
Estimate the number of states in a scattering process

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5/16/2012

Scattering Matrix

$$\Psi_{\text{in}} = \sum [a_i \psi_i]$$

$$\Psi_{\text{out}} = \sum [b_j \psi_j]$$

$$\Psi_{\text{out}} = \mathbf{S} \Psi_{\text{in}}$$



Random scattering, how could we get the number of states?

How many real eigenvalues are in a n by n matrix?

Real eigenvalue indicates one existing state at equilibrium.

So now, we can convert the previous questions into:

How many real eigenvalues are there?

Math part

- $E_n = \sqrt{\pi} \Gamma((n+1)/2) / \Gamma(n/2)$

-Its asymptotic series:

$$E_n = \sqrt{\pi n/2} \left(1 - \frac{1}{4n} + \frac{1}{32n^2} \dots\right)$$

-From here, we know that, when n is large, the expectation value of real eigenvalues decays at a similar rate as \sqrt{n} .

-Also, for even n : $E_n = \sqrt{2} \sum_{k=0}^{n/2-1} \frac{(4k-1)!!}{(4k)!!}$

for odd n : $E_n = 1 + \sqrt{2} \sum_{k=1}^{(n-1)/2} \frac{(4k-3)!!}{(4k-2)!!}$

$k = [1, (n-1)/2]$

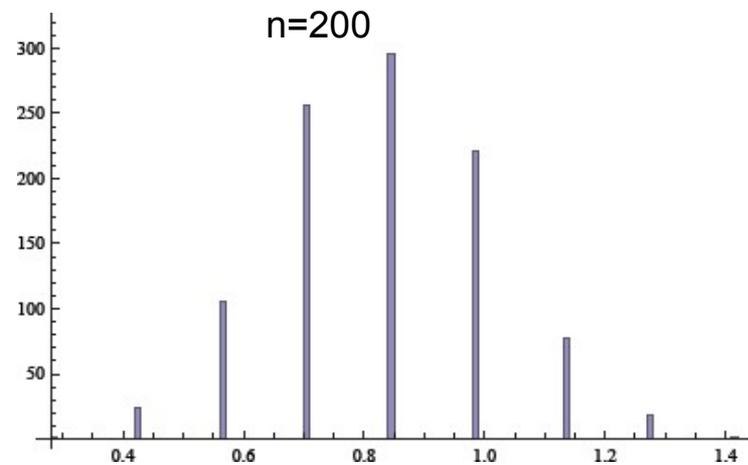
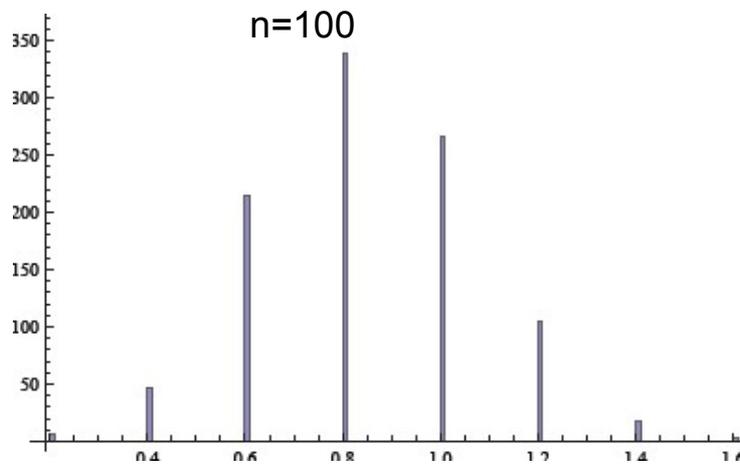
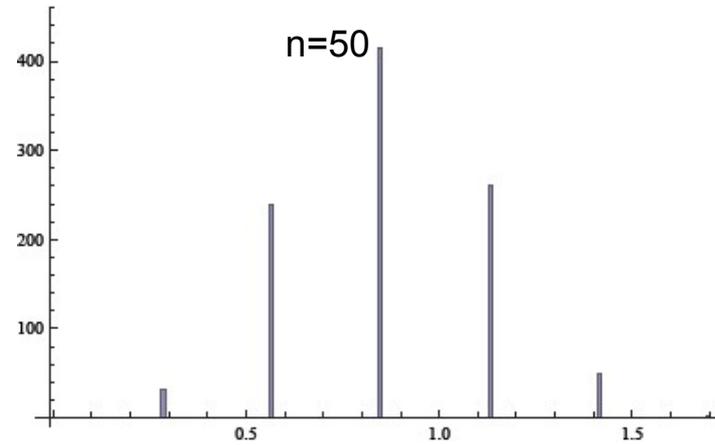
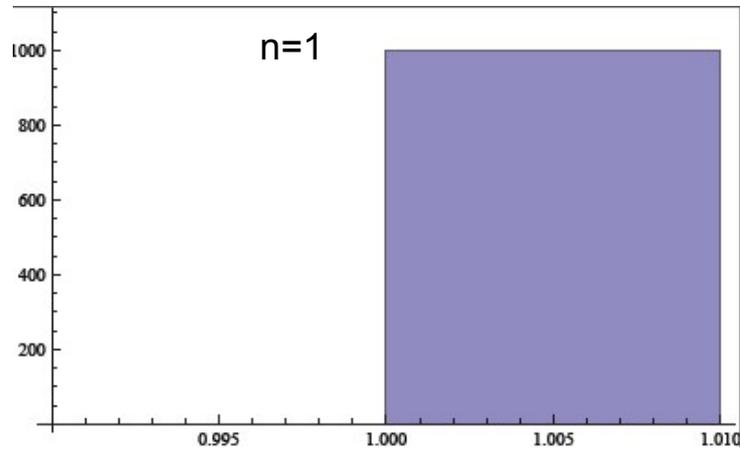
Distribution of the number of real Eigenvalues, k

