

# Bounds on Reliable Boolean Function Computation with Noisy Gates

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- R. L. Dobrushin & S. I. Ortyukov, 1977
  - N. Pippenger, 1985
  - P. Gács & A. Gál, 1994
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## Question

Given a network of *noisy* logic gates, what is the *redundancy* required if we want to compute the a Boolean function *reliably*?

- **noisy**: gates produce the wrong output independently with error probability no more than  $\varepsilon$ .
- **reliably**: the value computed by the entire circuit is correct with probability at least  $1 - \delta$
- **redundancy**:

$$\frac{\text{minimum \#gates needed for reliable computation in } \text{noisy} \text{ circuit}}{\text{minimum \#gates needed for reliable computation in } \text{noiseless} \text{ circuit}}$$

- ▶ *noisy/noiseless complexity*
- ▶ may depend on the function of interest
- ▶ upper bound: achievability
- ▶ lower bound: converse

# Part I

## Lower Bounds for the Complexity of Reliable Boolean Circuits with Noisy Gates

# History of development

- [Dobrushin & Ortyukov 1977]
  - ▶ Contains all the key ideas
  - ▶ Proofs for a few lemmas are incorrect
- [Pippenger & Stamoulis & Tsitsiklis 1990]
  - ▶ Pointed out the errors in [DO1977]
  - ▶ Provide proofs for the case of computing the parity function
- [Gács & Gál 1994]
  - ▶ Follow the ideas in [DO1977] and provide correct proofs
  - ▶ Also prove some stronger results

## **In this talk**

We will mainly follow the presentation in [Gács & Gál 1994].

# Problem formulation

## System Model

### Boolean circuit $C$

- a directed acyclic graph
- node  $\sim$  gate
- edge  $\sim$  in/out of a gate

### Basis $\Phi$

- a set of possible gate functions
- e.g.,  $\Phi = \{AND, OR, XOR\}$
- **complete** basis
- for circuit  $C$ :  $\Phi_C$
- maximum fan-in in  $C$ :  $n(\Phi_C)$

### Gate $g$

- a function  $g : \{0, 1\}^{n_g} \rightarrow \{0, 1\}$ 
  - ▶  $n_g$ : fan-in of the gate

### Assumptions

- each gate  $g$  has constant number of fan-ins  $n_g$ .
- $f$  can be represented by compositions of gate functions in  $\Phi_C$ .

# Problem formulation

## Error models $(\varepsilon, p)$

### Gate error

- A gate **fails** if its output value for  $\mathbf{z} \in \{0, 1\}^{n_g}$  is different from  $g(\mathbf{z})$
- gates fail independently with
  - ▶ **fixed** probability  $\varepsilon$ 
    - used for lower bound proof
  - ▶ probability **at most**  $\varepsilon$
- $\varepsilon \in (0, 1/2)$

### Circuit error

- $C(\mathbf{x})$ : random variable for output of circuit  $C$  on input  $\mathbf{x}$ .
- A circuit computes  $f$  with error probability at most  $p$  if

$$\mathbb{P}[C(\mathbf{x}) \neq f(\mathbf{x})] \leq p$$

for any input  $\mathbf{x}$ .

# Problem formulation

## Sensitivity of a Boolean function

Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be a Boolean function with binary input vector  $\mathbf{x} = (x_1, x_2, \dots, x_n)$ .

Let  $\mathbf{x}^l$  be a binary vector that differs from  $\mathbf{x}$  only in the  $l$ -th bit, i.e.,

$$\mathbf{x}_i^l = \begin{cases} x_i & i \neq l \\ \neg x_i & i = l \end{cases}.$$

- $f$  is **sensitive** to the  $l$ th bit on  $\mathbf{x}$  if  $f(\mathbf{x}^l) \neq f(\mathbf{x})$ .
- **Sensitivity** of  $f$  on  $\mathbf{x}$ : #bits in  $\mathbf{x}$  that  $f$  is sensitive to.
  - ▶ “effective” input size
- **Sensitivity** of  $f$ : maximum over all  $\mathbf{x}$ .

