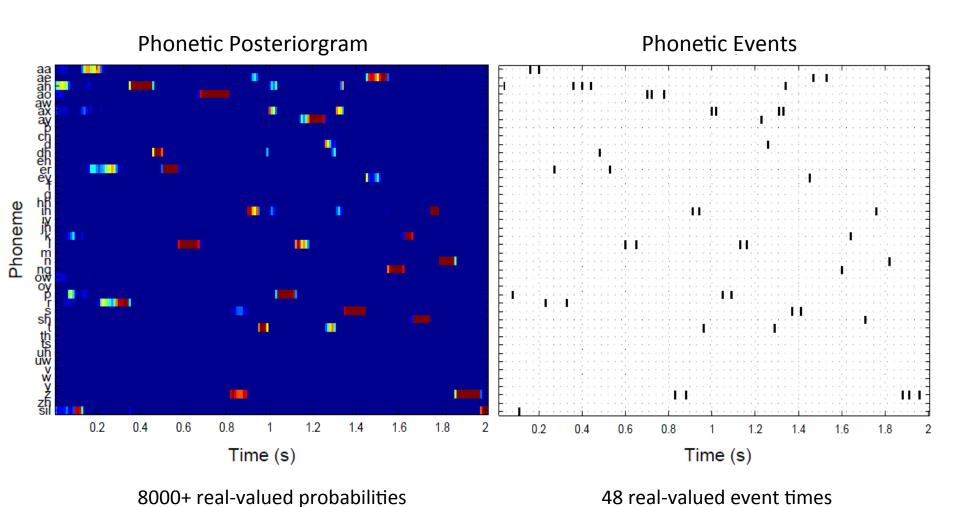
#### **Point Process Models**

- 1. Transform the signal into sparse temporal point patterns of acoustic events
- 2. Explicitly model whole words or common phrases according to the temporal statistics of these patterns