-Monte Carlo

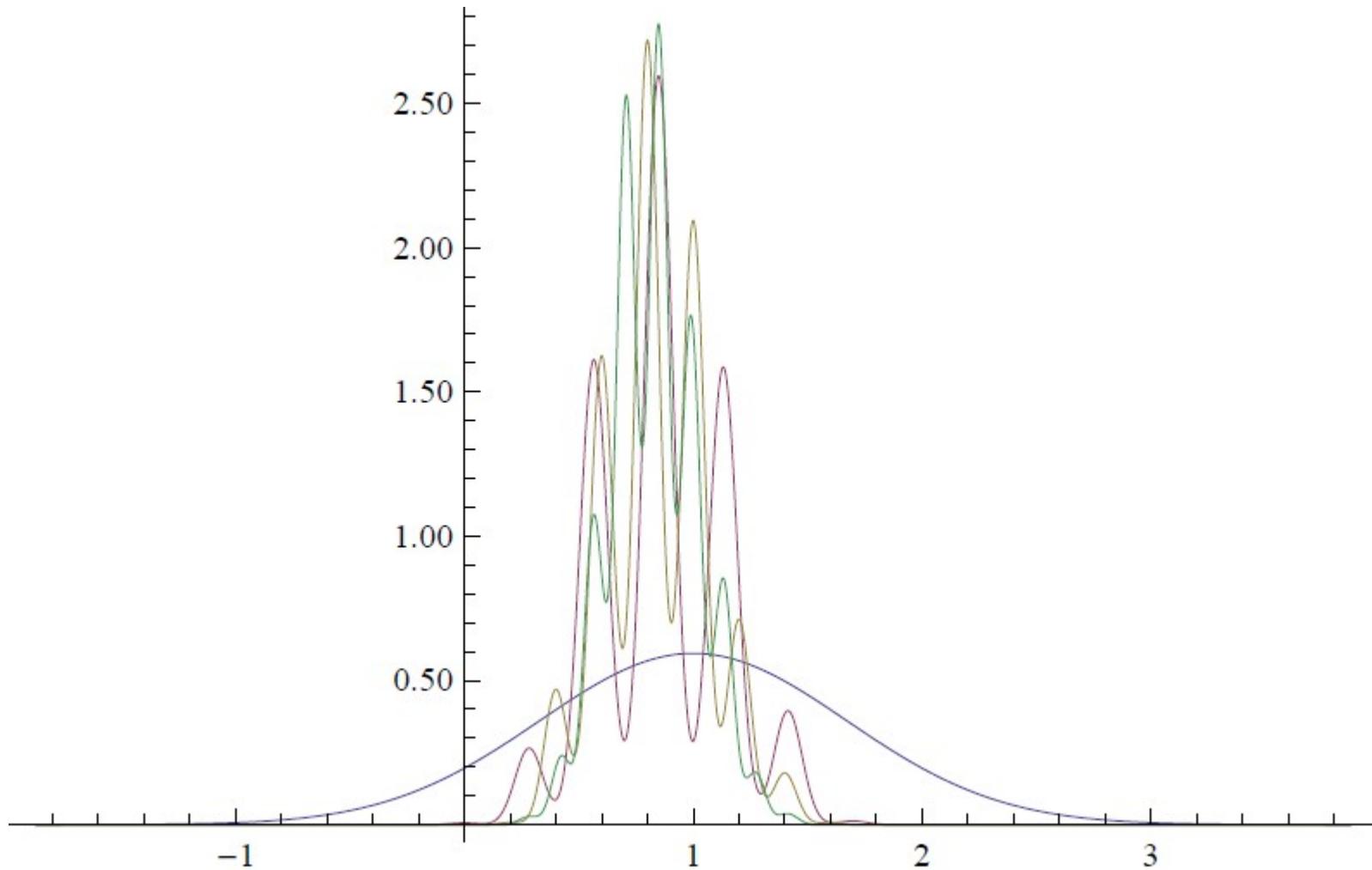
-1000 trials

- k : array of the number of real eigenvalues for 1000 n by n matrices.

