

# Group representations and quantum information theory

Aram Harrow (Bristol)  
NII, Tokyo  
5 Feb, 2007

# outline

- Types in classical information theory
- A quantum analogue: Schur duality
- Joint types
- Applications

# The method of types

Given a string  $\mathbf{x}^n = (x_1, \dots, x_n) \in [d]^n$   $[d] := \{1, \dots, d\}$

define the **type** of  $\mathbf{x}^n$  to be the letter frequency distribution:

$$\mathbf{t} = T(\mathbf{x}^n) = (t_1, \dots, t_d)$$

where  $t_c := |\{j : x_j = c\}|$ .

For a type  $\mathbf{t}$ , the **type class** of  $\mathbf{t}$  is the set of strings with type  $\mathbf{t}$ :

$$\mathcal{T}_{\mathbf{t}} = \{\mathbf{x}^n : T(\mathbf{x}^n) = \mathbf{t}\}$$

**Example:**

$$T(\text{babcbaba}) = (2, 1, 3)$$

$$\mathcal{T}_{(2,3,1)} = \{\text{aabbbc}, \text{ababbc}, \text{abcaab}, \text{cbbaaa}, \dots\}$$

Total of  $\frac{6!}{2!1!3!}$  strings



# Properties of types

1. Size of type classes is given by entropic expression

$$|\mathcal{T}_t| = \binom{n}{t} = \frac{n!}{t_1! \dots t_d!}$$

$$\bar{t} := t/n$$

$$(n+1)^{-d} \exp(nH(\bar{t})) \leq |\mathcal{T}_t| \leq \exp(nH(\bar{t}))$$

2. Number of types is polynomial

$$\binom{n+d-1}{d-1} \leq (n+1)^d \sim \text{poly}(n)$$

$$D(\bar{t}||p) := \sum_{i=1}^d \bar{t}_i \log \frac{\bar{t}_i}{p_i}$$

3. i.i.d. sources

$$p^{\otimes n}(x^n) := p(x_1) \dots p(x_n) = p(1)^{t_1} \dots p(d)^{t_d}$$

$$= \exp \left( \sum_{i=1}^d t_i \log p_i \right) = \exp \left( -n [H(\bar{t}) + D(\bar{t}||p)] \right)$$

# types and i.i.d. sources

Further note that:

1.  $p^{\otimes n}(x^n)$  depends only on the type of  $x^n$   
(i.e. conditional on the type,  $x^n$  is uniformly distributed)
2. The observed type  $t$  is closely concentrated near  $p$ .

$$p^{\otimes n}(\mathcal{T}_t) := \sum_{x^n \in \mathcal{T}_t} p^{\otimes n}(x^n) \leq \exp(-nD(\bar{t}||p))$$

Application: randomness concentration

Given  $x^n$  distributed according to  $p^{\otimes n}$ ,

we would like to extract a uniformly distributed random variable.

Algorithm:

Condition on the type of  $x^n$ .

This yields  $\approx nH(p)$  random bits w.h.p.

# Applications of types

## Data compression:

Given a string  $\mathbf{x}^n$  drawn from an i.i.d. source  $\mathbf{p}^{\otimes n}$ ,  
represent it using  $\approx nH(\mathbf{p})$  bits.

## Algorithm:

1. Transmit the type  $\mathbf{t}$  using  $O(\log n)$  bits.
2. Transmit the index of the string within  $\mathcal{T}_{\mathbf{t}}$  using  
 $\log |\mathcal{T}_{\mathbf{t}}| \leq nH(\bar{\mathbf{t}}) \approx nH(\mathbf{p})$  bits.

# Alternate perspective on types

Divide a type  $\mathbf{t}$  (e.g.  $\mathbf{t} = (2,5,2,3)$ ) into  
a list of frequencies  $\lambda$  (e.g.  $\lambda = (5,3,2,2)$ )  
and a list of corresponding letters  $\mathbf{q}$  (e.g.  $\mathbf{q} = (\mathbf{b}, \mathbf{d}, \mathbf{a}, \mathbf{c})$ ).