# Asymptotic notations

■  $f(n) = O(g(n)):$

$$\limsup_{n \rightarrow \infty} \left| \frac{f(n)}{g(n)} \right| < \infty,$$

■  $f(n) = \Omega(g(n)):$

$$\liminf_{n \rightarrow \infty} \left| \frac{f(n)}{g(n)} \right| \geq 1,$$

■  $f(n) = \Theta(g(n)):$

$$f(n) = O(g(n))$$

and

$$f(n) = \Omega(g(n))$$



## Main results

### Theorem: number of gates for reliable computation

- ▶ Let  $\varepsilon$  and  $p$  be any constants such that  $\varepsilon \in (0, 1/2)$ ,  $p \in (0, 1/2)$ .
- ▶ Let  $f$  be any Boolean function with sensitivity  $s$ .

Under the error model  $(\varepsilon, p)$ , the number of gates of the circuit is  $\Omega(s \log s)$ .

### Corollary: redundancy of noisy computation

For any Boolean function of  $n$  variables and with  $O(n)$  noiseless complexity and  $\Omega(n)$  sensitivity, the redundancy of noisy computation is  $\Omega(\log n)$ .

- ▶ e.g., nonconstant symmetric function of  $n$  variables has redundancy  $\Omega(\log n)$

# Equivalence result for wire failures

## Lemma 3.1 in Dobrushin&Ortyukov

- ▶ Let  $\varepsilon \in (0, 1/2)$  and  $\delta \in [0, \varepsilon/n(\Phi_C)]$ .
- ▶ Let  $\mathbf{y}$  and  $\mathbf{t}$  be the vector that a gate receives when the wire fail and does not fail respectively.

For any gate  $g$  in the circuit  $C$  there exists **unique** values  $\eta_g(\mathbf{y}, \delta)$  such that if

- ▶ the wires of  $C$  fails independently with error probability  $\delta$ , and
  - ▶ the gate  $g$  fails with probability  $\eta_g(\mathbf{y}, \delta)$  when receiving input  $\mathbf{y}$ ,
- then the probability that the output of  $g$  is different from  $g(\mathbf{t})$  is equal to  $\varepsilon$ .

## Insights

- Independent gate failures can be “simulated” by independently wire failures and corresponding gate failures.
- These two failure modes are **equivalent** in the sense that the circuit  $C$  computes  $f$  with the **same** error probability.

## “Noisy-wires” version of the main result

### Theorem

- ▶ Let  $\varepsilon$  and  $p$  be any constants such that  $\varepsilon \in (0, 1/2), p \in (0, 1/2)$ .
- ▶ Let  $f$  be any Boolean function with sensitivity  $s$ .

Let  $C$  be a circuit such that

- ▶ its wires fail independently with fixed probability  $\delta$ , and
- ▶ each gate fails independently with probability  $\eta_g(\mathbf{y}, \delta)$  when receiving  $\mathbf{y}$ .

Suppose  $C$  computes  $f$  with error probability at most  $p$ . Then the number of gates of the circuit is  $\Omega(s \log s)$ .

# Error analysis

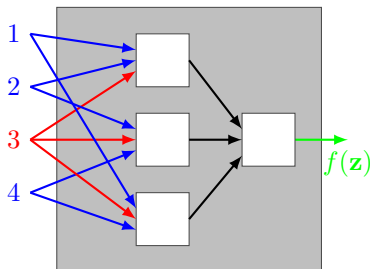
## Function and circuit inputs

### Maximal sensitive set $S$ for $f$

- $s > 0$ : sensitivity of  $f$
- $\mathbf{z}$ : an input vector with  $s$  bits that  $f$  is sensitive to
  - ▶ an input vector where  $f$  has **maximum** sensitivity
- $S$ : the set of sensitive bits in  $\mathbf{z}$ 
  - ▶ key object

### $B_l$ : edges originated from $l$ -th input

- $m_l \triangleq |B_l|$
- e.g.
  - ▶  $l = 3$
  - ▶  $B_l$
  - ▶  $m_l = 3$



# Error analysis

## Wire failures

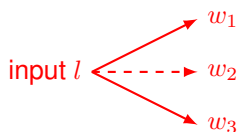
- For  $\beta \subset B_l$ , let  $H(\beta)$  be the event that for wires in  $B_l$ , **only** those in  $\beta$  fail.