k/\sqrt{n} , 1000 trials

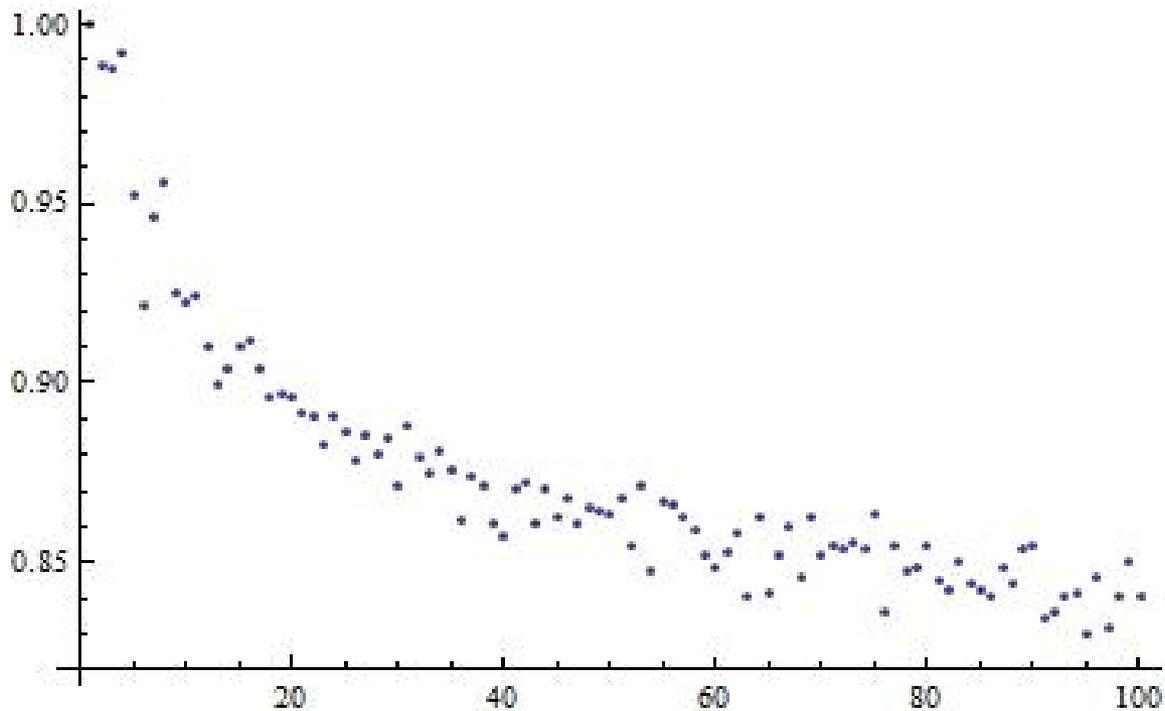


Smoothout

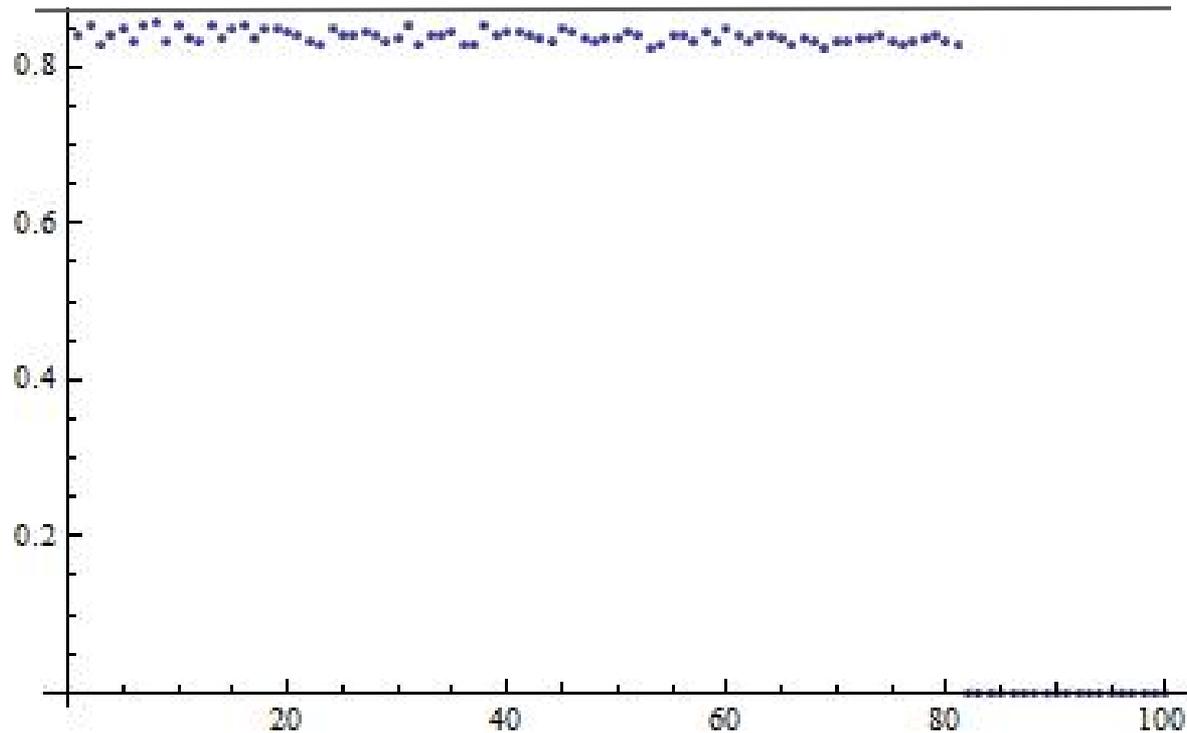


Mean, 1000 trials