Note that:

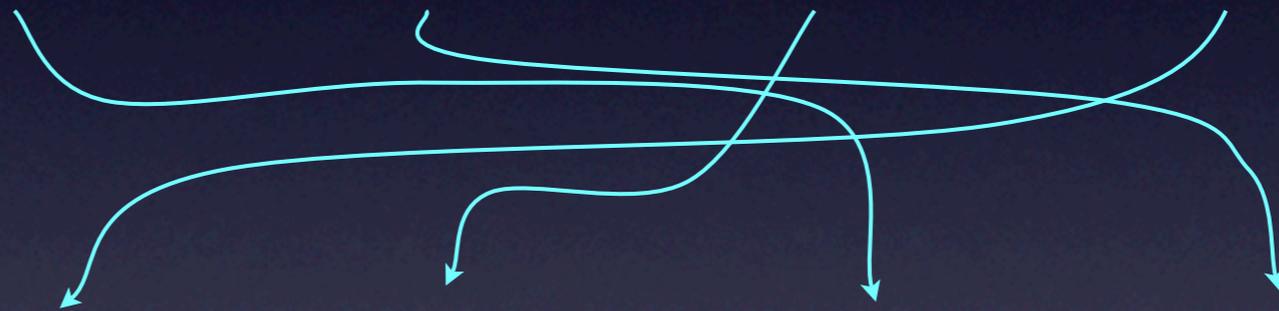
1. Each  $x^n$  can be uniquely written as  $(\lambda, \mathbf{q}, \mathbf{p})$ , where  $1 \leq p \leq \binom{n}{\lambda}$
2. The range of  $\lambda$  and  $\mathbf{q}$  is  $\leq \text{poly}(n)$ .
3.  $S_d$  acts on  $\mathbf{q}$ , while  $S_n$  acts on  $\mathbf{p}$ . Both leave  $\lambda$  unchanged.

# symmetries of $(\mathbb{C}^d)^{\otimes n}$

$$U \in \mathcal{U}_d \rightarrow U \otimes U \otimes U \otimes U$$

$$(\mathbb{C}^d)^{\otimes 4} = \mathbb{C}^d \otimes \mathbb{C}^d \otimes \mathbb{C}^d \otimes \mathbb{C}^d$$