- Let

$$\beta_l \triangleq \arg \max_{\beta \subset B_l} \mathbb{P} [C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta)]$$

- ▶ the **best** failing set for input  $\mathbf{z}^l$

- Let  $H_l \triangleq H(B_l \setminus \beta_l)$



- $B_l = \{w_1, w_2, w_3\}$

- $\beta = \{w_2\}$

## Fact 1

$$\mathbb{P} [C(\mathbf{z}) \neq f(\mathbf{z}) \mid H_l] = \mathbb{P} [C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta_l)]$$

- Proof

- ▶  $f$  is sensitive to  $z_l$
- ▶  $\neg z_l \Leftrightarrow$  "flip" all wires in  $B_l$

- $\beta_l$  is the **worst** non-failing set for input  $\mathbf{z}$

# Error analysis

## Error probability given wire failures

### Fact 2

$$\mathbb{P} [C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta_l)] \geq 1 - p$$

#### ■ Proof

- ▶  $\mathbb{P} [C(\mathbf{z}^l) = f(\mathbf{z}^l)] \geq 1 - p$
- ▶  $\beta_l$  maximizes  $\mathbb{P} [C(\mathbf{z}^l) = f(\mathbf{z}^l) \mid H(\beta)]$

### Fact 1 & 2 $\Rightarrow$ Fact 3

For each  $l \in S$ ,

$$\mathbb{P} [C(\mathbf{z}) \neq f(\mathbf{z}) \mid H_l] \geq 1 - p$$

where  $\{H_l, l \in S\}$  are independent events. Furthermore, Lemma 4.3 in [Gács&Gál 1994] shows

$$\mathbb{P} \left[ C(\mathbf{z}) \neq f(\mathbf{z}) \mid \bigcup_{l \in S} H_l \right] \geq (1 - \sqrt{p})^2$$

- The error probability given  $H_l$  or  $\bigcup_{l \in S} H_l$  is relatively large.

# Error analysis

## Bounds on wire failure probabilities

Note

$$\begin{aligned} p &\geq \mathbb{P}[C(\mathbf{z}) \neq f(\mathbf{z})] \\ &\geq \mathbb{P}\left[C(\mathbf{z}) \neq f(\mathbf{z}) \mid \bigcup_{l \in S} H_l\right] \mathbb{P}\left[\bigcup_{l \in S} H_l\right] \end{aligned}$$

Fact 3 implies

**Fact 4**

$$\mathbb{P}\left[\bigcup_{l \in S} H_l\right] \leq \frac{p}{(1 - \sqrt{p})^2}$$

which implies (via Lemma 4.1 in [Gács&Gál 1994]),

**Fact 5**

$$\mathbb{P}\left[\bigcup_{l \in S} H_l\right] \geq \left(1 - \frac{p}{(1 - \sqrt{p})^2}\right) \sum_{l \in S} \mathbb{P}[H_l]$$

# Error analysis

## Bounds on the total number of sensitive wires

### Fact 6

$$\mathbb{P}[H_l] = (1 - \delta)^{|\beta_l|} \delta^{m_l - |\beta_l|} \geq \delta^{m_l}$$

### Fact 4 & 5 $\Rightarrow$

$$\begin{aligned} \frac{p}{1 - 2\sqrt{p}} &\geq \sum_{l \in S} \delta^{m_l} \\ &\geq s \left( \prod_{l \in S} \delta^{m_l} \right)^{1/s} \end{aligned}$$

which leads to

$$\sum_{l \in S} m_l \geq \frac{s}{\log(1/\delta)} \log \left( s \frac{1 - 2\sqrt{p}}{p} \right)$$

- lower bound on the total number of “sensitive wires”



## Lower bound on number of gates

Let  $N_C$  be the total number of gates in  $C$ :

$$\begin{aligned}n(\Phi_C)N_C &\geq \sum_g n_g \\ &\geq \sum_{l \in S} m_l \\ &\geq \frac{s}{\log(1/\delta)} \log \left( s \frac{1 - 2\sqrt{p}}{p} \right)\end{aligned}$$

### Comments:

- The above proof is for  $p \in (0, 1/4)$
- The case  $p \in (1/4, 1/2)$  can be shown similarly.