$\text{Mean}[k/\text{sqrt}[n]] = \text{Mean}[k]/\text{sqrt}[n]$, $n = [1, 100]$



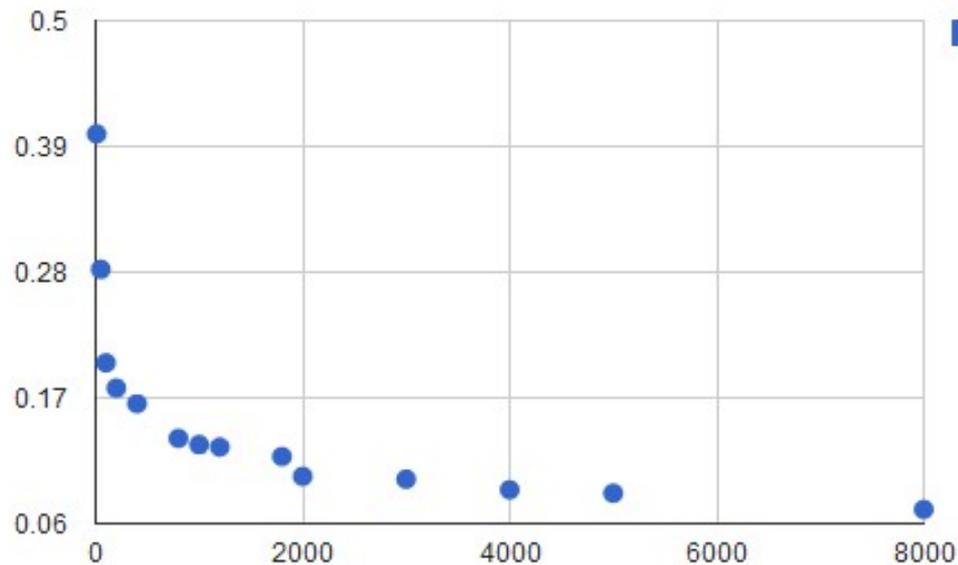
$n=[100,200]$, 1000 trials