$$(1324) \in \mathcal{S}_4 \rightarrow$$



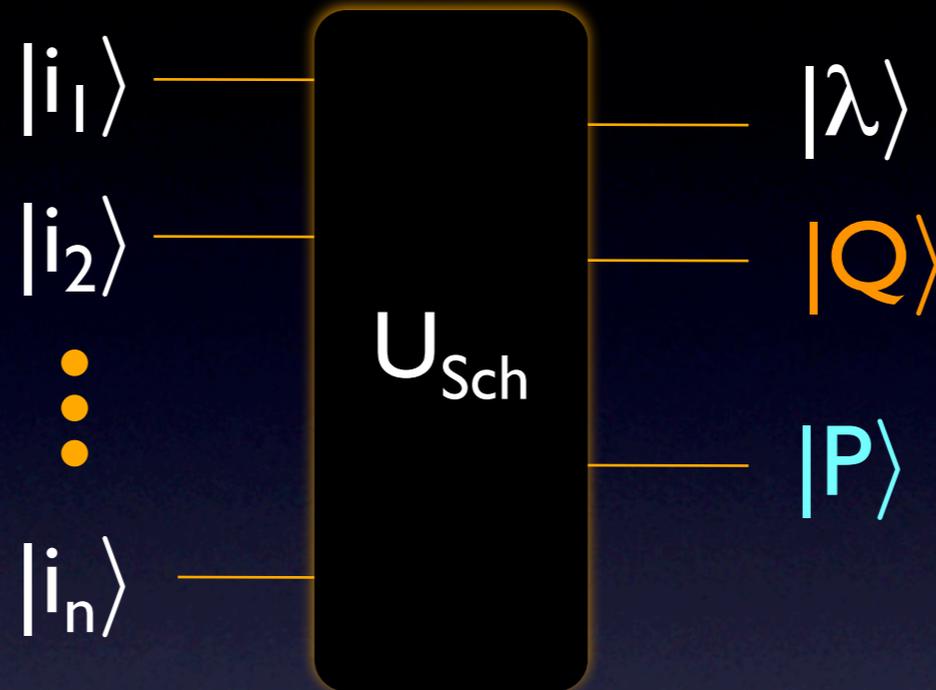
Schur duality

$$(\mathbb{C}^d)^{\otimes n} \cong \bigoplus_{\lambda \in \text{Par}(n, d)} Q_{\lambda}^d \otimes \mathcal{P}_{\lambda}$$

# The Schur Transform

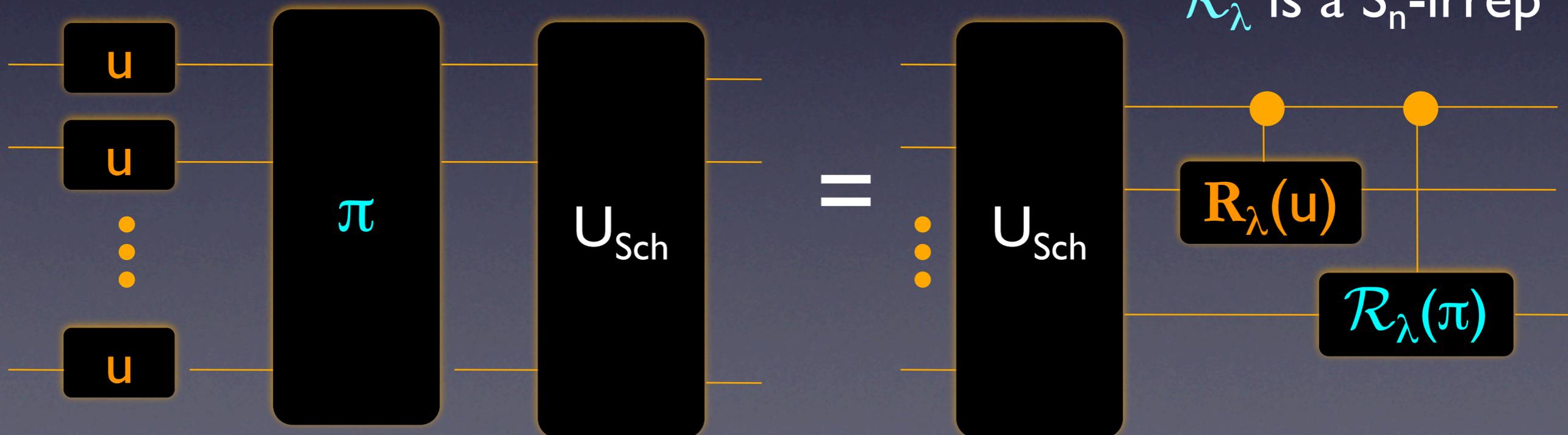
$$u \in \mathcal{U}_d$$

$$\pi \in \mathcal{S}_n$$



$\mathcal{R}_\lambda$  is a  $\mathcal{U}_d$ -irrep

$\mathcal{R}_\lambda$  is a  $\mathcal{S}_n$ -irrep



# Properties of the Schur basis

1.  $|\text{Par}(n,d)| \leq (n+1)^d \sim \text{poly}(n)$

2.  $\dim \mathcal{Q}_\lambda^d \leq (n+1)^{d^2}$

3.  $\frac{1}{\text{poly}(n)} \exp(nH(\bar{\lambda})) \leq \dim \mathcal{P}_\lambda \leq \exp(nH(\bar{\lambda}))$

4. i.i.d. sources:

(a) The  $\mathbf{P}_\lambda$  register of  $\rho^{\otimes n}$  is maximally mixed.

(b)  $\text{tr} \Pi_\lambda \rho^{\otimes n} \leq \exp(-nD(\bar{\lambda} \parallel \text{spec } \rho))$

## Summary:

Most of the dimensions are in the  $\mathbf{P}_\lambda$  register.