### **PPM Architecture**



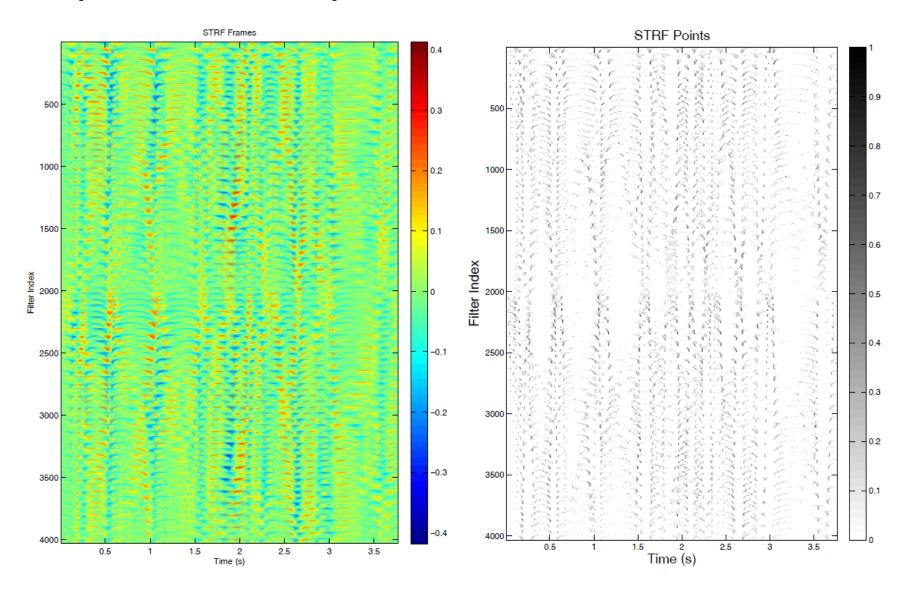
#### **Phonetic Events**



Sparse in time

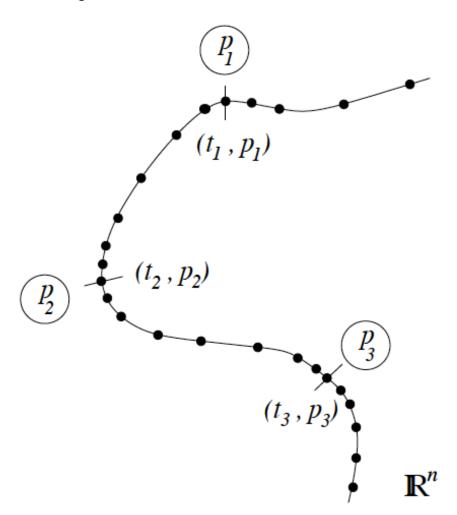
Sparse across phones, not time

## Spectro-Temporal Modulation Events

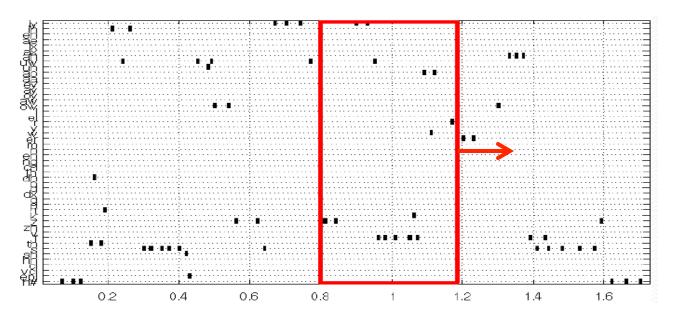


## Geometric Interpretation

- Frames: Model trajectory as series of points in  $\mathbb{R}^n$
- Points: Model trajectory as the times of closest approach to each categorical center, each marked with the category identity



## Sliding Model Word Detectors



- 1 Let  $\theta_w : \mathbb{R} \to \{0,1\}$  be indicator function of word w occurrence
- 2 Let  $R_{t,T} = \{N_{\phi_i}\}$  be the point pattern in the interval [t, t+T]
- Opening LLR detector function

$$d_w(t) = \log \int \frac{P(R_{t,T}|T, \theta_w(t) = 1)}{P(R_{t,T}|T, \theta_w(t) = 0)} P(T|\theta_w(t) = 1) dT.$$

## Word Model, $P(R_{t,T}|T,\theta_w(t)=1)$

#### Inhomogeneous Poisson Process Definition

Memoryless point process with feature  $\phi_i$  arrival probability  $\lambda_{\phi_i}(t)dt$  in differential time element dt at time t

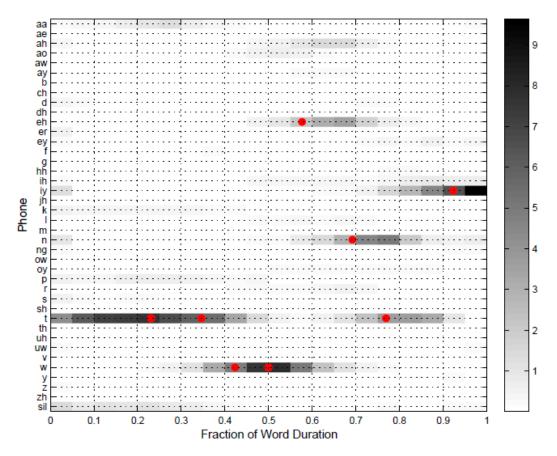
- 1 Normalize all  $t \in R_{t,T}$  to the interval [0,1], yielding  $R' = \{N'_{\phi_i}\}$
- 2 Assume T-independence of R', independent feature detectors, and inhomogeneous Poisson process model for each feature:

$$P(R_{t,T}|T,\theta_w(t)=1) = \frac{1}{T^{|R_{t,T}|}} \prod_i e^{-\int_0^1 \lambda_{\phi_i}(s)ds} \prod_{s \in N'_{\phi_i}} \lambda_{\phi_i}(s),$$