About 80 points are plot.

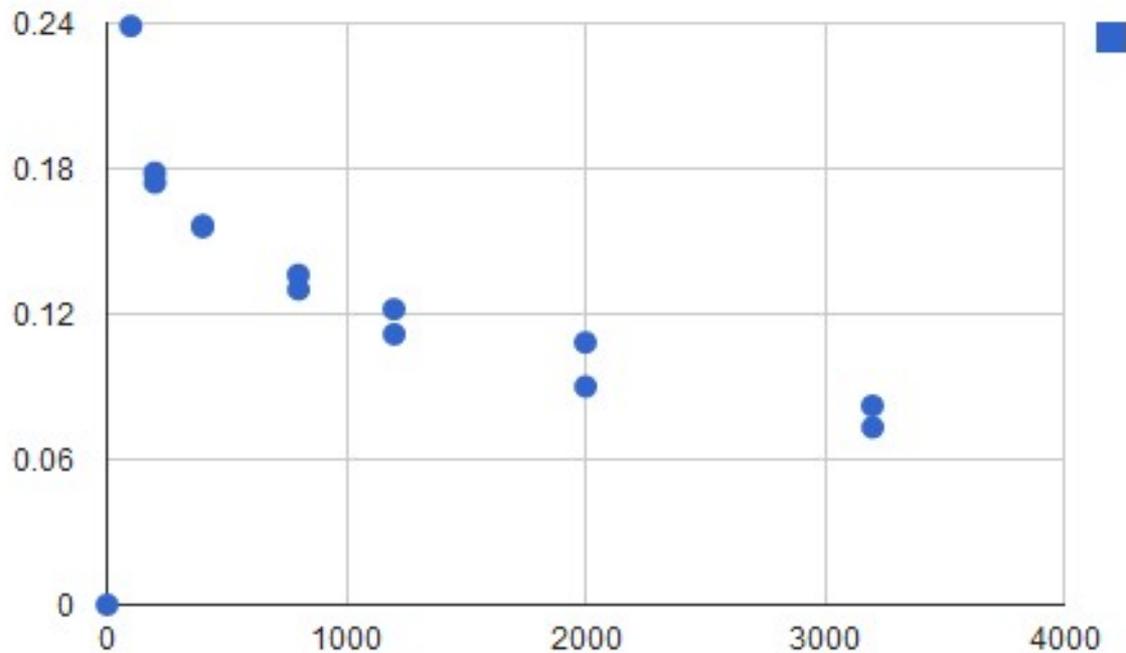
Standard Deviation

$\text{STD}[k/\sqrt{n}]$ approaches to 0 as n goes to infinity.