# Block Sensitivity

Let  $\mathbf{x}^S$  be a binary vector that differs from  $\mathbf{x}$  in the  $S$  subset of indices, i.e.,

$$\mathbf{x}_i^S = \begin{cases} x_i & i \notin S \\ \neg x_i & i \in S \end{cases}.$$

- $f$  is **(block) sensitive** to  $S$  on  $\mathbf{x}$  if  $f(\mathbf{x}^S) \neq f(\mathbf{x})$ .
- **Block sensitivity** of  $f$  on  $\mathbf{x}$ : the largest number  $b$  such that
  - ▶ there exists  $b$  **disjoint** sets  $S_1, S_2, \dots, S_b$
  - ▶ for all  $1 \leq i \leq b$ ,  $f$  is sensitive to  $S_i$  on  $\mathbf{x}$
- **Block sensitivity** of  $f$ : maximum over all  $\mathbf{x}$ .
  - ▶ block sensitivity  $\geq$  sensitivity

## Theorem based on block sensitivity

- ▶ Let  $\varepsilon$  and  $p$  be any constants such that  $\varepsilon \in (0, 1/2), p \in (0, 1/2)$ .
- ▶ Let  $f$  be any Boolean function with block sensitivity  $b$ .

Under the error model  $(\varepsilon, p)$ , the number of gates of the circuit is  $\Omega(b \log b)$ .

# Discussions

## Lower bound for specific functions

Given an explicit function  $f$  of  $n$  variables, is there a lower bound that is stronger than  $\Omega(n \log n)$ ?

Open problem for

- unrestricted circuit  $C$  with complete basis
- function  $f$  that have  $\Omega(n \log n)$  noiseless complexity for circuit  $C$  with some incomplete basis  $\Phi$

# Discussions

## Computation model

### Exponential blowup

A noisy circuit with multiple levels

- The output of gates at level  $l$  goes to a gate at level  $l + 1$
- Level 0 has  $n$  inputs
  - ▶ Level 0 has  $N_0 = n \log n$  output gates
  - ▶ Level 1 has  $N_0$  inputs
  - ▶ Level 1 has  $N_1 = N_0 \log N_0$  output gates, ...

### Why?

“The theorem is generally applicable only to the very first step of such a fault tolerant computation”

- If the input is not the original ones, we can choose them to make the sensitivity of a Boolean function to be 0.
  - ▶  $f(x_1, x_2, x_3, x_4, x_1 \oplus x_2 \oplus x_4, x_1 \oplus x_3 \oplus x_4, x_2 \oplus x_3 \oplus x_4)$
  - ▶ Lower bound does not apply: sensitivity is 0. How about block sensitivity?
- Problem formulation issue on the lower bound for **coded** input
  - ▶ coding is also computation!

# Part II

## Upper Bounds for the Complexity of Reliable Boolean Circuits with Noisy Gates

[Pippenger, “On Networks of Noisy Gates”, 1985]

# Overview

Achievability schemes in reliable computation with a network of noisy gates.

1. System modeling
  - ▶ various types of computations
2. Change of basis and error levels
  - ▶ will skip
3. Functions with logarithmic redundancy
  - ▶ with explicit construction
  - ▶ for specific system parameters only
4. Functions with bounded redundancy
  - ▶ Presents a class of functions with “bounded redundancy”
  - ▶ Construction for reliable computation

# System model: a revisit

## Weak vs. strong computation

### perturbation and approximation

Let  $f, g : \{0, 1\}^k \Rightarrow \{0, 1\}$ ,

- $g$  is a  $\epsilon$ -perturbation of  $f$  if  $\mathbb{P}[g(\mathbf{x}) = f(\mathbf{x})] = 1 - \epsilon$  for any  $\mathbf{x} \in \{0, 1\}^k$
- $g$  is a  $\epsilon$ -approximation of  $f$  if  $\mathbb{P}[g(\mathbf{x}) = f(\mathbf{x})] \geq 1 - \epsilon$  for any  $\mathbf{x} \in \{0, 1\}^k$

### weakly $(\epsilon, \delta)$ -computes

- gates:  $\epsilon$ -perturbation
- output:  $\delta$ -approximation

### strongly $(\epsilon, \delta)$ -computes

- gates:  $\epsilon$ -approximation
- output:  $\delta$ -approximation

### Why bother?

- $\epsilon$ -perturbation may be helpful in randomized algorithms.

# Functions with logarithmic redundancy

## Main theorem

### Theorem 3.1

If a Boolean function is computed by a noiseless network of size  $c$ , then it is also computed by a noisy network of size  $O(c \log c)$ .