There the spectrum is flat for i.i.d. sources and the dimension is controlled by  $\lambda$ , which is tightly concentrated.

# Schur duality applications

## Entanglement concentration:

Given  $|\psi_{AB}\rangle^{\otimes n}$ , Alice and Bob both perform the Schur transform, measure  $\lambda$ , discard  $\mathcal{Q}_\lambda$  and are left with a maximally entangled state in  $\mathcal{P}_\lambda$  (by Schur's Lemma) equivalent to  $\log \dim \mathcal{P}_\lambda \approx nS(\psi^A)$  EPR pairs.

Hayashi and Matsumoto, Universal entanglement concentration. quant-ph/0509140

## Universal data compression:

Given  $\rho^{\otimes n}$ , perform the Schur transform, weakly measure  $\lambda$ , and we obtain  $\mathcal{P}_\lambda$  with dimension  $\approx \exp(nS(\rho))$ . ( $\lambda$  and  $\mathcal{Q}_\lambda$  can be sent uncompressed.)

Hayashi and Matsumoto, quant-ph/0209124 and references therein.

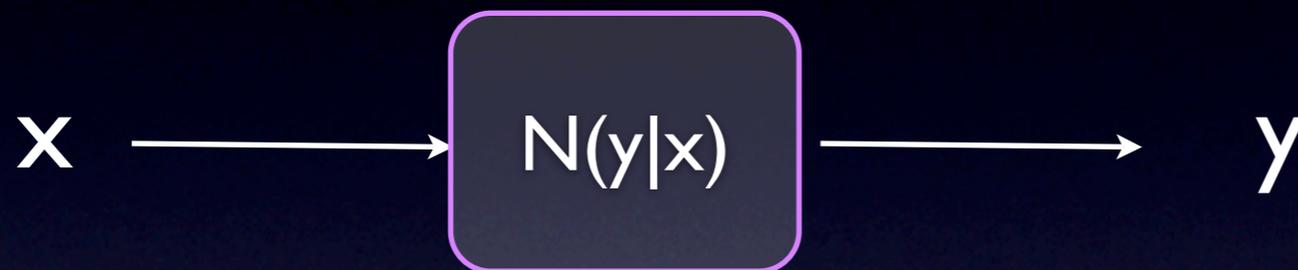
## State estimation:

Given  $\rho^{\otimes n}$ , estimate the spectrum of  $\rho$ , or estimate  $\rho$ , or test to see whether the state is  $\sigma^{\otimes n}$ .  $\lambda$  is related to the spectrum,  $\mathcal{Q}_\lambda$  to the eigenbasis, and  $\mathcal{P}_\lambda$  is maximally mixed.

Keyl, quant-ph/0412053 and references therein.

# Joint types

Classical noisy channel:



$t_x = T(x^n)$  is the type of the input

$t_y = T(y^n)$  is the type of the output

$t_{xy} = T(x_1 y_1, \dots, x_n y_n)$  is the **joint type**

Properties of joint types:

1. For each  $N, n, \epsilon > 0$ , there is a set of **feasible joint types**, which can occur with probability  $\geq \epsilon$  on some inputs. These correspond roughly to the feasible pairs  $(p, N(p))$ .

2.  $N^{\otimes n}(y^n | x^n)$  depends only on  $t_{xy}$ .

# Classical Reverse Shannon Theorem

## Goal:

Simulate  $n$  uses of a noisy channel  $N$  using shared randomness and an optimal ( $\approx n \max_{p(x)} I(X;Y)$ ) rate of communication.

## Approach:

1. On input  $x_n$ , Alice first simulates  $N^{\otimes n}$  to obtain a joint type  $t_{xy}$ .
2. She sends  $t_{xy}$  to Bob using  $O(\log n)$  bits.
3. Conditioned on  $t_{xy}$ , the action of  $N^{\otimes n}$  is easy to describe and to simulate, using the appropriate amount of communication.