3 Rate functions  $\{\lambda_{\phi_i}(t)\}$  are estimated with parametric model or KDE

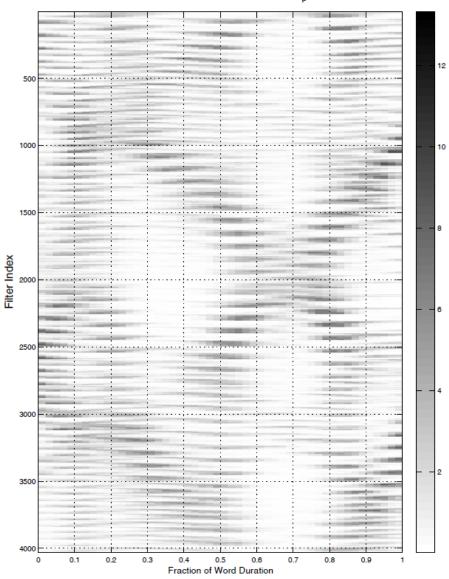
# Example: "twenty" PPM

 Given a word, learn likelihoods of each event type as a function of time in the word



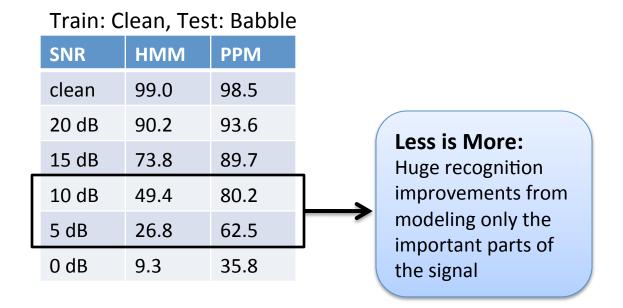
# Example: "greasy" PPM

Poisson Process Rate Parameters,  $\lambda_{p}(t)$ 



### Demonstrated PPM Advantages

Robustness: AURORA2 Robust Digit Recognition Evaluation



 Speed: Run-time linear in number of events, allowing keyword search > 500,000X faster than real time

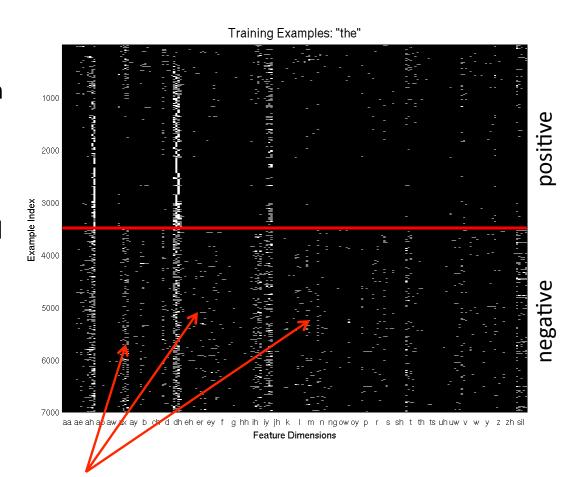
## Machine Learning in Word Space

- Imagine words occupying some abstract space (need not be vectors)
- Define a distance metric between "points" in that space
- Train word models with machine learning methods that require only pair-wise distances

```
the
                      the
   the
          the the
                  the
                         the
        the the
  the
                   then
the
             them
                        then
                   then
          them
             them
                     then
     them
                 them then
         them
                    then
              then
```

### Discriminative PPM

- Compute phone events from phonetic posteriorgrams
- Collect positive/negative point patterns for each word from training lattices
- Rescore lattice arcs with RLS +RBF word classifiers



Random phone events present in negative examples only

#### PPM vs IBM Attila

- Attila: LDA, VTLN, fMMI, fMLLR, MLLR, bMMI, Quinphones
- **PPM:** MLP monophone events, whole-word classifiers