### Comments

- Provides **explicit** construction for some  $\varepsilon$  and  $\delta$  values.
  - ▶  $\varepsilon = 1/512$
  - ▶  $\delta = 1/128$



# Functions with logarithmic redundancy

## Construction

### Strategy

Given a noiseless network with 2-input gates, construct a corresponding noisy network with 3-input gates.

### Transformations

noiseless	→	noisy
each wire	→	<b>cable</b> of $m$ wires
gate	→	<b>module</b> of $O(m)$ noisy gates

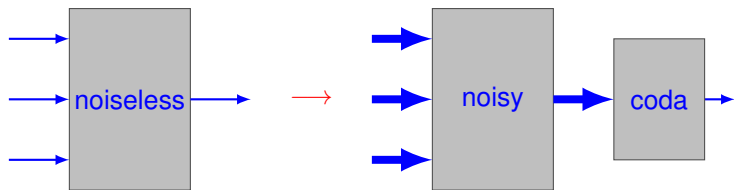
▶ Choose  $m = O(\log c)$

■ a cable is **correct** if at least  $(1 - \theta)m$  component wires are correct

### Additions

- **coda**: computes the majority of  $m$  wires with at most some error probability
  - ▶ Corollary 2.6: **exists** coda with size  $O(c \log c)$

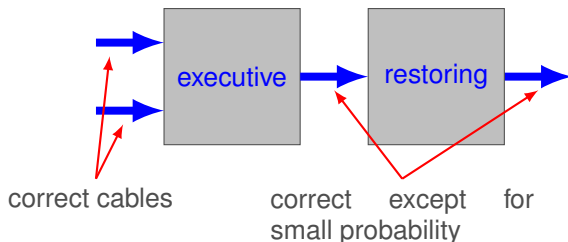
## Overview



### Module requirement

If the input cables are “correct”, then the output cable will be correct except for some small error probability.

# Module construction



## Executive organ

- Construction:  $m$  noisy gates that compute the same function as the corresponding gate in noiseless network

## Restoring organ

- Construction: a  $(m, k, \alpha, \beta)$ -compressor
  - ▶ if at most  $\alpha m$  inputs are incorrect, then at most  $\beta m$  outputs will be incorrect.
- $k = 8^{17}$ ,  $\alpha = 1/64$ ,  $\beta = 1/512$

## Then

Choose system parameters properly, such that the resulting circuit has logarithmic redundancy.

# Functions with bounded redundancy

## Main results

### Functions with bounded redundancy

For  $r \geq 1$ , let  $s = 2^r$ . Let

$$g_r(x_0, \dots, x_{r-1}, y_0, \dots, y_{s-1}) = y_t$$

where  $t = \sum_{i=0}^{r-1} 2^i x_i$  i.e.,  $t$  has binary representation  $x_{r-1} \cdots x_1 x_0$ .

### Theorem 4.1

For every  $r$  and  $s = 2^r$ ,  $g_r$  can be computed by a network of  $O(s)$  noisy gates.

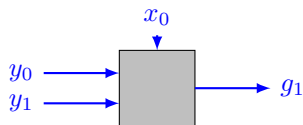
### Comments

- $g_r$ : “indicator function”
- Any noiseless networks that computes  $g_r$  has  $\Omega(2^r)$  gates.
  - ▶ bounded redundancy
- Proof
  - ▶ Construct a network that strongly ( $\varepsilon = 1/192, \delta = 1/24$ )-computes  $g_r$ .

# Construction

$g_1$

$$g_1(x_0, y_0, y_1) = \begin{cases} y_0 & x_0 = 0 \\ y_1 & x_0 = 1 \end{cases}$$



$g_r$

$$g_2(x_0, x_1, y_0, y_1, y_2, y_3) = \begin{cases} y_0 & x_1 x_0 = 00 \\ y_1 & x_1 x_0 = 01 \\ y_2 & x_1 x_0 = 10 \\ y_3 & x_1 x_0 = 11 \end{cases}$$

...

- $g_r$  can be implemented by a binary tree with  $2^r - 1$  elements of  $g_1$ .
  - ▶ level  $r - 2$ : root
  - ▶ level 0: leaves
  - ▶  $y_t$ : corresponds to a path from level 0 to  $r - 2$

## Construction (cont.)

- Each path only contains one gate at each level
- If each gate at level  $k$ ,  $0 \leq k \leq r - 2$  fails with probability  $\Theta((a\varepsilon)^k)$ , then the failure probability for a path is  $\Theta(\varepsilon)$ .