100 trials with n by n matrix

500 trials



2 lines:
upper one for even
number;
lower one for odd
number

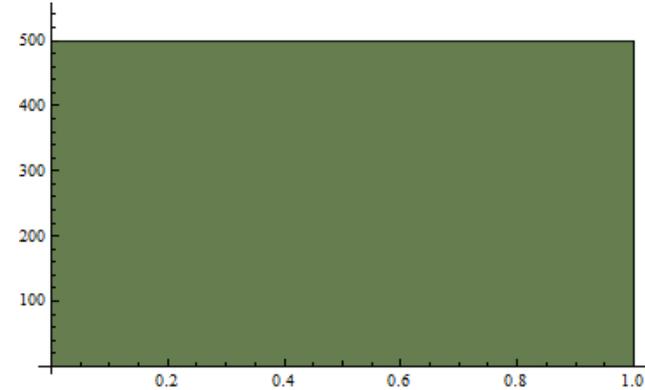
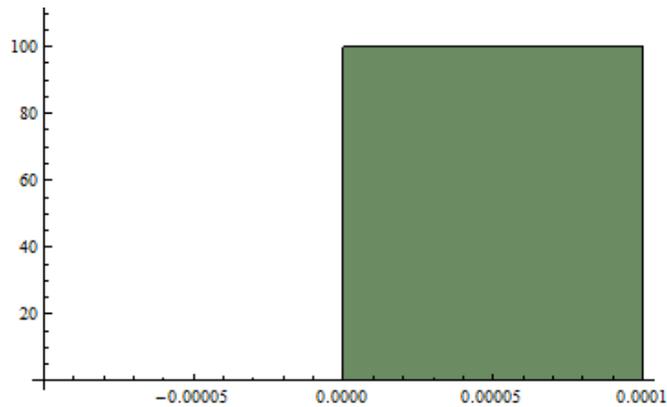
Unitary Matrix

Is there any characteristics for random unitary Matrix?

U is designed as:

$U = \text{MatrixExp}[i * (R + \text{Transpose}(R))]$,
where R is a random matrix.

Eigenvalues



Full zeros.

Orthogonal Matrix

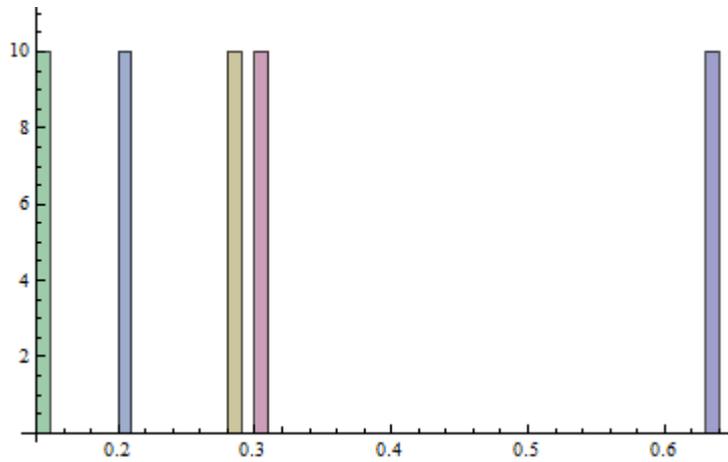
For a unitary matrix with all real elements, orthogonal Matrix is designed as:

$O = \text{MatQ} = \text{QRDecompositon}[M]$.

Number of real eigenvalues is 2, which are 1 and -1. [n is even.]