# quantum channels

Church of the Larger Hilbert space:

Purify the input and output of a channel to obtain a tripartite pure state.



Two definitions of **feasible joint types**:

1.  $(\text{Spec } \psi^A, \text{Spec } \psi^B, \text{Spec } \psi^E)$  if  $|\psi\rangle^{ABE}$  is the output of **one** use of  $\mathcal{N}$ .
2.  $(\lambda_A, \lambda_B, \lambda_E)$  such that  $\langle \psi | \Pi_{\lambda_A} \otimes \Pi_{\lambda_B} \otimes \Pi_{\lambda_E} | \psi \rangle \geq \epsilon$  for some  $|\psi\rangle^{ABE}$  resulting from  **$n$**  uses of  $\mathcal{N}$ .

# quantum joint types

Two definitions of **feasible joint types**:

1.  $(\text{Spec } \psi^A, \text{Spec } \psi^B, \text{Spec } \psi^E)$  if  $|\psi\rangle^{ABE}$  is the output of **one** use of  $\mathcal{N}$ .
2.  $(\lambda_A, \lambda_B, \lambda_E)$  such that  $\langle \psi | \Pi_{\lambda_A} \otimes \Pi_{\lambda_B} \otimes \Pi_{\lambda_E} | \psi \rangle \geq \epsilon$  for some  $|\psi\rangle^{ABE}$  resulting from  $n$  uses of  $\mathcal{N}$ .

**Results:**

1. These two definitions are approximately equivalent.
2. There is a sense in which conditioning on a joint type makes all transition probabilities equal.

References:

H., PhD thesis, 2005; quant-ph/0512255.

Christandl, H., Mitchison, "On nonzero Kronecker coefficients and their consequences for spectra." CMP 2007; quant-ph/0511029.



# Tripartite $S_n$ -invariant pure states

Obtained e.g. by purifying  $(\rho^{AB})^{\otimes n}$ .

$$\begin{aligned}
 |\psi\rangle^{ABE} = & \sum_{\substack{\lambda_A \in \text{Par}(n, d_A) \\ \lambda_B \in \text{Par}(n, d_B) \\ \lambda_E \in \text{Par}(n, d_B)}} \sum_{\substack{q_A \in Q_{\lambda_A}^{d_A} \\ q_B \in Q_{\lambda_B}^{d_B} \\ q_E \in Q_{\lambda_E}^{d_E}}} \sum_{\mu \in (\mathcal{P}_{\lambda_A} \otimes \mathcal{P}_{\lambda_B} \otimes \mathcal{P}_{\lambda_E})^{S_n}} \\
 & C_{\lambda_A, \lambda_B, \lambda_E; \mu}^{q_A, q_B, q_E} |\lambda_A, q_A\rangle^A |\lambda_B, q_B\rangle^B |\lambda_E, q_E\rangle^E |\mu\rangle^{ABE}
 \end{aligned}$$

Interpretation:

This is almost completely general!

Except that  $\mu^A$ ,  $\mu^B$  and  $\mu^E$  are each maximally mixed (by Schur's Lemma).

# Application: additivity of minimum output entropy

$$S_{\min}(\mathcal{N}) := \min_{\psi} S(\mathcal{N}(\psi))$$

**Additivity** question: Does  $S_{\min}(\mathcal{N}_1 \otimes \mathcal{N}_2) = S_{\min}(\mathcal{N}_1) + S_{\min}(\mathcal{N}_2)$ ?

Equivalently: Does  $\lim_{n \rightarrow \infty} S_{\min}(\mathcal{N}^{\otimes n}) / n = S_{\min}(\mathcal{N})$ ?