Construction: replace wires by cables, gates by modules

- **cable** at level  $k$ 
  - ▶ input:  $2k - 1$  wires
  - ▶ output:  $2k + 1$  wires
- **module** at level  $k$ 
  - ▶  $2k + 1$  disjoint networks
  - ▶ each compute the  $(2k - 1)$ -argument majority of the input wires
  - ▶ then apply  $g_1$
  - ▶ noiseless complexity:  $O(k) \Rightarrow$  noisy complexity:  $O(k \log k)$ 
    - $O(k^2 \log k)$  noisy gates at level  $k$
  - ▶ error probability for each noisy network:  $2\varepsilon$ 
    - error probability for module:  $4\varepsilon(8\varepsilon)^k = \Theta((8\varepsilon)^k)$
- use **coda** at the root output for majority vote
- total #gate:  $O(s) = O(2^r)$

## Networks with more than one input

A network with outputs  $w_1, w_2, \dots, w_m$  strongly  $(\varepsilon, \delta)$ -computes  $f_1, f_2, \dots, f_m$  if, for every  $1 \leq j \leq m$ , the network obtained by ignoring all but the output  $w_j$  strongly  $(\varepsilon, \delta)$ -computes  $f_j$ .

### Theorem 4.2

For every  $a \geq 1$  and  $b = 2^{2^a}$ , let  $h_{a,0}(z_0, \dots, z_{a-1}), \dots, h_{a,b-1}(z_0, \dots, z_{a-1})$  denote the  $b$  Boolean functions of  $a$  Boolean argument.

Then  $h_{a,0}(z_0, \dots, z_{a-1}), \dots, h_{a,b-1}(z_0, \dots, z_{a-1})$  can be strongly computed by a network of  $O(b)$  noisy gates.

■ Proof: similar to Theorem 4.1

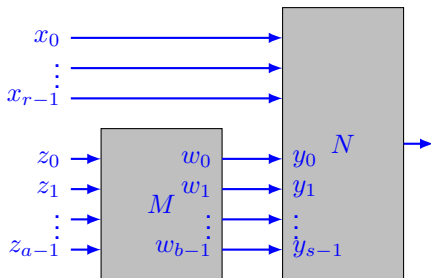
# Boolean function with $n$ Boolean arguments

## Theorem 4.3

Any Boolean function of  $n$  Boolean arguments can be computed by a network of  $O(2^n/n)$  noisy gates.

## Proof

- Let  $a = \lfloor \log_2(n - \log_2 n) \rfloor$ ,  
 $b = 2^{2^a} = 2^n/n$ ,  $r = n - a$  and  
 $s = 2^r = 2^n/n$ .
- Theorem 4.2:  $M$  strongly computes  $h_{a,0}(z_0, \dots, z_{a-1})$ ,  
 $\dots, h_{a,b-1}(z_0, \dots, z_{a-1})$ 
  - $O(b) = O(2^n/n)$  gates
- Theorem 4.1:  $N$  strongly computes  $g_r(x_0, \dots, x_{r-1}, y_0, \dots, y_{s-1})$ 
  - $O(s) = O(2^n/n)$  gates



$M$  and  $N$ : strongly computes any Boolean function with  $n$  Boolean arguments  $x_0, x_1, \dots, x_{r-1}, z_0, z_1, \dots, z_{a-1}$ .



# Bounded redundancy for Boolean functions

## Implication of Theorem 4.3

- [Muller, “Complexity in Electronic Switching Circuits”, 1956]: “Almost all” Boolean functions of  $n$  Boolean arguments are computed only by noiseless networks with  $\Omega(2^n/n)$  gates
- “Almost all” Boolean functions have bounded redundancy.

## Set of Boolean linear functions

- A set of  $m$  Boolean functions  $f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)$  is **linear** if each of the functions is the sum (modulo 2) of some subset of the  $n$  Boolean arguments  $x_1, \dots, x_n$ .
- “Almost all” sets of  $n$  linear functions of  $n$  Boolean arguments have bounded redundancy.
  - ▶ Similar approach
  - ▶ Theorem 4.4

## Further readings. . .

- N. Pippenger, “Reliable computation by formulas in the presence of noise”, 1988
- T. Feder, “Reliable computation by networks in the presence of noise”, 1989