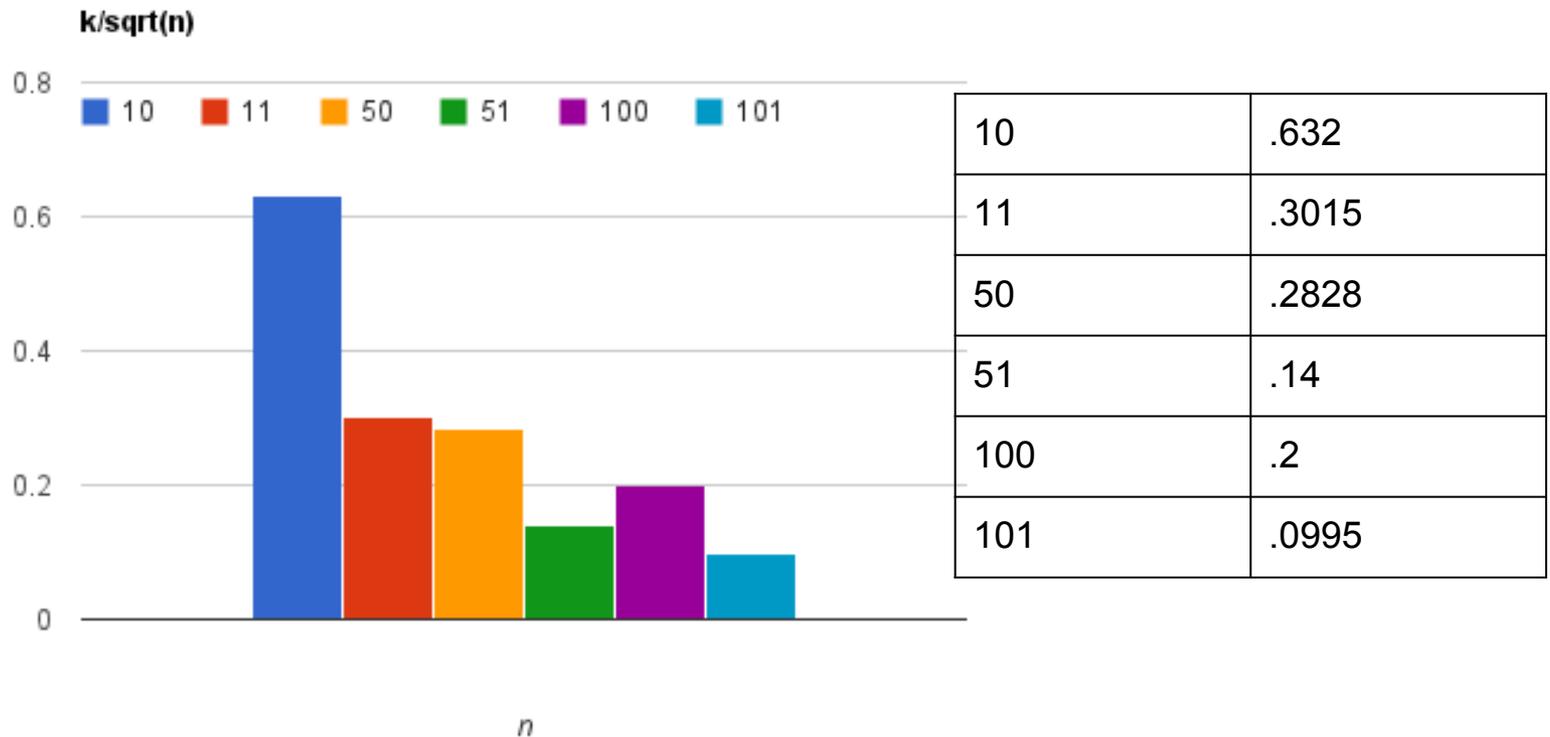
Number of real eigenvalues is 1, which is either 1 or -1. [n is odd.]

10 trials



10	.632
11	.3015
50	.2828
51	.14
100	.2
101	.0995

1000 trials



And STD are all zeros.