**Our result:**  $\min_{|\psi\rangle \in \text{Sym}^n \mathbb{C}^d} \geq n S_{\min}(\mathcal{N}) - o(n)$

where  $\text{Sym}^n \mathbb{C}^d = \{|\psi\rangle : \pi|\psi\rangle = |\psi\rangle \ \forall \pi \in S_n\}$

**Proof:** Most of the entropy is in the  $|\mu\rangle$  register. If  $\lambda_A$  is trivial then  $P_{\lambda_B}$  and  $P_{\lambda_E}$  are maximally entangled, so Bob's entropy  $\approx \log \dim P_{\lambda_B} \approx nH(\lambda_B)$ . Finally,  $\lambda_B$  is  $\epsilon$ -feasible  $\Leftrightarrow \exists$  a nearby feasible single-copy state.

joint work with P. Hayden and A. Winter.

# Application: Quantum Reverse Shannon Theorem

**Goal:** Simulate  $\mathcal{N}^{\otimes n}$  using an optimal rate of communication.

Establish qualitative equivalence of all channels.

**Idea:** Previously constructions were known for i.i.d. input, or for inputs restricted to a single value of  $\lambda_A$  and  $q_A$ .

To generalize, Alice splits her input according to  $\lambda_A$  and  $q_A$  and simulates  $V^n_{\mathcal{N}}$  locally to generate  $\lambda_B, q_B, \lambda_E, q_E$  and  $\mu$ .

- $\mu$  is simple, and easily compressible.
- $\lambda_B, q_B$  are small, and can be sent uncompressed.

**Subtlety:** Different values of  $\lambda_B$  require different amounts of entanglement.

joint work with C. Bennett, I. Devetak, P. Shor and A. Winter.

# Entropy-increasing quantum channels

**Result:** If  $S(\mathcal{N}(\rho)) > S(\rho)$  for all  $\rho$  then  $\mathcal{N}^{\otimes n} \approx \sum_v p_v V_v$ , where  $\{p_v\}$  is a probability distribution and  $\{V_v\}$  are isometries.

Related to **quantum Birkhoff conjecture:** If  $\mathcal{N}$  is unital (i.e.  $\mathcal{N}(I/d) = I/d$ , or equivalently,  $d_A = d_B$  and  $S(\mathcal{N}(\rho)) \geq S(\rho)$  for all  $\rho$ ) then  $\mathcal{N}^{\otimes n} \approx \sum_v p_v V_v$ , where  $\{p_v\}$  is a probability distribution and  $\{V_v\}$  are unitaries.

**Noisy state analogue:** For any state  $\rho_{AB}$ , one can decompose  $\rho_{AB}^{\otimes n}$  as a mixture of pure states with average entanglement  $\approx n \min(S(\rho_A), S(\rho_B))$ .

**Proof idea for states:** If  $\dim P_{\lambda_B} \gg \dim P_{\lambda_A}$ , then a random measurement on  $P_{\lambda_C}$  will leave  $P_{\lambda_A}$  nearly maximally mixed w.h.p.

**Proof idea for channels:** Consider the Jamiolkowski state obtained from inputting  $\sum_{\lambda_A} |\lambda_A\rangle\langle\lambda_A| / |\text{Par}(n, d_A)| \otimes I / \dim Q_{\lambda_A} \otimes I / \dim P_{\lambda_A}$  to  $\mathcal{N}^{\otimes n}$ .

## Future research directions

1. The quantum Birkhoff conjecture.
2. Applying the Schur basis to core questions of quantum information theory: additivity, strong converse of HSW theorem, coding.
3. Analyzing product states, e.g. in HSW coding.
4. Performing more protocols efficiently.

## References

H., Ph.D thesis, 2005. [quant-ph/0512255](#)

Christandl, Ph.D thesis, 2006. [quant-ph/0604183](#)

Christandl, H., Mitchison, “On nonzero Kronecker...” [q-ph/0511029](#)

Mitchison, “A dual de Finetti theorem.” [q-ph/0701064](#)

Hayashi and Matsumoto. [q-ph/0202001](#), [q-ph/0209030](#), [q-ph/0209124](#), [q-ph/0509140](#)

Hayashi. [q-ph/9704040](#), [q-ph/0107004](#), [q-ph/0202002](#), [q-ph/0208020](#).

Keyl and Werner. [q-ph/0102027](#). Keyl. [q-ph/0412053](#)