RMT for scattering matrix

In the circular ensemble,

for $\beta=1$, S is COE

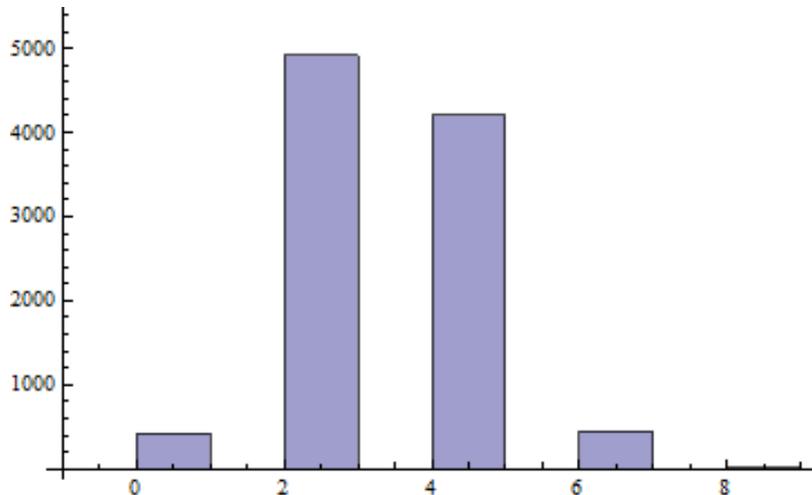
for $\beta=2$, S is CUE

for $\beta=4$, we do not care that much.

For other cases, where S is completely a random matrix, we could apply the previous results that as n becomes large enough, E_n is about 0.8 with a standard deviation of 0. Hence, we can estimate the possible number states available before and after the scattering.

Extra slide 1

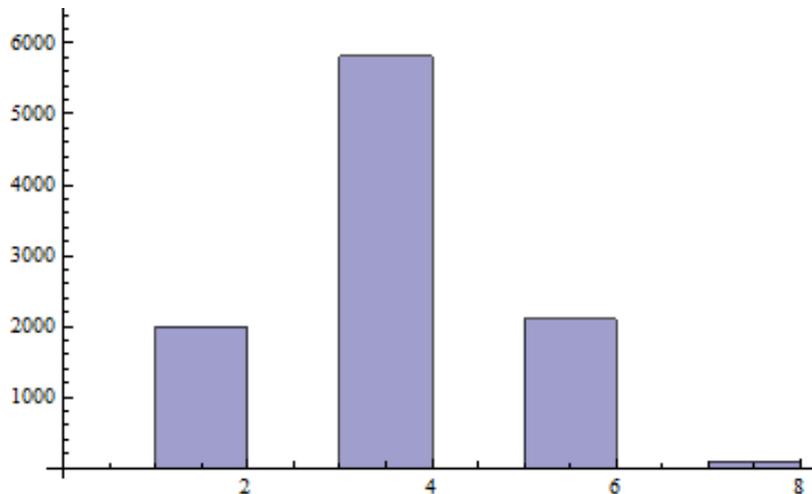
Extended table:
n=10



k	$P_{10}(k)$	
10	$1/(4193304*\sqrt{2})$	$1.68*10^{-7}$
8	$(236539-320\sqrt{2})/536870912\sqrt{2}$ (2)	$3.1*10^{-4}$
6	/	0.0444***
4	/	0.421***
2	$(1216831949-594932556\sqrt{2})$ $/536870912*\sqrt{2}$	0.49
0	$-1146637039+834100651\sqrt{2}$ $/526870912\sqrt{2}$	0.043

Extra slide 2

Extended table:
n=11



k	$P_{11}(k)$	
11	$1/(134217728*\sqrt{2})$	$5.27*10^{-9}$
9	$(-320+333123\sqrt{2})/8589934592\sqrt{2}$	$3.87*10^{-5}$
7	/	$8.9*10^{-3}***$
5	/	$0.2102***$
3	/	$0.5818***$
1	$-12606311702+106298452511\sqrt{2})/8589934592\sqrt{2}$	0.1997

Reference

Edelman A, Kostlan E, *How many Eigenvalues of a Random Matrix are Real*, July 3, 1993

C. W. J. Beenakker, *Random-matrix theory of thermal conduction in superconducting quantum dots* *Random-matrix theory of thermal conduction in superconducting quantum dots*. Apr 2010

Michael V. Moskalets, *Scattering matrix approach to non-stationary quantum transport*
